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Isabelle/HOL theory file FOL.thy by Jørgen Villadsen <https://people.compute.dtu.dk/jovi/>

Introduction to Type Theory and Higher-Order Logic - Advanced Course in Logic and Computation  
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Snapshot: <https://github.com/logic-tools/nadea> Natural\_Deduction\_Assistant.thy (28 July 2019)

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**section** ‹Formalization of Natural Deduction and Sequent Calculus for First-Order Logic›

**text** ‹

Project: Natural\_Deduction\_Assistant (NaDeA) <https://nadea.compute.dtu.dk/>

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Intertwined Development of Sequent Calculus: [https://www.isa-afp.org/entries/FOL\\_Seq\\_Calc1.html](https://www.isa-afp.org/entries/FOL_Seq_Calc1.html)

›

**theory** FOL **imports** Main **begin**

## section <Natural Deduction>

**type\_synonym** id = <char list>

**datatype** tm = Var nat | Fun id <tm list>

**datatype** fm = Falsity | Pre id <tm list> | Imp fm fm | Dis fm fm | Con fm fm | Exi fm | Uni fm

### primrec

```
semantics_term :: <(nat => 'a) => (id => 'a list => 'a) => tm => 'a> and  
semantics_list :: <(nat => 'a) => (id => 'a list => 'a) => tm list => 'a list> where  
<semantics_term e f (Var n) = e n> |  
<semantics_term e f (Fun i l) = f i (semantics_list e f l)> |  
<semantics_list e f [] = []> |  
<semantics_list e f (t # l) = semantics_term e f t # semantics_list e f l>
```

### primrec

```
semantics :: <(nat => 'a) => (id => 'a list => 'a) => (id => 'a list => bool) => fm => bool> where  
<semantics e f g Falsity = False> |  
<semantics e f g (Pre i l) = g i (semantics_list e f l)> |  
<semantics e f g (Imp p q) = (if semantics e f g p then semantics e f g q else True)> |  
<semantics e f g (Dis p q) = (if semantics e f g p then True else semantics e f g q)> |  
<semantics e f g (Con p q) = (if semantics e f g p then semantics e f g q else False)> |  
<semantics e f g (Exi p) = (∃x. semantics (λn. if n = 0 then x else e (n - 1)) f g p)> |  
<semantics e f g (Uni p) = (∀x. semantics (λn. if n = 0 then x else e (n - 1)) f g p)>
```

**primrec** member :: <fm => fm list => bool> **where**

⟨member p [] = False⟩ |  
⟨member p (q # z) = (if p = q then True else member p z)⟩

### primrec

new\_term :: ⟨id ⇒ tm ⇒ bool⟩ **and**  
new\_list :: ⟨id ⇒ tm list ⇒ bool⟩ **where**  
⟨new\_term c (Var n) = True⟩ |  
⟨new\_term c (Fun i l) = (if i = c then False else new\_list c l)⟩ |  
⟨new\_list c [] = True⟩ |  
⟨new\_list c (t # l) = (if new\_term c t then new\_list c l else False)⟩

### primrec new :: ⟨id ⇒ fm ⇒ bool⟩ **where**

⟨new c Falsity = True⟩ |  
⟨new c (Pre i l) = new\_list c l⟩ |  
⟨new c (Imp p q) = (if new c p then new c q else False)⟩ |  
⟨new c (Dis p q) = (if new c p then new c q else False)⟩ |  
⟨new c (Con p q) = (if new c p then new c q else False)⟩ |  
⟨new c (Exi p) = new c p⟩ |  
⟨new c (Uni p) = new c p⟩

### primrec news :: ⟨id ⇒ fm list ⇒ bool⟩ **where**

⟨news c [] = True⟩ |  
⟨news c (p # z) = (if new c p then news c z else False)⟩

### primrec

inc\_term :: ⟨tm ⇒ tm⟩ **and**  
inc\_list :: ⟨tm list ⇒ tm list⟩ **where**

$\langle \text{inc\_term } (\text{Var } n) = \text{Var } (n + 1) \rangle |$   
 $\langle \text{inc\_term } (\text{Fun } i \ l) = \text{Fun } i \ (\text{inc\_list } l) \rangle |$   
 $\langle \text{inc\_list } [] = [] \rangle |$   
 $\langle \text{inc\_list } (t \ # \ l) = \text{inc\_term } t \ # \ \text{inc\_list } l \rangle$

### primrec

$\text{sub\_term} :: \langle \text{nat} \Rightarrow \text{tm} \Rightarrow \text{tm} \Rightarrow \text{tm} \rangle$  **and**  
 $\text{sub\_list} :: \langle \text{nat} \Rightarrow \text{tm} \Rightarrow \text{tm list} \Rightarrow \text{tm list} \rangle$  **where**  
 $\langle \text{sub\_term } v \ s \ (\text{Var } n) = (\text{if } n < v \ \text{then } \text{Var } n \ \text{else if } n = v \ \text{then } s \ \text{else } \text{Var } (n - 1)) \rangle |$   
 $\langle \text{sub\_term } v \ s \ (\text{Fun } i \ l) = \text{Fun } i \ (\text{sub\_list } v \ s \ l) \rangle |$   
 $\langle \text{sub\_list } v \ s \ [] = [] \rangle |$   
 $\langle \text{sub\_list } v \ s \ (t \ # \ l) = \text{sub\_term } v \ s \ t \ # \ \text{sub\_list } v \ s \ l \rangle$

### primrec sub :: $\langle \text{nat} \Rightarrow \text{tm} \Rightarrow \text{fm} \Rightarrow \text{fm} \rangle$ **where**

$\langle \text{sub } v \ s \ \text{Falsity} = \text{Falsity} \rangle |$   
 $\langle \text{sub } v \ s \ (\text{Pre } i \ l) = \text{Pre } i \ (\text{sub\_list } v \ s \ l) \rangle |$   
 $\langle \text{sub } v \ s \ (\text{Imp } p \ q) = \text{Imp } (\text{sub } v \ s \ p) \ (\text{sub } v \ s \ q) \rangle |$   
 $\langle \text{sub } v \ s \ (\text{Dis } p \ q) = \text{Dis } (\text{sub } v \ s \ p) \ (\text{sub } v \ s \ q) \rangle |$   
 $\langle \text{sub } v \ s \ (\text{Con } p \ q) = \text{Con } (\text{sub } v \ s \ p) \ (\text{sub } v \ s \ q) \rangle |$   
 $\langle \text{sub } v \ s \ (\text{Exi } p) = \text{Exi } (\text{sub } (v + 1) \ (\text{inc\_term } s) \ p) \rangle |$   
 $\langle \text{sub } v \ s \ (\text{Uni } p) = \text{Uni } (\text{sub } (v + 1) \ (\text{inc\_term } s) \ p) \rangle$

### inductive OK :: $\langle \text{fm} \Rightarrow \text{fm list} \Rightarrow \text{bool} \rangle$ **where**

$\text{Assume: } \langle \text{member } p \ z \ \Rightarrow \ \text{OK } p \ z \rangle |$   
 $\text{Boole: } \langle \text{OK } \text{Falsity} \ (\text{Imp } p \ \text{Falsity} \ # \ z) \ \Rightarrow \ \text{OK } p \ z \rangle |$   
 $\text{Imp\_E: } \langle \text{OK } (\text{Imp } p \ q) \ z \ \Rightarrow \ \text{OK } p \ z \ \Rightarrow \ \text{OK } q \ z \rangle |$   
 $\text{Imp\_I: } \langle \text{OK } q \ (p \ # \ z) \ \Rightarrow \ \text{OK } (\text{Imp } p \ q) \ z \rangle |$

Dis\_E:  $\langle \text{OK} (\text{Dis } p \ q) \ z \Rightarrow \text{OK } r \ (p \ \# \ z) \Rightarrow \text{OK } r \ (q \ \# \ z) \Rightarrow \text{OK } r \ z \rangle \mid$   
 Dis\_I1:  $\langle \text{OK } p \ z \Rightarrow \text{OK} (\text{Dis } p \ q) \ z \rangle \mid$   
 Dis\_I2:  $\langle \text{OK } q \ z \Rightarrow \text{OK} (\text{Dis } p \ q) \ z \rangle \mid$   
 Con\_E1:  $\langle \text{OK} (\text{Con } p \ q) \ z \Rightarrow \text{OK } p \ z \rangle \mid$   
 Con\_E2:  $\langle \text{OK} (\text{Con } p \ q) \ z \Rightarrow \text{OK } q \ z \rangle \mid$   
 Con\_I:  $\langle \text{OK } p \ z \Rightarrow \text{OK } q \ z \Rightarrow \text{OK} (\text{Con } p \ q) \ z \rangle \mid$   
 Exi\_E:  $\langle \text{OK} (\text{Exi } p) \ z \Rightarrow \text{OK } q \ (\text{sub } 0 \ (\text{Fun } c \ []) \ p \ \# \ z) \Rightarrow \text{news } c \ (p \ \# \ q \ \# \ z) \Rightarrow \text{OK } q \ z \rangle \mid$   
 Exi\_I:  $\langle \text{OK} (\text{sub } 0 \ t \ p) \ z \Rightarrow \text{OK} (\text{Exi } p) \ z \rangle \mid$   
 Uni\_E:  $\langle \text{OK} (\text{Uni } p) \ z \Rightarrow \text{OK} (\text{sub } 0 \ t \ p) \ z \rangle \mid$   
 Uni\_I:  $\langle \text{OK} (\text{sub } 0 \ (\text{Fun } c \ []) \ p) \ z \Rightarrow \text{news } c \ (p \ \# \ z) \Rightarrow \text{OK} (\text{Uni } p) \ z \rangle$

## section **Examples**

**lemma**  $\langle \text{OK} (\text{Imp} (\text{Pre } "p" \ []) (\text{Pre } "p" \ [])) \ [] \rangle$   
**by** (rule Imp\_I, rule Assume) simp

**lemma**  $\langle \text{OK} (\text{Imp} (\text{Pre } "p" \ []) (\text{Pre } "p" \ [])) \ [] \rangle$

**proof** -

**have**  $\langle \text{OK} (\text{Pre } "p" \ []) \ [(\text{Pre } "p" \ [])] \rangle$  **by** (rule Assume) simp  
**then show**  $\langle \text{OK} (\text{Imp} (\text{Pre } "p" \ []) (\text{Pre } "p" \ [])) \ [] \rangle$  **by** (rule Imp\_I)  
**qed**

**lemma** modus\_tollens:  $\langle \text{OK} (\text{Imp}$   
 $(\text{Con} (\text{Imp} (\text{Pre } "p" \ []) (\text{Pre } "q" \ [])) (\text{Imp} (\text{Pre } "q" \ []) \text{Falsity}))$   
 $(\text{Imp} (\text{Pre } "p" \ []) \text{Falsity})) \ [] \rangle$   
**apply** (rule Imp\_I)  
**apply** (rule Imp\_I)

```

apply (rule Imp_E)
apply (rule Con_E2)
apply (rule Assume)
apply simp
apply (rule Imp_E)
apply (rule Con_E1)
apply (rule Assume)
apply simp
apply (rule Assume)
apply simp
done

```

```

lemma Socrates_is_mortal: <OK (Imp
(Con (Uni (Imp (Pre "h" [Var 0]) (Pre "m" [Var 0])))
  (Pre "h" [Fun "s" []]))
(Pre "m" [Fun "s" []])) []>
apply (rule Imp_I)
apply (rule Imp_E [where p=<Pre "h" [Fun "s" []]>])
apply (subgoal_tac <OK (sub 0 (Fun "s" [])
  (Imp (Pre "h" [Var 0]) (Pre "m" [Var 0]))) _>)
apply simp
apply (rule Uni_E)
apply (rule Con_E1)
apply (rule Assume)
apply simp
apply (rule Con_E2)
apply (rule Assume)

```

**apply** simp  
**done**

**lemma** grandfather:  $\langle \text{OK (Imp (Uni (Imp (Imp (Pre "r" [Var 0]) Falsity) (Pre "r" [Fun "f" [Var 0]]))) (Exi (Con (Pre "r" [Var 0]) (Pre "r" [Fun "f" [Fun "f" [Var 0]]]))) []}) \rangle$

**proof** -

**let** ?a =  $\langle \text{Fun "a" []} \rangle$   
**let** ?fa =  $\langle \text{Fun "f" [?a]} \rangle$   
**let** ?ffa =  $\langle \text{Fun "f" [?fa]} \rangle$   
**let** ?fffa =  $\langle \text{Fun "f" [?ffa]} \rangle$   
**let** ?ffffa =  $\langle \text{Fun "f" [?fffa]} \rangle$

**let** ?ra =  $\langle \text{Pre "r" [?a]} \rangle$   
**let** ?rfa =  $\langle \text{Pre "r" [?fa]} \rangle$   
**let** ?rffa =  $\langle \text{Pre "r" [?ffa]} \rangle$   
**let** ?rfffa =  $\langle \text{Pre "r" [?fffa]} \rangle$   
**let** ?rffffa =  $\langle \text{Pre "r" [?ffffa]} \rangle$

**show** ?thesis

**apply** (rule Boole)  
**apply** (rule Imp\_E)  
**apply** (rule Assume)  
**apply** simp  
**apply** (rule Imp\_I)  
**apply** (rule Imp\_E [where p= $\langle \text{Imp (Imp ?ra Falsity) ?rfa} \rangle$ ])  
**apply** (rule Imp\_I)

```

apply (rule Imp_E [where p= $\langle \text{Imp } (\text{Imp } ?rfa \text{ Falsity}) ?rffa \rangle$ ])
apply (rule Imp_I)
apply (rule Imp_E [where p= $\langle \text{Imp } (\text{Imp } ?rffa \text{ Falsity}) ?rfffa \rangle$ ])
apply (rule Imp_I)
apply (rule Imp_E [where p= $\langle \text{Imp } (\text{Imp } ?rfffa \text{ Falsity}) ?rffffa \rangle$ ])
apply (rule Imp_I)
apply (rule Dis_E [where p= $\langle ?ra \rangle$  and q= $\langle \text{Imp } ?ra \text{ Falsity} \rangle$ ])
apply (rule Boole)
apply (rule Imp_E [where p= $\langle \text{Dis } ?ra (\text{Imp } ?ra \text{ Falsity}) \rangle$ ])
apply (rule Assume)
apply simp
apply (rule Dis_I2)
apply (rule Imp_I)
apply (rule Imp_E [where p= $\langle \text{Dis } ?ra (\text{Imp } ?ra \text{ Falsity}) \rangle$ ])
apply (rule Assume)
apply simp
apply (rule Dis_I1)
apply (rule Assume)
apply simp
apply (rule Dis_E [where p= $\langle ?rffa \rangle$  and q= $\langle \text{Imp } ?rffa \text{ Falsity} \rangle$ ])
apply (rule Boole)
apply (rule Imp_E [where p= $\langle \text{Dis } ?rffa (\text{Imp } ?rffa \text{ Falsity}) \rangle$ ])
apply (rule Assume)
apply simp
apply (rule Dis_I2)
apply (rule Imp_I)
apply (rule Imp_E [where p= $\langle \text{Dis } ?rffa (\text{Imp } ?rffa \text{ Falsity}) \rangle$ ])

```

```

apply (rule Assume)
apply simp
apply (rule Dis_I1)
apply (rule Assume)
apply simp
apply (rule Exi_I [where t=<?a>])
apply simp
apply (rule Con_I)
apply (rule Assume)
apply simp
apply (rule Assume)
apply simp
apply (rule Imp_E [where p=<Imp (Imp ?rffa Falsity) ?rfa>])
apply (rule Imp_I)
apply (rule Exi_I [where t=<?fa>])
apply simp
apply (rule Con_I)
apply (rule Imp_E [where p=<Imp ?rffa Falsity>])
apply (rule Assume)
apply simp
apply (rule Assume)
apply simp
apply (rule Imp_E [where p=<Imp ?rffa Falsity>])
apply (rule Assume)
apply simp
apply (rule Assume)
apply simp

```

```

apply (rule Imp_E [where p=<Imp (Imp ?rfa Falsity) ?rffa>])
apply (rule Imp_I)
apply (rule Imp_I)
apply (rule Boole)
apply (rule Imp_E [where p=<?rffa>])
apply (rule Assume)
apply simp
apply (rule Imp_E [where p=<Imp ?rfa Falsity>])
apply (rule Assume)
apply simp
apply (rule Assume)
apply simp
apply (rule Assume)
apply simp
apply (rule Dis_E [where p=<?rfffa> and q=<Imp ?rfffa Falsity>])
apply (rule Boole)
apply (rule Imp_E [where p=<Dis ?rfffa (Imp ?rfffa Falsity)>])
apply (rule Assume)
apply simp
apply (rule Dis_I2)
apply (rule Imp_I)
apply (rule Imp_E [where p=<Dis ?rfffa (Imp ?rfffa Falsity)>])
apply (rule Assume)
apply simp
apply (rule Dis_I1)
apply (rule Assume)
apply simp

```

```

apply (rule Exi_I [where t=<?fa>])
apply simp
apply (rule Con_I)
apply (rule Imp_E [where p=<Imp ?ra Falsity>])
  apply (rule Assume)
  apply simp
apply (rule Assume)
apply simp
apply (rule Assume)
apply simp
apply (rule Imp_E [where p=<Imp (Imp ?rffa Falsity) ?rffa>])
apply (rule Imp_I)
apply (rule Exi_I [where t=<?ffa>])
apply simp
apply (rule Con_I)
apply (rule Imp_E [where p=<Imp ?rffa Falsity>])
  apply (rule Assume)
  apply simp
apply (rule Assume)
apply simp
apply (rule Imp_E [where p=<Imp ?rffa Falsity>])
apply (rule Assume)
apply simp
apply (rule Assume)
apply simp
apply (rule Imp_E [where p=<Imp (Imp ?rffa Falsity) ?rffa>])
apply (rule Imp_I)

```

```

apply (rule Imp_I)
apply (rule Boole)
apply (rule Imp_E [where p=<?rffa>])
apply (rule Assume)
apply simp
apply (rule Imp_E [where p=<Imp ?rffa Falsity>])
apply (rule Assume)
apply simp
apply (rule Assume)
apply simp
apply (rule Assume)
apply simp
apply (subgoal_tac <OK (sub 0 ?ffa
  (Imp (Imp (Pre "r" [Var 0]) Falsity) (Pre "r" [Fun "f" [Var 0]]))) _>)
apply simp
apply (rule Uni_E)
apply (rule Assume)
apply simp
apply (subgoal_tac <OK (sub 0 ?ffa
  (Imp (Imp (Pre "r" [Var 0]) Falsity) (Pre "r" [Fun "f" [Var 0]]))) _>)
apply simp
apply (rule Uni_E)
apply (rule Assume)
apply simp
apply (subgoal_tac <OK (sub 0 ?fa
  (Imp (Imp (Pre "r" [Var 0]) Falsity) (Pre "r" [Fun "f" [Var 0]]))) _>)
apply simp

```

```

apply (rule Uni_E)
apply (rule Assume)
apply simp
apply (subgoal_tac ‹OK (sub 0 ?a
  (Imp (Imp (Pre "r" [Var 0]) Falsity) (Pre "r" [Fun "f" [Var 0]]))) _›)
apply simp
apply (rule Uni_E)
apply (rule Assume)
apply simp
done

```

**qed**

```

lemma open_example: ‹OK (Dis (Pre "p" [Var x]) (Imp Falsity Falsity)) []›

```

```

apply (rule Dis_I2)
apply (rule Imp_I)
apply (rule Assume)
apply simp
done

```

**section** ‹Soundness›

```

lemma symbols [simp]:

```

```

  ‹(if p then q else True) = (p → q)›
  ‹(if p then True else q) = (p ∨ q)›
  ‹(if p then q else False) = (p ∧ q)›

```

```

by simp_all

```

**fun** put ::  $\langle (\text{nat} \Rightarrow 'a) \Rightarrow \text{nat} \Rightarrow 'a \Rightarrow \text{nat} \Rightarrow 'a \rangle$  **where**  
 $\langle \text{put } e \ v \ x = (\lambda n. \text{if } n < v \text{ then } e \ n \text{ else if } n = v \text{ then } x \text{ else } e \ (n - 1)) \rangle$

**lemma**  $\langle \text{put } e \ 0 \ x = (\lambda n. \text{if } n = 0 \text{ then } x \text{ else } e \ (n - 1)) \rangle$   
**by** simp

**lemma**  
 $\langle \text{semantics } e \ f \ g \ (\text{Exi } p) = (\exists x. \text{semantics } (\text{put } e \ 0 \ x) \ f \ g \ p) \rangle$   
 $\langle \text{semantics } e \ f \ g \ (\text{Uni } p) = (\forall x. \text{semantics } (\text{put } e \ 0 \ x) \ f \ g \ p) \rangle$   
**by** simp\_all

**lemma** increment:  
 $\langle \text{semantics\_term } (\text{put } e \ 0 \ x) \ f \ (\text{inc\_term } t) = \text{semantics\_term } e \ f \ t \rangle$   
 $\langle \text{semantics\_list } (\text{put } e \ 0 \ x) \ f \ (\text{inc\_list } l) = \text{semantics\_list } e \ f \ l \rangle$   
**by** (induct **t** and **l** rule: semantics\_term.induct semantics\_list.induct) simp\_all

**lemma** commute:  $\langle \text{put } (\text{put } e \ v \ x) \ 0 \ y = \text{put } (\text{put } e \ 0 \ y) \ (v + 1) \ x \rangle$   
**by** fastforce

**lemma** allnew [simp]:  $\langle \text{list\_all } (\text{new } c) \ z = \text{news } c \ z \rangle$   
**by** (induct **z**) simp\_all

**lemma** map' [simp]:  
 $\langle \text{new\_term } n \ t \Rightarrow \text{semantics\_term } e \ (f(n := x)) \ t = \text{semantics\_term } e \ f \ t \rangle$   
 $\langle \text{new\_list } n \ l \Rightarrow \text{semantics\_list } e \ (f(n := x)) \ l = \text{semantics\_list } e \ f \ l \rangle$   
**by** (induct **t** and **l** rule: semantics\_term.induct semantics\_list.induct) auto

**lemma** map [simp]:  $\langle \text{new } n \ p \Rightarrow \text{semantics } e \ (f(n := x)) \ g \ p = \text{semantics } e \ f \ g \ p \rangle$   
**by** (induct  $p$  arbitrary:  $e$ ) simp\_all

**lemma** allmap [simp]:  $\langle \text{news } c \ z \Rightarrow$   
list\_all (semantics  $e \ (f(c := m)) \ g) \ z = \text{list\_all} \ (\text{semantics } e \ f \ g) \ z \rangle$   
**by** (induct  $z$ ) simp\_all

**lemma** substitute' [simp]:  
 $\langle \text{semantics\_term } e \ f \ (\text{sub\_term } v \ s \ t) = \text{semantics\_term} \ (\text{put } e \ v \ (\text{semantics\_term } e \ f \ s)) \ f \ t \rangle$   
 $\langle \text{semantics\_list } e \ f \ (\text{sub\_list } v \ s \ l) = \text{semantics\_list} \ (\text{put } e \ v \ (\text{semantics\_term } e \ f \ s)) \ f \ l \rangle$   
**by** (induct  $t$  and  $l$  rule: semantics\_term.induct semantics\_list.induct) simp\_all

**lemma** substitute [simp]:  
 $\langle \text{semantics } e \ f \ g \ (\text{sub } v \ t \ p) = \text{semantics} \ (\text{put } e \ v \ (\text{semantics\_term } e \ f \ t)) \ f \ g \ p \rangle$

**proof** (induct  $p$  arbitrary:  $e \ v \ t$ )

**case** (Exi  $p$ )

**have**  $\langle \text{semantics } e \ f \ g \ (\text{sub } v \ t \ (\text{Exi } p)) =$   
 $(\exists x. \text{semantics} \ (\text{put } e \ 0 \ x) \ f \ g \ (\text{sub } (v + 1) \ (\text{inc\_term } t) \ p)) \rangle$

**by** simp

**also have**  $\langle \dots = (\exists x. \text{semantics} \ (\text{put} \ (\text{put } e \ 0 \ x) \ (v + 1)$   
 $(\text{semantics\_term} \ (\text{put } e \ 0 \ x) \ f \ (\text{inc\_term } t))) \ f \ g \ p \rangle$

**using** Exi **by** simp

**also have**  $\langle \dots = (\exists x. \text{semantics} \ (\text{put} \ (\text{put } e \ v \ (\text{semantics\_term } e \ f \ t)) \ 0 \ x) \ f \ g \ p) \rangle$

**using** commute increment(1) **by** metis

**finally show** ?case

**by** simp

**next**

```

case (Uni p)
have <semantics e f g (sub v t (Uni p)) =
  (∀x. semantics (put e 0 x) f g (sub (v + 1) (inc_term t) p))>
by simp
also have <... =
  (∀x. semantics (put (put e 0 x) (v + 1) (semantics_term (put e 0 x) f (inc_term t))) f g p)>
using Uni by simp
also have <... = (∀x. semantics (put (put e v (semantics_term e f t)) 0 x) f g p)>
using commute increment(1) by metis
finally show ?case
by simp
qed simp_all

```

```

lemma member_set [simp]: <p ∈ set z = member p z>
by (induct z) simp_all

```

```

lemma soundness': <OK p z ⇒ list_all (semantics e f g) z ⇒ semantics e f g p>

```

```

proof (induct p z arbitrary: f rule: OK.induct)

```

```

case (Exi_E p z q c)

```

```

then obtain x where <semantics (put e 0 x) f g p>

```

```

by auto

```

```

then have <semantics (put e 0 x) (f(c := λw. x)) g p>

```

```

using <news c (p # q # z)> by simp

```

```

then have <semantics e (f(c := λw. x)) g (sub 0 (Fun c []) p)>

```

```

by simp

```

```

then have <list_all (semantics e (f(c := λw. x)) g) (sub 0 (Fun c []) p # z)>

```

```

using Exi_E by simp

```

```

then have <semantics e (f(c := λw. x)) g q>
  using Exi_E by blast
then show <semantics e f g q>
  using <news c (p # q # z)> by simp
next
case (Uni_I c p z)
then have <∀x. list_all (semantics e (f(c := λw. x)) g) z>
  by simp
then have <∀x. semantics e (f(c := λw. x)) g (sub 0 (Fun c []) p)>
  using Uni_I by blast
then have <∀x. semantics (put e 0 x) (f(c := λw. x)) g p>
  by simp
then have <∀x. semantics (put e 0 x) f g p>
  using <news c (p # z)> by simp
then show <semantics e f g (Uni p)>
  by simp
qed (auto simp: list_all_iff)

```

```

theorem soundness: <OK p [] ⇒ semantics e f g p>
  by (simp add: soundness')

```

```

corollary <∃p. OK p []> <∃p. ¬ OK p []>

```

```

proof -

```

```

  have <OK (Imp p p) []> for p
    by (rule Imp_I, rule Assume, simp)
  then show <∃p. OK p []>
    by iprover

```

**next**

**have**  $\langle \neg$  semantics (e ::  $\_ \Rightarrow$  unit) f g Falsity  $\rangle$  **for** e f g  
**by** simp  
**then show**  $\langle \exists p. \neg$  OK p []  $\rangle$   
**using** soundness **by** iprover  
**qed**

**section**  $\langle$ Utilities $\rangle$

**lemma** set\_inter\_compl\_diff:  $\langle -$  A  $\cap$  B = B - A  $\rangle$  **unfolding** Diff\_eq **using** inf\_commute .

**abbreviation** Neg ::  $\langle$ fm  $\Rightarrow$  fm  $\rangle$  **where**  $\langle$ Neg p  $\equiv$  Imp p Falsity  $\rangle$

**abbreviation** Truth ::  $\langle$ fm  $\rangle$  **where**  $\langle$ Truth  $\equiv$  Neg Falsity  $\rangle$

**primrec** size\_formulas ::  $\langle$ fm  $\Rightarrow$  nat  $\rangle$  **where**

$\langle$ size\_formulas Falsity = 0  $\rangle$  |  
 $\langle$ size\_formulas (Pre  $\_ \_$ ) = 0  $\rangle$  |  
 $\langle$ size\_formulas (Con p q) = size\_formulas p + size\_formulas q + 1  $\rangle$  |  
 $\langle$ size\_formulas (Dis p q) = size\_formulas p + size\_formulas q + 1  $\rangle$  |  
 $\langle$ size\_formulas (Imp p q) = size\_formulas p + size\_formulas q + 1  $\rangle$  |  
 $\langle$ size\_formulas (Uni p) = size\_formulas p + 1  $\rangle$  |  
 $\langle$ size\_formulas (Exi p) = size\_formulas p + 1  $\rangle$

**lemma** sub\_size\_formulas [simp]:  $\langle$ size\_formulas (sub i t p) = size\_formulas p  $\rangle$   
**by** (induct p arbitrary: i t) simp\_all

## subsection <Extra Rules>

**lemma** explosion:  $\langle \text{OK } (\text{Imp Falsity } p) z \rangle$

**apply** (rule Imp\_I) **apply** (rule Boole) **apply** (rule Assume) **by** simp

**lemma** cut:  $\langle \text{OK } p z \Rightarrow \text{OK } q (p \# z) \Rightarrow \text{OK } q z \rangle$

**apply** (rule Imp\_E) **apply** (rule Imp\_I) .

**lemma** Falsity\_E:  $\langle \text{OK Falsity } z \Rightarrow \text{OK } p z \rangle$

**apply** (rule Imp\_E) **apply** (rule explosion) .

**lemma** Boole':  $\langle \text{OK } p (\text{Neg } p \# z) \Rightarrow \text{OK } p z \rangle$

**apply** (rule Boole) **apply** (rule Imp\_E) **apply** (rule Assume) **by** simp iprover

## subsection <Closed Formulas>

### primrec

closed\_term ::  $\langle \text{nat} \Rightarrow \text{tm} \Rightarrow \text{bool} \rangle$  **and**

closed\_list ::  $\langle \text{nat} \Rightarrow \text{tm list} \Rightarrow \text{bool} \rangle$  **where**

$\langle \text{closed\_term } m (\text{Var } n) = (n < m) \rangle$  |

$\langle \text{closed\_term } m (\text{Fun } a \text{ ts}) = \text{closed\_list } m \text{ ts} \rangle$  |

$\langle \text{closed\_list } m [] = \text{True} \rangle$  |

$\langle \text{closed\_list } m (t \# \text{ts}) = (\text{closed\_term } m t \wedge \text{closed\_list } m \text{ts}) \rangle$

**primrec** closed ::  $\langle \text{nat} \Rightarrow \text{fm} \Rightarrow \text{bool} \rangle$  **where**

$\langle \text{closed } m \text{ Falsity} = \text{True} \rangle$  |

$\langle \text{closed } m (\text{Pre } b \text{ ts}) = \text{closed\_list } m \text{ts} \rangle$  |

$\langle \text{closed } m \text{ (Con } p \text{ } q) = (\text{closed } m \text{ } p \wedge \text{closed } m \text{ } q) \rangle \mid$   
 $\langle \text{closed } m \text{ (Dis } p \text{ } q) = (\text{closed } m \text{ } p \wedge \text{closed } m \text{ } q) \rangle \mid$   
 $\langle \text{closed } m \text{ (Imp } p \text{ } q) = (\text{closed } m \text{ } p \wedge \text{closed } m \text{ } q) \rangle \mid$   
 $\langle \text{closed } m \text{ (Uni } p) = \text{closed (Suc } m) \text{ } p \rangle \mid$   
 $\langle \text{closed } m \text{ (Exi } p) = \text{closed (Suc } m) \text{ } p \rangle$

**lemma** closed\_mono':

**assumes**  $\langle i \leq j \rangle$

**shows**  $\langle \text{closed\_term } i \text{ } t \Rightarrow \text{closed\_term } j \text{ } t \rangle$

**and**  $\langle \text{closed\_list } i \text{ } l \Rightarrow \text{closed\_list } j \text{ } l \rangle$

**using** assms **by** (induct  $t$  **and**  $l$  **rule**: closed\_term.induct closed\_list.induct) simp\_all

**lemma** closed\_mono:  $\langle i \leq j \Rightarrow \text{closed } i \text{ } p \Rightarrow \text{closed } j \text{ } p \rangle$

**proof** (induct  $p$  **arbitrary**:  $i \ j$ )

**case** (Pre  $i \ l$ )

**then show** ?case

**using** closed\_mono' **by** simp

**next**

**case** (Exi  $p$ )

**then have**  $\langle \text{closed (Suc } i) \text{ } p \rangle$

**by** simp

**then have**  $\langle \text{closed (Suc } j) \text{ } p \rangle$

**using** Exi **by** blast

**then show** ?case

**by** simp

**next**

**case** (Uni  $p$ )

```

then have <closed (Suc i) p>
  by simp
then have <closed (Suc j) p>
  using Uni by blast
then show ?case
  by simp
qed simp_all

```

```

lemma inc_closed [simp]:
  <closed_term 0 t  $\implies$  closed_term 0 (inc_term t)>
  <closed_list 0 l  $\implies$  closed_list 0 (inc_list l)>
  by (induct t and l rule: closed_term.induct closed_list.induct) simp_all

```

```

lemma sub_closed' [simp]:
  assumes <closed_term 0 u>
  shows <closed_term (Suc i) t  $\implies$  closed_term i (sub_term i u t)>
  and <closed_list (Suc i) l  $\implies$  closed_list i (sub_list i u l)>
  using assms
proof (induct t and l rule: closed_term.induct closed_list.induct)
  case (Var x)
  then show ?case
    using closed_mono'(1) by auto
qed simp_all

```

```

lemma sub_closed [simp]: <closed_term 0 t  $\implies$  closed (Suc i) p  $\implies$  closed i (sub i t p)>
  by (induct p arbitrary: i t) simp_all

```

## subsection **Parameters**

### primrec

params\_term ::  $\langle \text{tm} \Rightarrow \text{id set} \rangle$  **and**  
params\_list ::  $\langle \text{tm list} \Rightarrow \text{id set} \rangle$  **where**  
 $\langle \text{params\_term } (\text{Var } n) = \{ \} \rangle$  |  
 $\langle \text{params\_term } (\text{Fun } a \text{ ts}) = \{ a \} \cup \text{params\_list ts} \rangle$  |  
 $\langle \text{params\_list } [] = \{ \} \rangle$  |  
 $\langle \text{params\_list } (t \# \text{ts}) = (\text{params\_term } t \cup \text{params\_list ts}) \rangle$

### primrec params :: $\langle \text{fm} \Rightarrow \text{id set} \rangle$ **where**

$\langle \text{params } \text{Falsity} = \{ \} \rangle$  |  
 $\langle \text{params } (\text{Pre } b \text{ ts}) = \text{params\_list ts} \rangle$  |  
 $\langle \text{params } (\text{Con } p \text{ q}) = \text{params } p \cup \text{params } q \rangle$  |  
 $\langle \text{params } (\text{Dis } p \text{ q}) = \text{params } p \cup \text{params } q \rangle$  |  
 $\langle \text{params } (\text{Imp } p \text{ q}) = \text{params } p \cup \text{params } q \rangle$  |  
 $\langle \text{params } (\text{Uni } p) = \text{params } p \rangle$  |  
 $\langle \text{params } (\text{Exi } p) = \text{params } p \rangle$

### lemma new\_params' [simp]:

$\langle \text{new\_term } c \text{ t} = (c \notin \text{params\_term } t) \rangle$   
 $\langle \text{new\_list } c \text{ l} = (c \notin \text{params\_list } l) \rangle$   
**by** (induct **t and l rule**: new\_term.induct new\_list.induct) simp\_all

### lemma new\_params [simp]: $\langle \text{new } x \text{ p} = (x \notin \text{params } p) \rangle$

**by** (induct **p**) simp\_all

**lemma** news\_params [simp]:  $\langle \text{news } c \ z = \text{list\_all } (\lambda p. c \notin \text{params } p) \ z \rangle$   
**by** (induct  $z$ ) simp\_all

**lemma** params\_inc [simp]:  
 $\langle \text{params\_term } (\text{inc\_term } t) = \text{params\_term } t \rangle$   
 $\langle \text{params\_list } (\text{inc\_list } l) = \text{params\_list } l \rangle$   
**by** (induct  $t$  and  $l$  rule: sub\_term.induct sub\_list.induct) simp\_all

### primrec

psubst\_term ::  $\langle (\text{id} \Rightarrow \text{id}) \Rightarrow \text{tm} \Rightarrow \text{tm} \rangle$  **and**  
psubst\_list ::  $\langle (\text{id} \Rightarrow \text{id}) \Rightarrow \text{tm list} \Rightarrow \text{tm list} \rangle$  **where**  
 $\langle \text{psubst\_term } f \ (\text{Var } i) = \text{Var } i \rangle$  |  
 $\langle \text{psubst\_term } f \ (\text{Fun } x \ ts) = \text{Fun } (f \ x) \ (\text{psubst\_list } f \ ts) \rangle$  |  
 $\langle \text{psubst\_list } f \ [] = [] \rangle$  |  
 $\langle \text{psubst\_list } f \ (t \# ts) = \text{psubst\_term } f \ t \# \text{psubst\_list } f \ ts \rangle$

**primrec** psubst ::  $\langle (\text{id} \Rightarrow \text{id}) \Rightarrow \text{fm} \Rightarrow \text{fm} \rangle$  **where**  
 $\langle \text{psubst } f \ \text{Falsity} = \text{Falsity} \rangle$  |  
 $\langle \text{psubst } f \ (\text{Pre } b \ ts) = \text{Pre } b \ (\text{psubst\_list } f \ ts) \rangle$  |  
 $\langle \text{psubst } f \ (\text{Con } p \ q) = \text{Con } (\text{psubst } f \ p) \ (\text{psubst } f \ q) \rangle$  |  
 $\langle \text{psubst } f \ (\text{Dis } p \ q) = \text{Dis } (\text{psubst } f \ p) \ (\text{psubst } f \ q) \rangle$  |  
 $\langle \text{psubst } f \ (\text{Imp } p \ q) = \text{Imp } (\text{psubst } f \ p) \ (\text{psubst } f \ q) \rangle$  |  
 $\langle \text{psubst } f \ (\text{Uni } p) = \text{Uni } (\text{psubst } f \ p) \rangle$  |  
 $\langle \text{psubst } f \ (\text{Exi } p) = \text{Exi } (\text{psubst } f \ p) \rangle$

**lemma** psubst\_closed' [simp]:  
 $\langle \text{closed\_term } i \ (\text{psubst\_term } f \ t) = \text{closed\_term } i \ t \rangle$

⟨closed\_list  $i$  (psubst\_list  $f l$ ) = closed\_list  $i l$ ⟩  
**by** (induct  $t$  and  $l$  rule: closed\_term.induct closed\_list.induct) simp\_all

**lemma** psubst\_closed [simp]: ⟨closed  $i$  (psubst  $f p$ ) = closed  $i p$ ⟩  
**by** (induct  $p$  arbitrary:  $i$ ) simp\_all

**lemma** psubst\_inc [simp]:  
⟨psubst\_term  $f$  (inc\_term  $t$ ) = inc\_term (psubst\_term  $f t$ )⟩  
⟨psubst\_list  $f$  (inc\_list  $l$ ) = inc\_list (psubst\_list  $f l$ )⟩  
**by** (induct  $t$  and  $l$  rule: psubst\_term.induct psubst\_list.induct) simp\_all

**lemma** psubst\_sub' [simp]:  
⟨psubst\_term  $f$  (sub\_term  $i u t$ ) = sub\_term  $i$  (psubst\_term  $f u$ ) (psubst\_term  $f t$ )⟩  
⟨psubst\_list  $f$  (sub\_list  $i u l$ ) = sub\_list  $i$  (psubst\_term  $f u$ ) (psubst\_list  $f l$ )⟩  
**by** (induct  $t$  and  $l$  rule: psubst\_term.induct psubst\_list.induct) simp\_all

**lemma** psubst\_sub [simp]: ⟨psubst  $f$  (sub  $i t P$ ) = sub  $i$  (psubst\_term  $f t$ ) (psubst  $f P$ )⟩  
**by** (induct  $P$  arbitrary:  $i t$ ) simp\_all

**lemma** psubst\_upd' [simp]:  
⟨ $x \notin$  params\_term  $t \implies$  psubst\_term ( $f(x := y)$ )  $t$  = psubst\_term  $f t$ ⟩  
⟨ $x \notin$  params\_list  $l \implies$  psubst\_list ( $f(x := y)$ )  $l$  = psubst\_list  $f l$ ⟩  
**by** (induct  $t$  and  $l$  rule: psubst\_term.induct psubst\_list.induct) auto

**lemma** psubst\_upd [simp]: ⟨ $x \notin$  params  $P \implies$  psubst ( $f(x := y)$ )  $P$  = psubst  $f P$ ⟩  
**by** (induct  $P$ ) simp\_all

**lemma** psubst\_id':  $\langle \text{psubst\_term id } t = t \rangle \langle \text{psubst\_list } (\lambda x. x) l = l \rangle$   
**by** (induct **t and l rule**: psubst\_term.induct psubst\_list.induct) simp\_all

**lemma** psubst\_id [simp]:  $\langle \text{psubst id} = \text{id} \rangle$

**proof**

**fix** p

**show**  $\langle \text{psubst id } p = \text{id } p \rangle$

**by** (induct p) (simp\_all add: psubst\_id')

**qed**

**lemma** psubst\_image' [simp]:

$\langle \text{params\_term } (\text{psubst\_term } f t) = f \text{ ` params\_term } t \rangle$

$\langle \text{params\_list } (\text{psubst\_list } f l) = f \text{ ` params\_list } l \rangle$

**by** (induct **t and l rule**: params\_term.induct params\_list.induct) (simp\_all add: image\_Un)

**lemma** psubst\_image [simp]:  $\langle \text{params } (\text{psubst } f p) = f \text{ ` params } p \rangle$

**by** (induct p) (simp\_all add: image\_Un)

**lemma** psubst\_semantics' [simp]:

$\langle \text{semantics\_term } e f (\text{psubst\_term } h t) = \text{semantics\_term } e (\lambda x. f (h x)) t \rangle$

$\langle \text{semantics\_list } e f (\text{psubst\_list } h l) = \text{semantics\_list } e (\lambda x. f (h x)) l \rangle$

**by** (induct **t and l rule**: semantics\_term.induct semantics\_list.induct) simp\_all

**lemma** psubst\_semantics:  $\langle \text{semantics } e f g (\text{psubst } h p) = \text{semantics } e (\lambda x. f (h x)) g p \rangle$

**by** (induct p arbitrary: e) simp\_all

**section**  $\langle \text{Completeness for Closed Formulas} \rangle$

## subsection <Consistent Sets>

**definition** consistency :: <fm set set  $\Rightarrow$  bool> **where**

<consistency C = ( $\forall S. S \in C \rightarrow$   
( $\forall p ts. \neg (\text{Pre } p \text{ ts} \in S \wedge \text{Neg } (\text{Pre } p \text{ ts}) \in S)$ )  $\wedge$   
Falsity  $\notin S$   $\wedge$   
( $\forall p q. \text{Con } p q \in S \rightarrow S \cup \{p, q\} \in C$ )  $\wedge$   
( $\forall p q. \text{Neg } (\text{Dis } p q) \in S \rightarrow S \cup \{\text{Neg } p, \text{Neg } q\} \in C$ )  $\wedge$   
( $\forall p q. \text{Dis } p q \in S \rightarrow S \cup \{p\} \in C \vee S \cup \{q\} \in C$ )  $\wedge$   
( $\forall p q. \text{Neg } (\text{Con } p q) \in S \rightarrow S \cup \{\text{Neg } p\} \in C \vee S \cup \{\text{Neg } q\} \in C$ )  $\wedge$   
( $\forall p q. \text{Imp } p q \in S \rightarrow S \cup \{\text{Neg } p\} \in C \vee S \cup \{q\} \in C$ )  $\wedge$   
( $\forall p q. \text{Neg } (\text{Imp } p q) \in S \rightarrow S \cup \{p, \text{Neg } q\} \in C$ )  $\wedge$   
( $\forall P t. \text{closed\_term } 0 t \rightarrow \text{Uni } P \in S \rightarrow S \cup \{\text{sub } 0 t P\} \in C$ )  $\wedge$   
( $\forall P t. \text{closed\_term } 0 t \rightarrow \text{Neg } (\text{Exi } P) \in S \rightarrow S \cup \{\text{Neg } (\text{sub } 0 t P)\} \in C$ )  $\wedge$   
( $\forall P. \text{Exi } P \in S \rightarrow (\exists x. S \cup \{\text{sub } 0 (\text{Fun } x []) P\} \in C)$ )  $\wedge$   
( $\forall P. \text{Neg } (\text{Uni } P) \in S \rightarrow (\exists x. S \cup \{\text{Neg } (\text{sub } 0 (\text{Fun } x []) P)\} \in C)$ )>

**definition** alt\_consistency :: <fm set set  $\Rightarrow$  bool> **where**

<alt\_consistency C = ( $\forall S. S \in C \rightarrow$   
( $\forall p ts. \neg (\text{Pre } p \text{ ts} \in S \wedge \text{Neg } (\text{Pre } p \text{ ts}) \in S)$ )  $\wedge$   
Falsity  $\notin S$   $\wedge$   
( $\forall p q. \text{Con } p q \in S \rightarrow S \cup \{p, q\} \in C$ )  $\wedge$   
( $\forall p q. \text{Neg } (\text{Dis } p q) \in S \rightarrow S \cup \{\text{Neg } p, \text{Neg } q\} \in C$ )  $\wedge$   
( $\forall p q. \text{Dis } p q \in S \rightarrow S \cup \{p\} \in C \vee S \cup \{q\} \in C$ )  $\wedge$   
( $\forall p q. \text{Neg } (\text{Con } p q) \in S \rightarrow S \cup \{\text{Neg } p\} \in C \vee S \cup \{\text{Neg } q\} \in C$ )  $\wedge$

$(\forall p q. \text{Imp } p q \in S \rightarrow S \cup \{\text{Neg } p\} \in C \vee S \cup \{q\} \in C) \wedge$   
 $(\forall p q. \text{Neg } (\text{Imp } p q) \in S \rightarrow S \cup \{p, \text{Neg } q\} \in C) \wedge$   
 $(\forall P t. \text{closed\_term } 0 t \rightarrow \text{Uni } P \in S \rightarrow S \cup \{\text{sub } 0 t P\} \in C) \wedge$   
 $(\forall P t. \text{closed\_term } 0 t \rightarrow \text{Neg } (\text{Exi } P) \in S \rightarrow S \cup \{\text{Neg } (\text{sub } 0 t P)\} \in C) \wedge$   
 $(\forall P x. (\forall a \in S. x \notin \text{params } a) \rightarrow \text{Exi } P \in S \rightarrow S \cup \{\text{sub } 0 (\text{Fun } x []) P\} \in C) \wedge$   
 $(\forall P x. (\forall a \in S. x \notin \text{params } a) \rightarrow \text{Neg } (\text{Uni } P) \in S \rightarrow S \cup \{\text{Neg } (\text{sub } 0 (\text{Fun } x []) P)\} \in C))$

**definition** `mk_alt_consistency` :: `<fm set set  $\Rightarrow$  fm set set>` **where**  
`<mk_alt_consistency C = {S.  $\exists$ f. psubst f ` S  $\in$  C}>`

**theorem** `alt_consistency`:

**assumes** `conc`: `<consistency C>`

**shows** `<alt_consistency (mk_alt_consistency C)>` (**is** `<alt_consistency ?C'>`)

**unfolding** `alt_consistency_def`

**proof** (`intro allI impI conjI`)

**fix** `S'`

**assume** `<S'  $\in$  ?C'>`

**then obtain** `f` **where** `sc`: `<psubst f ` S'  $\in$  C>` (**is** `<?S  $\in$  C>`)

**unfolding** `mk_alt_consistency_def` **by** `blast`

**fix** `p ts`

**show** `< $\neg$  (Pre p ts  $\in$  S'  $\wedge$  Neg (Pre p ts)  $\in$  S')>`

**proof**

**assume** `*`: `<Pre p ts  $\in$  S'  $\wedge$  Neg (Pre p ts)  $\in$  S'>`

**then have** `<psubst f (Pre p ts)  $\in$  ?S>`

**by** `blast`

```

then have ⟨Pre p (psubst_list f ts) ∈ ?S⟩
  by simp
then have ⟨Neg (Pre p (psubst_list f ts)) ∉ ?S⟩
  using conc sc by (simp add: consistency_def)
then have ⟨Neg (Pre p ts) ∉ S'⟩
  by force
then show False
  using * by blast
qed

```

```

have ⟨Falsity ∉ ?S⟩
  using conc sc unfolding consistency_def by simp
then show ⟨Falsity ∉ S'⟩
  by force

```

```

{ fix p q
  assume ⟨Con p q ∈ S'⟩
  then have ⟨psubst f (Con p q) ∈ ?S⟩
    by blast
  then have ⟨?S ∪ {psubst f p, psubst f q} ∈ C⟩
    using conc sc by (simp add: consistency_def)
  then show ⟨S' ∪ {p, q} ∈ ?C'⟩
    unfolding mk_alt_consistency_def by auto }

```

```

{ fix p q
  assume ⟨Neg (Dis p q) ∈ S'⟩
  then have ⟨psubst f (Neg (Dis p q)) ∈ ?S⟩

```

```

by blast
then have ⟨?S ∪ {Neg (psubst f p), Neg (psubst f q)} ∈ C⟩
  using conc sc by (simp add: consistency_def)
then show ⟨S' ∪ {Neg p, Neg q} ∈ ?C'⟩
  unfolding mk_alt_consistency_def by auto }

```

```

{ fix p q
  assume ⟨Neg (Imp p q) ∈ S'⟩
  then have ⟨psubst f (Neg (Imp p q)) ∈ ?S⟩
    by blast
  then have ⟨?S ∪ {psubst f p, Neg (psubst f q)} ∈ C⟩
    using conc sc by (simp add: consistency_def)
  then show ⟨S' ∪ {p, Neg q} ∈ ?C'⟩
    unfolding mk_alt_consistency_def by auto }

```

```

{ fix p q
  assume ⟨Dis p q ∈ S'⟩
  then have ⟨psubst f (Dis p q) ∈ ?S⟩
    by blast
  then have ⟨?S ∪ {psubst f p} ∈ C ∨ ?S ∪ {psubst f q} ∈ C⟩
    using conc sc by (simp add: consistency_def)
  then show ⟨S' ∪ {p} ∈ ?C' ∨ S' ∪ {q} ∈ ?C'⟩
    unfolding mk_alt_consistency_def by auto }

```

```

{ fix p q
  assume ⟨Neg (Con p q) ∈ S'⟩
  then have ⟨psubst f (Neg (Con p q)) ∈ ?S⟩

```

**by** blast  
**then have**  $\langle ?S \cup \{\text{Neg}(\text{psubst } f \text{ p})\} \in C \vee ?S \cup \{\text{Neg}(\text{psubst } f \text{ q})\} \in C \rangle$   
**using** conc sc **by** (simp add: consistency\_def)  
**then show**  $\langle S' \cup \{\text{Neg } p\} \in ?C' \vee S' \cup \{\text{Neg } q\} \in ?C' \rangle$   
**unfolding** mk\_alt\_consistency\_def **by** auto }

{ **fix** p q  
**assume**  $\langle \text{Imp } p \text{ q} \in S' \rangle$   
**then have**  $\langle \text{psubst } f (\text{Imp } p \text{ q}) \in ?S \rangle$   
**by** blast  
**then have**  $\langle ?S \cup \{\text{Neg}(\text{psubst } f \text{ p})\} \in C \vee ?S \cup \{\text{psubst } f \text{ q}\} \in C \rangle$   
**using** conc sc **by** (simp add: consistency\_def)  
**then show**  $\langle S' \cup \{\text{Neg } p\} \in ?C' \vee S' \cup \{q\} \in ?C' \rangle$   
**unfolding** mk\_alt\_consistency\_def **by** auto }

{ **fix** P t  
**assume**  $\langle \text{closed\_term } 0 \text{ t} \rangle$  **and**  $\langle \text{Uni } P \in S' \rangle$   
**then have**  $\langle \text{psubst } f (\text{Uni } P) \in ?S \rangle$   
**by** blast  
**then have**  $\langle ?S \cup \{\text{sub } 0 (\text{psubst\_term } f \text{ t}) (\text{psubst } f \text{ P})\} \in C \rangle$   
**using**  $\langle \text{closed\_term } 0 \text{ t} \rangle$  conc sc **by** (simp add: consistency\_def)  
**then show**  $\langle S' \cup \{\text{sub } 0 \text{ t } P\} \in ?C' \rangle$   
**unfolding** mk\_alt\_consistency\_def **by** auto }

{ **fix** P t  
**assume**  $\langle \text{closed\_term } 0 \text{ t} \rangle$  **and**  $\langle \text{Neg}(\text{Exi } P) \in S' \rangle$   
**then have**  $\langle \text{psubst } f (\text{Neg}(\text{Exi } P)) \in ?S \rangle$

**by** blast  
**then have**  $\langle ?S \cup \{\text{Neg}(\text{sub } 0 (\text{psubst\_term } f \ t) (\text{psubst } f \ P))\} \in C \rangle$   
**using**  $\langle \text{closed\_term } 0 \ t \rangle$  conc sc **by** (simp **add**: consistency\_def)  
**then show**  $\langle S' \cup \{\text{Neg}(\text{sub } 0 \ t \ P)\} \in ?C' \rangle$   
**unfolding** mk\_alt\_consistency\_def **by** auto }

**{ fix**  $P \ x$   
**assume**  $\langle \forall a \in S'. x \notin \text{params } a \rangle$  **and**  $\langle \text{Exi } P \in S' \rangle$   
**moreover have**  $\langle \text{psubst } f (\text{Exi } P) \in ?S \rangle$   
**using** calculation **by** blast  
**then have**  $\langle \exists y. ?S \cup \{\text{sub } 0 (\text{Fun } y \ []) (\text{psubst } f \ P)\} \in C \rangle$   
**using** conc sc **by** (simp **add**: consistency\_def)  
**then obtain**  $y$  **where**  $\langle ?S \cup \{\text{sub } 0 (\text{Fun } y \ []) (\text{psubst } f \ P)\} \in C \rangle$   
**by** blast

**moreover have**  $\langle \text{psubst } (f(x := y)) \ ` \ S' = ?S \rangle$   
**using** calculation **by** (simp **cong** **add**: image\_cong)  
**then have**  $\langle \text{psubst } (f(x := y)) \ `$   
 $S' \cup \{\text{sub } 0 (\text{Fun } ((f(x := y)) \ x) \ []) (\text{psubst } (f(x := y)) \ P)\} \in C \rangle$   
**using** calculation **by** auto  
**then have**  $\langle \exists f. \text{psubst } f \ `$   
 $S' \cup \{\text{sub } 0 (\text{Fun } (f \ x) \ []) (\text{psubst } f \ P)\} \in C \rangle$   
**by** blast  
**then show**  $\langle S' \cup \{\text{sub } 0 (\text{Fun } x \ []) \ P\} \in ?C' \rangle$   
**unfolding** mk\_alt\_consistency\_def **by** simp }

**{ fix**  $P \ x$

**assume**  $\langle \forall a \in S'. x \notin \text{params } a \rangle$  **and**  $\langle \text{Neg (Uni P)} \in S' \rangle$   
**moreover have**  $\langle \text{psubst } f \text{ (Neg (Uni P))} \in ?S \rangle$   
**using** calculation **by** blast  
**then have**  $\langle \exists y. ?S \cup \{ \text{Neg (sub 0 (Fun y [])) (psubst } f \text{ P)} \} \in C \rangle$   
**using** conc sc **by** (simp add: consistency\_def)  
**then obtain y where**  $\langle ?S \cup \{ \text{Neg (sub 0 (Fun y [])) (psubst } f \text{ P)} \} \in C \rangle$   
**by** blast

**moreover have**  $\langle \text{psubst (f(x := y))} \ ` \ S' = ?S \rangle$   
**using** calculation **by** (simp cong add: image\_cong)  
**moreover have**  $\langle \text{psubst (f(x := y))} \ ` \ S' \cup \{ \text{Neg (sub 0 (Fun ((f(x := y)) x [])) (psubst (f(x := y)) P))} \} \in C \rangle$   
**using** calculation **by** auto  
**ultimately have**  $\langle \exists f. \text{psubst } f \ ` \ S' \cup \{ \text{Neg (sub 0 (Fun (f x [])) (psubst } f \text{ P))} \} \in C \rangle$   
**by** blast  
**then show**  $\langle S' \cup \{ \text{Neg (sub 0 (Fun x [])) P} \} \in ?C' \rangle$   
**unfolding** mk\_alt\_consistency\_def **by** simp }

qed

**theorem** mk\_alt\_consistency\_subset:  $\langle C \subseteq \text{mk\_alt\_consistency } C \rangle$

**unfolding** mk\_alt\_consistency\_def

**proof**

**fix** S

**assume**  $\langle S \in C \rangle$

**then have**  $\langle \text{psubst id} \ ` \ S \in C \rangle$

**by** simp

**then have**  $\langle \exists f. \text{psubst } f \ ` \ S \in C \rangle$

**by** blast  
**then show**  $\langle S \in \{S. \exists f. \text{psubst } f \ ` \ S \in C\} \rangle$   
**by** simp  
**qed**

### **subsection** $\langle$ Closure under Subsets $\rangle$

**definition** close ::  $\langle$ fm set set  $\Rightarrow$  fm set set $\rangle$  **where**  
 $\langle$ close C =  $\{S. \exists S' \in C. S \subseteq S'\} \rangle$

**definition** subset\_closed ::  $\langle$ 'a set set  $\Rightarrow$  bool $\rangle$  **where**  
 $\langle$ subset\_closed C =  $(\forall S' \in C. \forall S. S \subseteq S' \rightarrow S \in C) \rangle$

**lemma** subset\_in\_close:

**assumes**  $\langle S' \subseteq S \rangle$  **and**  $\langle S \cup x \in C \rangle$

**shows**  $\langle S' \cup x \in \text{close } C \rangle$

**proof** -

**have**  $\langle S \cup x \in \text{close } C \rangle$

**unfolding** close\_def **using**  $\langle S \cup x \in C \rangle$  **by** blast

**then show** ?thesis

**unfolding** close\_def **using**  $\langle S' \subseteq S \rangle$  **by** blast

**qed**

**theorem** close\_consistency:

**assumes** conc:  $\langle$ consistency C $\rangle$

**shows**  $\langle$ consistency (close C) $\rangle$

**unfolding** consistency\_def

**proof** (intro allI impI conjI)

**fix**  $S'$

**assume**  $\langle S' \in \text{close } C \rangle$

**then obtain**  $S$  **where**  $\langle S \in C \rangle$  **and**  $\langle S' \subseteq S \rangle$

**unfolding** close\_def **by** blast

{ **fix**  $p$   $ts$

**have**  $\langle \neg (\text{Pre } p \text{ } ts \in S \wedge \text{Neg } (\text{Pre } p \text{ } ts) \in S) \rangle$

**using**  $\langle S \in C \rangle$  conc **unfolding** consistency\_def **by** simp

**then show**  $\langle \neg (\text{Pre } p \text{ } ts \in S' \wedge \text{Neg } (\text{Pre } p \text{ } ts) \in S') \rangle$

**using**  $\langle S' \subseteq S \rangle$  **by** blast }

{ **have**  $\langle \text{Falsity} \notin S \rangle$

**using**  $\langle S \in C \rangle$  conc **unfolding** consistency\_def **by** blast

**then show**  $\langle \text{Falsity} \notin S' \rangle$

**using**  $\langle S' \subseteq S \rangle$  **by** blast }

{ **fix**  $p$   $q$

**assume**  $\langle \text{Con } p \text{ } q \in S' \rangle$

**then have**  $\langle \text{Con } p \text{ } q \in S \rangle$

**using**  $\langle S' \subseteq S \rangle$  **by** blast

**then have**  $\langle S \cup \{p, q\} \in C \rangle$

**using**  $\langle S \in C \rangle$  conc **unfolding** consistency\_def **by** simp

**then show**  $\langle S' \cup \{p, q\} \in \text{close } C \rangle$

**using**  $\langle S' \subseteq S \rangle$  subset\_in\_close **by** blast }

{ **fix**  $p$   $q$

```

assume  $\langle \text{Neg } (\text{Dis } p \ q) \in S' \rangle$ 
then have  $\langle \text{Neg } (\text{Dis } p \ q) \in S \rangle$ 
  using  $\langle S' \subseteq S \rangle$  by blast
then have  $\langle S \cup \{ \text{Neg } p, \text{Neg } q \} \in C \rangle$ 
  using  $\langle S \in C \rangle$  conc unfolding consistency_def by simp
then show  $\langle S' \cup \{ \text{Neg } p, \text{Neg } q \} \in \text{close } C \rangle$ 
  using  $\langle S' \subseteq S \rangle$  subset_in_close by blast }

```

```

{ fix p q
  assume  $\langle \text{Neg } (\text{Imp } p \ q) \in S' \rangle$ 
  then have  $\langle \text{Neg } (\text{Imp } p \ q) \in S \rangle$ 
    using  $\langle S' \subseteq S \rangle$  by blast
  then have  $\langle S \cup \{ p, \text{Neg } q \} \in C \rangle$ 
    using  $\langle S \in C \rangle$  conc unfolding consistency_def by blast
  then show  $\langle S' \cup \{ p, \text{Neg } q \} \in \text{close } C \rangle$ 
    using  $\langle S' \subseteq S \rangle$  subset_in_close by blast }

```

```

{ fix p q
  assume  $\langle \text{Dis } p \ q \in S' \rangle$ 
  then have  $\langle \text{Dis } p \ q \in S \rangle$ 
    using  $\langle S' \subseteq S \rangle$  by blast
  then have  $\langle S \cup \{ p \} \in C \vee S \cup \{ q \} \in C \rangle$ 
    using  $\langle S \in C \rangle$  conc unfolding consistency_def by simp
  then show  $\langle S' \cup \{ p \} \in \text{close } C \vee S' \cup \{ q \} \in \text{close } C \rangle$ 
    using  $\langle S' \subseteq S \rangle$  subset_in_close by blast }

```

```

{ fix p q

```

```

assume  $\langle \text{Neg } (\text{Con } p \ q) \in S' \rangle$ 
then have  $\langle \text{Neg } (\text{Con } p \ q) \in S \rangle$ 
  using  $\langle S' \subseteq S \rangle$  by blast
then have  $\langle S \cup \{\text{Neg } p\} \in C \vee S \cup \{\text{Neg } q\} \in C \rangle$ 
  using  $\langle S \in C \rangle$  conc unfolding consistency_def by simp
then show  $\langle S' \cup \{\text{Neg } p\} \in \text{close } C \vee S' \cup \{\text{Neg } q\} \in \text{close } C \rangle$ 
  using  $\langle S' \subseteq S \rangle$  subset_in_close by blast }

```

```

{ fix  $p \ q$ 
  assume  $\langle \text{Imp } p \ q \in S' \rangle$ 
  then have  $\langle \text{Imp } p \ q \in S \rangle$ 
    using  $\langle S' \subseteq S \rangle$  by blast
  then have  $\langle S \cup \{\text{Neg } p\} \in C \vee S \cup \{q\} \in C \rangle$ 
    using  $\langle S \in C \rangle$  conc unfolding consistency_def by simp
  then show  $\langle S' \cup \{\text{Neg } p\} \in \text{close } C \vee S' \cup \{q\} \in \text{close } C \rangle$ 
    using  $\langle S' \subseteq S \rangle$  subset_in_close by blast }

```

```

{ fix  $P \ t$ 
  assume  $\langle \text{closed\_term } 0 \ t \rangle$  and  $\langle \text{Uni } P \in S' \rangle$ 
  then have  $\langle \text{Uni } P \in S \rangle$ 
    using  $\langle S' \subseteq S \rangle$  by blast
  then have  $\langle S \cup \{\text{sub } 0 \ t \ P\} \in C \rangle$ 
    using  $\langle \text{closed\_term } 0 \ t \rangle$   $\langle S \in C \rangle$  conc
    unfolding consistency_def by blast
  then show  $\langle S' \cup \{\text{sub } 0 \ t \ P\} \in \text{close } C \rangle$ 
    using  $\langle S' \subseteq S \rangle$  subset_in_close by blast }

```

```

{ fix P t
  assume  $\langle \text{closed\_term } 0 \ t \ \text{and} \ \langle \text{Neg} (\text{Exi } P) \in S' \rangle$ 
  then have  $\langle \text{Neg} (\text{Exi } P) \in S \rangle$ 
    using  $\langle S' \subseteq S \rangle$  by blast
  then have  $\langle S \cup \{ \text{Neg} (\text{sub } 0 \ t \ P) \} \in C \rangle$ 
    using  $\langle \text{closed\_term } 0 \ t \ \langle S \in C \rangle$  conc
    unfolding consistency_def by blast
  then show  $\langle S' \cup \{ \text{Neg} (\text{sub } 0 \ t \ P) \} \in \text{close } C \rangle$ 
    using  $\langle S' \subseteq S \rangle$  subset_in_close by blast }

```

```

{ fix P
  assume  $\langle \text{Exi } P \in S' \rangle$ 
  then have  $\langle \text{Exi } P \in S \rangle$ 
    using  $\langle S' \subseteq S \rangle$  by blast
  then have  $\langle \exists c. S \cup \{ \text{sub } 0 \ (\text{Fun } c \ []) \ P \} \in C \rangle$ 
    using  $\langle S \in C \rangle$  conc unfolding consistency_def by blast
  then show  $\langle \exists c. S' \cup \{ \text{sub } 0 \ (\text{Fun } c \ []) \ P \} \in \text{close } C \rangle$ 
    using  $\langle S' \subseteq S \rangle$  subset_in_close by blast }

```

```

{ fix P
  assume  $\langle \text{Neg} (\text{Uni } P) \in S' \rangle$ 
  then have  $\langle \text{Neg} (\text{Uni } P) \in S \rangle$ 
    using  $\langle S' \subseteq S \rangle$  by blast
  then have  $\langle \exists c. S \cup \{ \text{Neg} (\text{sub } 0 \ (\text{Fun } c \ []) \ P) \} \in C \rangle$ 
    using  $\langle S \in C \rangle$  conc unfolding consistency_def by simp
  then show  $\langle \exists c. S' \cup \{ \text{Neg} (\text{sub } 0 \ (\text{Fun } c \ []) \ P) \} \in \text{close } C \rangle$ 
    using  $\langle S' \subseteq S \rangle$  subset_in_close by blast }

```

qed

**theorem** close\_closed:  $\langle \text{subset\_closed} (\text{close } C) \rangle$   
**unfolding** close\_def subset\_closed\_def **by** blast

**theorem** close\_subset:  $\langle C \subseteq \text{close } C \rangle$   
**unfolding** close\_def **by** blast

**theorem** mk\_alt\_consistency\_closed:  
**assumes**  $\langle \text{subset\_closed } C \rangle$   
**shows**  $\langle \text{subset\_closed} (\text{mk\_alt\_consistency } C) \rangle$   
**unfolding** subset\_closed\_def  
**proof** (intro ballI allI impI)  
**fix**  $S S'$   
**assume**  $\langle S \in \text{mk\_alt\_consistency } C \rangle$  **and**  $\langle S' \subseteq S \rangle$   
**then obtain**  $f$  **where**  $\ast: \langle \text{psubst } f \ ` \ S \in C \rangle$   
**unfolding** mk\_alt\_consistency\_def **by** blast  
**moreover have**  $\langle \text{psubst } f \ ` \ S' \subseteq \text{psubst } f \ ` \ S \rangle$   
**using**  $\langle S' \subseteq S \rangle$  **by** blast  
**ultimately have**  $\langle \text{psubst } f \ ` \ S' \in C \rangle$   
**using**  $\langle \text{subset\_closed } C \rangle$  **unfolding** subset\_closed\_def **by** blast  
**then show**  $\langle S' \in \text{mk\_alt\_consistency } C \rangle$   
**unfolding** mk\_alt\_consistency\_def **by** blast

qed

**subsection**  $\langle \text{Finite Character} \rangle$

**definition** finite\_char :: ⟨'a set set ⇒ bool⟩ **where**  
⟨finite\_char C = (∀S. S ∈ C = (∀S'. finite S' → S' ⊆ S → S' ∈ C))⟩

**definition** mk\_finite\_char :: ⟨'a set set ⇒ 'a set set⟩ **where**  
⟨mk\_finite\_char C = {S. ∀S'. S' ⊆ S → finite S' → S' ∈ C}⟩

**theorem** finite\_char: ⟨finite\_char (mk\_finite\_char C)⟩  
**unfolding** finite\_char\_def mk\_finite\_char\_def **by** blast

**theorem** finite\_alt\_consistency:  
**assumes** altconc: ⟨alt\_consistency C⟩  
**and** ⟨subset\_closed C⟩  
**shows** ⟨alt\_consistency (mk\_finite\_char C)⟩  
**unfolding** alt\_consistency\_def

**proof** (intro allI impI conjI)

**fix** S

**assume** ⟨S ∈ mk\_finite\_char C⟩

**then have** finc: ⟨∀S' ⊆ S. finite S' → S' ∈ C⟩

**unfolding** mk\_finite\_char\_def **by** blast

**have** ⟨∀S' ∈ C. ∀S ⊆ S'. S ∈ C⟩

**using** ⟨subset\_closed C⟩ **unfolding** subset\_closed\_def **by** blast

**then have** sc: ⟨∀S' x. S' ∪ x ∈ C → (∀S ⊆ S' ∪ x. S ∈ C)⟩

**by** blast

{ **fix** p ts

**show** ⟨¬ (Pre p ts ∈ S ∧ Neg (Pre p ts) ∈ S)⟩

**proof**

**assume**  $\langle \text{Pre } p \text{ ts} \in S \wedge \text{Neg } (\text{Pre } p \text{ ts}) \in S \rangle$

**then have**  $\langle \{\text{Pre } p \text{ ts}, \text{Neg } (\text{Pre } p \text{ ts})\} \in C \rangle$

**using** **fin** **by** **simp**

**then show** **False**

**using** **altconc** **unfolding** **alt\_consistency\_def** **by** **fast**

**qed** }

**show**  $\langle \text{Falsity} \notin S \rangle$

**proof**

**assume**  $\langle \text{Falsity} \in S \rangle$

**then have**  $\langle \{\text{Falsity}\} \in C \rangle$

**using** **fin** **by** **simp**

**then show** **False**

**using** **altconc** **unfolding** **alt\_consistency\_def** **by** **fast**

**qed**

{ **fix** **p q**

**assume** \*:  $\langle \text{Con } p \text{ q} \in S \rangle$

**show**  $\langle S \cup \{p, q\} \in \text{mk\_finite\_char } C \rangle$

**unfolding** **mk\\_finite\\_char\\_def**

**proof** (intro allI impI CollectI)

**fix** **S'**

**let** **?S'** =  $\langle S' - \{p, q\} \cup \{\text{Con } p \text{ q}\} \rangle$

**assume**  $\langle S' \subseteq S \cup \{p, q\} \rangle$  **and**  $\langle \text{finite } S' \rangle$

**then have**  $\langle ?S' \subseteq S \rangle$

```

using * by blast
moreover have ⟨finite ?S'⟩
  using ⟨finite S'⟩ by blast
ultimately have ⟨?S' ∈ C⟩
  using finc by blast
then have ⟨?S' ∪ {p, q} ∈ C⟩
  using altconc unfolding alt_consistency_def by simp
then show ⟨S' ∈ C⟩
  using sc by blast
qed }

```

```

{ fix p q
  assume *: ⟨Neg (Dis p q) ∈ S⟩
  show ⟨S ∪ {Neg p, Neg q} ∈ mk_finite_char C⟩
    unfolding mk_finite_char_def
  proof (intro allI impI CollectI)
    fix S'
    let ?S' = ⟨S' - {Neg p, Neg q} ∪ {Neg (Dis p q)}⟩

    assume ⟨S' ⊆ S ∪ {Neg p, Neg q}⟩ and ⟨finite S'⟩
    then have ⟨?S' ⊆ S⟩
      using * by blast
    moreover have ⟨finite ?S'⟩
      using ⟨finite S'⟩ by blast
    ultimately have ⟨?S' ∈ C⟩
      using finc by blast
    then have ⟨?S' ∪ {Neg p, Neg q} ∈ C⟩

```

```

    using altconc unfolding alt_consistency_def by simp
  then show  $\langle S' \in C \rangle$ 
    using sc by blast
qed }

```

```

{ fix p q
  assume *:  $\langle \text{Neg} (\text{Imp } p \ q) \in S \rangle$ 
  show  $\langle S \cup \{p, \text{Neg } q\} \in \text{mk\_finite\_char } C \rangle$ 
    unfolding mk_finite_char_def
  proof (intro allI impI CollectI)
    fix S'
    let ?S' =  $\langle S' - \{p, \text{Neg } q\} \cup \{\text{Neg} (\text{Imp } p \ q)\} \rangle$ 

    assume  $\langle S' \subseteq S \cup \{p, \text{Neg } q\} \rangle$  and  $\langle \text{finite } S' \rangle$ 
    then have  $\langle ?S' \subseteq S \rangle$ 
      using * by blast
    moreover have  $\langle \text{finite } ?S' \rangle$ 
      using  $\langle \text{finite } S' \rangle$  by blast
    ultimately have  $\langle ?S' \in C \rangle$ 
      using finc by blast
    then have  $\langle ?S' \cup \{p, \text{Neg } q\} \in C \rangle$ 
      using altconc unfolding alt_consistency_def by simp
    then show  $\langle S' \in C \rangle$ 
      using sc by blast
  qed }

```

```

{ fix p q

```

```

assume *: ⟨Dis p q ∈ S⟩
show ⟨S ∪ {p} ∈ mk_finite_char C ∨ S ∪ {q} ∈ mk_finite_char C⟩
proof (rule ccontr)
  assume ⟨¬ ?thesis⟩
  then obtain Sa and Sb
    where ⟨Sa ⊆ S ∪ {p}⟩ and ⟨finite Sa⟩ and ⟨Sa ∉ C⟩
    and ⟨Sb ⊆ S ∪ {q}⟩ and ⟨finite Sb⟩ and ⟨Sb ∉ C⟩
    unfolding mk_finite_char_def by blast

  let ?S' = ⟨(Sa - {p}) ∪ (Sb - {q}) ∪ {Dis p q}⟩

  have ⟨?S' ⊆ S⟩
    using ⟨Sa ⊆ S ∪ {p}⟩ ⟨Sb ⊆ S ∪ {q}⟩ * by blast
  moreover have ⟨finite ?S'⟩
    using ⟨finite Sa⟩ ⟨finite Sb⟩ by blast
  ultimately have ⟨?S' ∈ C⟩
    using finc by blast
  then have ⟨?S' ∪ {p} ∈ C ∨ ?S' ∪ {q} ∈ C⟩
    using altconc unfolding alt_consistency_def by simp
  then have ⟨Sa ∈ C ∨ Sb ∈ C⟩
    using sc by blast
  then show False
    using ⟨Sa ∉ C⟩ ⟨Sb ∉ C⟩ by blast
qed }

{ fix p q
  assume *: ⟨Neg (Con p q) ∈ S⟩

```

```

show  $\langle S \cup \{\text{Neg } p\} \in \text{mk\_finite\_char } C \vee S \cup \{\text{Neg } q\} \in \text{mk\_finite\_char } C \rangle$ 
proof (rule ccontr)
  assume  $\langle \neg ?thesis \rangle$ 
  then obtain  $Sa$  and  $Sb$ 
    where  $\langle Sa \subseteq S \cup \{\text{Neg } p\} \rangle$  and  $\langle \text{finite } Sa \rangle$  and  $\langle Sa \notin C \rangle$ 
    and  $\langle Sb \subseteq S \cup \{\text{Neg } q\} \rangle$  and  $\langle \text{finite } Sb \rangle$  and  $\langle Sb \notin C \rangle$ 
    unfolding  $\text{mk\_finite\_char\_def}$  by blast
  let  $?S' = \langle (Sa - \{\text{Neg } p\}) \cup (Sb - \{\text{Neg } q\}) \cup \{\text{Neg } (\text{Con } p \ q)\} \rangle$ 
  have  $\langle ?S' \subseteq S \rangle$ 
    using  $\langle Sa \subseteq S \cup \{\text{Neg } p\} \rangle$   $\langle Sb \subseteq S \cup \{\text{Neg } q\} \rangle$  * by blast
  moreover have  $\langle \text{finite } ?S' \rangle$ 
    using  $\langle \text{finite } Sa \rangle$   $\langle \text{finite } Sb \rangle$  by blast
  ultimately have  $\langle ?S' \in C \rangle$ 
    using  $\text{finc}$  by blast
  then have  $\langle ?S' \cup \{\text{Neg } p\} \in C \vee ?S' \cup \{\text{Neg } q\} \in C \rangle$ 
    using  $\text{altconc}$  unfolding  $\text{alt\_consistency\_def}$  by simp
  then have  $\langle Sa \in C \vee Sb \in C \rangle$ 
    using  $\text{sc}$  by blast
  then show  $\text{False}$ 
    using  $\langle Sa \notin C \rangle$   $\langle Sb \notin C \rangle$  by blast
qed }

```

```

{ fix  $p \ q$ 
  assume *:  $\langle \text{Imp } p \ q \in S \rangle$ 
  show  $\langle S \cup \{\text{Neg } p\} \in \text{mk\_finite\_char } C \vee S \cup \{q\} \in \text{mk\_finite\_char } C \rangle$ 

```

**proof** (rule ccontr)  
**assume**  $\langle \neg ?thesis \rangle$   
**then obtain**  $Sa$  **and**  $Sb$   
**where**  $\langle Sa \subseteq S \cup \{Neg\ p\} \rangle$  **and**  $\langle finite\ Sa \rangle$  **and**  $\langle Sa \notin C \rangle$   
**and**  $\langle Sb \subseteq S \cup \{q\} \rangle$  **and**  $\langle finite\ Sb \rangle$  **and**  $\langle Sb \notin C \rangle$   
**unfolding**  $mk\_finite\_char\_def$  **by** blast

**let**  $?S' = \langle (Sa - \{Neg\ p\}) \cup (Sb - \{q\}) \cup \{Imp\ p\ q\} \rangle$

**have**  $\langle ?S' \subseteq S \rangle$   
**using**  $\langle Sa \subseteq S \cup \{Neg\ p\} \rangle$   $\langle Sb \subseteq S \cup \{q\} \rangle$  \* **by** blast  
**moreover have**  $\langle finite\ ?S' \rangle$   
**using**  $\langle finite\ Sa \rangle$   $\langle finite\ Sb \rangle$  **by** blast  
**ultimately have**  $\langle ?S' \in C \rangle$   
**using**  $finc$  **by** blast  
**then have**  $\langle ?S' \cup \{Neg\ p\} \in C \vee ?S' \cup \{q\} \in C \rangle$   
**using**  $altconc$  **unfolding**  $alt\_consistency\_def$  **by** simp  
**then have**  $\langle Sa \in C \vee Sb \in C \rangle$   
**using**  $sc$  **by** blast  
**then show** False  
**using**  $\langle Sa \notin C \rangle$   $\langle Sb \notin C \rangle$  **by** blast  
**qed** }

**{ fix**  $P\ t$   
**assume** \*:  $\langle Uni\ P \in S \rangle$  **and**  $\langle closed\_term\ 0\ t \rangle$   
**show**  $\langle S \cup \{sub\ 0\ t\ P\} \in mk\_finite\_char\ C \rangle$   
**unfolding**  $mk\_finite\_char\_def$

```

proof (intro allI impI CollectI)
  fix S'
  let ?S' = ⟨S' - {sub 0 t P} ∪ {Uni P}⟩

  assume ⟨S' ⊆ S ∪ {sub 0 t P}⟩ and ⟨finite S'⟩
  then have ⟨?S' ⊆ S⟩
    using * by blast
  moreover have ⟨finite ?S'⟩
    using ⟨finite S'⟩ by blast
  ultimately have ⟨?S' ∈ C⟩
    using finc by blast
  then have ⟨?S' ∪ {sub 0 t P} ∈ C⟩
    using altconc ⟨closed_term 0 t⟩
    unfolding alt_consistency_def by simp
  then show ⟨S' ∈ C⟩
    using sc by blast
qed }

{ fix P t
  assume *: ⟨Neg (Exi P) ∈ S⟩ and ⟨closed_term 0 t⟩
  show ⟨S ∪ {Neg (sub 0 t P)} ∈ mk_finite_char C⟩
    unfolding mk_finite_char_def
  proof (intro allI impI CollectI)
    fix S'
    let ?S' = ⟨S' - {Neg (sub 0 t P)} ∪ {Neg (Exi P)}⟩

    assume ⟨S' ⊆ S ∪ {Neg (sub 0 t P)}⟩ and ⟨finite S'⟩

```

**then have**  $\langle ?S' \subseteq S \rangle$   
 using \* **by** blast  
**moreover have**  $\langle \text{finite } ?S' \rangle$   
 using  $\langle \text{finite } S' \rangle$  **by** blast  
**ultimately have**  $\langle ?S' \in C \rangle$   
 using **fin** **by** blast  
**then have**  $\langle ?S' \cup \{\text{Neg}(\text{sub } 0 \text{ t } P)\} \in C \rangle$   
 using **altconc**  $\langle \text{closed\_term } 0 \text{ t} \rangle$   
**unfolding** **alt\_consistency\_def** **by** **simp**  
**then show**  $\langle S' \in C \rangle$   
 using **sc** **by** blast  
**qed** }

**{ fix**  $P \ x$   
**assume** \*:  $\langle \text{Exi } P \in S \rangle$  **and**  $\langle \forall a \in S. x \notin \text{params } a \rangle$   
**show**  $\langle S \cup \{\text{sub } 0 (\text{Fun } x \ []) P\} \in \text{mk\_finite\_char } C \rangle$   
**unfolding** **mk\\_finite\\_char\\_def**  
**proof** (intro allI impI CollectI)  
**fix**  $S'$   
**let**  $?S' = \langle (S' - \{\text{sub } 0 (\text{Fun } x \ []) P\}) \cup \{\text{Exi } P\} \rangle$   
  
**assume**  $\langle S' \subseteq S \cup \{\text{sub } 0 (\text{Fun } x \ []) P\} \rangle$  **and**  $\langle \text{finite } S' \rangle$   
**then have**  $\langle ?S' \subseteq S \rangle$   
 using \* **by** blast  
**moreover have**  $\langle \text{finite } ?S' \rangle$   
 using  $\langle \text{finite } S' \rangle$  **by** blast  
**ultimately have**  $\langle ?S' \in C \rangle$

```

using finc by blast
moreover have  $\langle \forall a \in ?S'. x \notin \text{params } a \rangle$ 
  using  $\langle \forall a \in S. x \notin \text{params } a \rangle \langle ?S' \subseteq S \rangle$  by blast
ultimately have  $\langle ?S' \cup \{\text{sub } 0 \text{ (Fun } x \text{ [])} P\} \in C \rangle$ 
  using altconc  $\langle \forall a \in S. x \notin \text{params } a \rangle$ 
  unfolding alt_consistency_def by blast
then show  $\langle S' \in C \rangle$ 
  using sc by blast
qed }

```

```

{ fix P x
  assume *:  $\langle \text{Neg (Uni } P) \in S \rangle$  and  $\langle \forall a \in S. x \notin \text{params } a \rangle$ 
  show  $\langle S \cup \{\text{Neg (sub } 0 \text{ (Fun } x \text{ [])} P)\} \in \text{mk\_finite\_char } C \rangle$ 
    unfolding mk_finite_char_def
  proof (intro allI impI CollectI)
    fix S'
    let ?S' =  $\langle S' - \{\text{Neg (sub } 0 \text{ (Fun } x \text{ [])} P)\} \cup \{\text{Neg (Uni } P)\} \rangle$ 

    assume  $\langle S' \subseteq S \cup \{\text{Neg (sub } 0 \text{ (Fun } x \text{ [])} P)\} \rangle$  and  $\langle \text{finite } S' \rangle$ 
    then have  $\langle ?S' \subseteq S \rangle$ 
      using * by blast
    moreover have  $\langle \text{finite } ?S' \rangle$ 
      using  $\langle \text{finite } S' \rangle$  by blast
    ultimately have  $\langle ?S' \in C \rangle$ 
      using finc by blast
    moreover have  $\langle \forall a \in ?S'. x \notin \text{params } a \rangle$ 
      using  $\langle \forall a \in S. x \notin \text{params } a \rangle \langle ?S' \subseteq S \rangle$  by blast
  }

```

```

ultimately have ⟨?S' ∪ {Neg (sub 0 (Fun x []) P)} ∈ C⟩
  using altconc ⟨∀a ∈ S. x ∉ params a⟩
  unfolding alt_consistency_def by simp
then show ⟨S' ∈ C⟩
  using sc by blast
qed }
qed

```

```

theorem finite_char_closed: ⟨finite_char C ⇒ subset_closed C⟩
  unfolding finite_char_def subset_closed_def
proof (intro ballI allI impI)
  fix S S'
  assume *: ⟨∀S. (S ∈ C) = (∀S'. finite S' → S' ⊆ S → S' ∈ C)⟩
  and ⟨S' ∈ C⟩ and ⟨S ⊆ S'⟩
  then have ⟨∀S'. finite S' → S' ⊆ S → S' ∈ C⟩ by blast
  then show ⟨S ∈ C⟩ using * by blast
qed

```

```

theorem finite_char_subset: ⟨subset_closed C ⇒ C ⊆ mk_finite_char C⟩
  unfolding mk_finite_char_def subset_closed_def by blast

```

### subsection ⟨Enumerating Datatypes⟩

```

primrec diag :: ⟨nat ⇒ (nat × nat)⟩ where
  ⟨diag 0 = (0, 0)⟩
| ⟨diag (Suc n) =
  (let (x, y) = diag n

```

```
in case y of
  0 ⇒ (0, Suc x)
| Suc y ⇒ (Suc x, y)⟩
```

**theorem** diag\_le1: ⟨fst (diag (Suc n)) < Suc n⟩  
**by** (induct n) (simp\_all add: Let\_def split\_def split: nat.split)

**theorem** diag\_le2: ⟨snd (diag (Suc (Suc n))) < Suc (Suc n)⟩

**proof** (induct n)

**case** 0

**then show ?case by** simp

**next**

**case** (Suc n')

**then show ?case**

**proof** (induct n')

**case** 0

**then show ?case by** simp

**next**

**case** (Suc \_)

**then show ?case**

**using** diag\_le1 **by** (simp add: Let\_def split\_def split: nat.split)

**qed**

**qed**

**theorem** diag\_le3: ⟨fst (diag n) = Suc x ⇒ snd (diag n) < n⟩

**proof** (induct n)

**case** 0

```

then show ?case by simp
next
  case (Suc n')
  then show ?case
  proof (induct n')
    case 0
    then show ?case by simp
  next
    case (Suc n'')
    then show ?case using diag_le2 by simp
  qed
qed

```

```

theorem diag_le4: <fst (diag n) = Suc x  $\implies$  x < n>
proof (induct n)
  case 0
  then show ?case by simp
next
  case (Suc n')
  then have <fst (diag (Suc n')) < Suc n'>
    using diag_le1 by blast
  then show ?case using Suc by simp
qed

```

```

function undiag :: <nat  $\times$  nat  $\implies$  nat> where
  <undiag (0, 0) = 0>
  | <undiag (0, Suc y) = Suc (undiag (y, 0))>

```

|  $\langle \text{undia}g (\text{Suc } x, y) = \text{Suc } (\text{undia}g (x, \text{Suc } y)) \rangle$

by pat\_completeness auto

**termination**

by (relation  $\langle \text{measure } (\lambda(x, y). ((x + y) * (x + y + 1)) \text{ div } 2 + x) \rangle$ ) auto

**theorem** diag\_undia [simp]:  $\langle \text{diag } (\text{undia}g (x, y)) = (x, y) \rangle$

by (induct rule: undia.Induct) simp\_all

**datatype** btree = Leaf nat | Branch btree btree

**function** diag\_btree ::  $\langle \text{nat} \Rightarrow \text{btree} \rangle$  **where**

$\langle \text{diag\_btree } n = (\text{case } \text{fst } (\text{diag } n) \text{ of}$

0  $\Rightarrow$  Leaf (snd (diag n))

| Suc x  $\Rightarrow$  Branch (diag\_btree x) (diag\_btree (snd (diag n)))  $\rangle$

by auto

**termination**

by (relation  $\langle \text{measure } \text{id} \rangle$ ) (auto intro: diag\_le3 diag\_le4)

**primrec** undia\_btree ::  $\langle \text{btree} \Rightarrow \text{nat} \rangle$  **where**

$\langle \text{undia\_btree } (\text{Leaf } n) = \text{undia}g (0, n) \rangle$

|  $\langle \text{undia\_btree } (\text{Branch } t1 t2) =$

$\text{undia}g (\text{Suc } (\text{undia\_btree } t1), \text{undia\_btree } t2) \rangle$

**theorem** diag\_undia\_btree [simp]:  $\langle \text{diag\_btree } (\text{undia\_btree } t) = t \rangle$

by (induct t) simp\_all

**declare** diag\_btree.simps [simp del] undia\_btree.simps [simp del]

```

fun list_of_btree :: ⟨(nat ⇒ 'a) ⇒ btree ⇒ 'a list⟩ where
  ⟨list_of_btree f (Leaf x) = []⟩
| ⟨list_of_btree f (Branch (Leaf n) t) = f n # list_of_btree f t⟩
| ⟨list_of_btree f _ = undefined⟩

```

```

primrec btree_of_list :: ⟨('a ⇒ nat) ⇒ 'a list ⇒ btree⟩ where
  ⟨btree_of_list f [] = Leaf 0⟩
| ⟨btree_of_list f (x # xs) = Branch (Leaf (f x)) (btree_of_list f xs)⟩

```

```

definition diag_list :: ⟨(nat ⇒ 'a) ⇒ nat ⇒ 'a list⟩ where
  ⟨diag_list f n = list_of_btree f (diag_btree n)⟩

```

```

definition undiag_list :: ⟨('a ⇒ nat) ⇒ 'a list ⇒ nat⟩ where
  ⟨undiag_list f xs = undiag_btree (btree_of_list f xs)⟩

```

```

theorem diag_undiag_list [simp]: ⟨(∧x. d (u x) = x) ⇒ diag_list d (undiag_list u xs) = xs⟩
  by (induct xs) (simp_all add: diag_list_def undiag_list_def)

```

```

fun string_of_btree :: ⟨btree ⇒ string⟩ where
  ⟨string_of_btree (Leaf x) = []⟩
| ⟨string_of_btree (Branch (Leaf n) t) = char_of n # string_of_btree t⟩
| ⟨string_of_btree _ = undefined⟩

```

```

primrec btree_of_string :: ⟨string ⇒ btree⟩ where
  ⟨btree_of_string [] = Leaf 0⟩
| ⟨btree_of_string (x # xs) = Branch (Leaf (of_char x)) (btree_of_string xs)⟩

```

**definition** diag\_string ::  $\langle \text{nat} \Rightarrow \text{string} \rangle$  **where**  
 $\langle \text{diag\_string } n = \text{string\_of\_btree } (\text{diag\_btree } n) \rangle$

**definition** undiag\_string ::  $\langle \text{string} \Rightarrow \text{nat} \rangle$  **where**  
 $\langle \text{undiag\_string } xs = \text{undiag\_btree } (\text{btree\_of\_string } xs) \rangle$

**theorem** diag\_undiag\_string [simp]:  $\langle \text{diag\_string } (\text{undiag\_string } xs) = xs \rangle$   
**by** (induct xs) (simp\_all add: diag\_string\_def undiag\_string\_def)

**lemma** inj\_undiag\_string:  $\langle \text{inj undiag\_string} \rangle$   
**by** (metis diag\_undiag\_string inj\_onI)

**fun**

term\_of\_btree ::  $\langle \text{btree} \Rightarrow \text{tm} \rangle$  **and**  
term\_list\_of\_btree ::  $\langle \text{btree} \Rightarrow \text{tm list} \rangle$  **where**  
 $\langle \text{term\_of\_btree } (\text{Leaf } m) = \text{Var } m \rangle$   
 $\langle \text{term\_of\_btree } (\text{Branch } (\text{Leaf } m) t) =$   
   $\text{Fun } (\text{diag\_string } m) (\text{term\_list\_of\_btree } t) \rangle$   
 $\langle \text{term\_list\_of\_btree } (\text{Leaf } m) = [] \rangle$   
 $\langle \text{term\_list\_of\_btree } (\text{Branch } t1 t2) =$   
   $\text{term\_of\_btree } t1 \# \text{term\_list\_of\_btree } t2 \rangle$   
 $\langle \text{term\_of\_btree } (\text{Branch } (\text{Branch } \_ \_) \_) = \text{undefined} \rangle$

**primrec**

btree\_of\_term ::  $\langle \text{tm} \Rightarrow \text{btree} \rangle$  **and**  
btree\_of\_term\_list ::  $\langle \text{tm list} \Rightarrow \text{btree} \rangle$  **where**

$\langle \text{btree\_of\_term } (\text{Var } m) = \text{Leaf } m \rangle$   
 $\mid \langle \text{btree\_of\_term } (\text{Fun } m \text{ ts}) = \text{Branch } (\text{Leaf } (\text{undiastring } m)) (\text{btree\_of\_term\_list } ts) \rangle$   
 $\mid \langle \text{btree\_of\_term\_list } [] = \text{Leaf } 0 \rangle$   
 $\mid \langle \text{btree\_of\_term\_list } (t \# ts) = \text{Branch } (\text{btree\_of\_term } t) (\text{btree\_of\_term\_list } ts) \rangle$

**theorem** term\_btree:

**shows**  $\langle \text{term\_of\_btree } (\text{btree\_of\_term } t) = t \rangle$   
**and**  $\langle \text{term\_list\_of\_btree } (\text{btree\_of\_term\_list } ts) = ts \rangle$   
**by** (induct **t and ts rule**: btree\_of\_term.induct btree\_of\_term\_list.induct) simp\_all

**definition** diag\_term ::  $\langle \text{nat} \Rightarrow \text{tm} \rangle$  **where**

$\langle \text{diag\_term } n = \text{term\_of\_btree } (\text{diag\_btree } n) \rangle$

**definition** undiastring\_term ::  $\langle \text{tm} \Rightarrow \text{nat} \rangle$  **where**

$\langle \text{undiastring\_term } t = \text{undiastring\_btree } (\text{btree\_of\_term } t) \rangle$

**theorem** diag\_undiastring\_term [simp]:  $\langle \text{diag\_term } (\text{undiastring\_term } t) = t \rangle$

**unfolding** diag\_term\_def undiastring\_term\_def **using** term\_btree **by** simp

**fun** form\_of\_btree ::  $\langle \text{btree} \Rightarrow \text{fm} \rangle$  **where**

$\langle \text{form\_of\_btree } (\text{Leaf } 0) = \text{Falsity} \rangle$

$\mid \langle \text{form\_of\_btree } (\text{Branch } (\text{Leaf } 0) (\text{Branch } (\text{Leaf } m) (\text{Leaf } n))) =$

$\text{Pre } (\text{diag\_string } m) (\text{diag\_list } \text{diag\_term } n) \rangle$

$\mid \langle \text{form\_of\_btree } (\text{Branch } (\text{Leaf } (\text{Suc } 0)) (\text{Branch } t1 t2)) =$

$\text{Con } (\text{form\_of\_btree } t1) (\text{form\_of\_btree } t2) \rangle$

$\mid \langle \text{form\_of\_btree } (\text{Branch } (\text{Leaf } (\text{Suc } (\text{Suc } 0))) (\text{Branch } t1 t2)) =$

$\text{Dis } (\text{form\_of\_btree } t1) (\text{form\_of\_btree } t2) \rangle$

```

| <form_of_btree (Branch (Leaf (Suc (Suc (Suc 0)))) (Branch t1 t2)) =
  Imp (form_of_btree t1) (form_of_btree t2)>
| <form_of_btree (Branch (Leaf (Suc (Suc (Suc (Suc 0)))))) t) =
  Uni (form_of_btree t)>
| <form_of_btree (Branch (Leaf (Suc (Suc (Suc (Suc (Suc 0)))))) t) =
  Exi (form_of_btree t)>
| <form_of_btree (Leaf (Suc _)) = undefined>
| <form_of_btree (Branch (Leaf (Suc (Suc (Suc (Suc (Suc (Suc _))))))) _) = undefined>
| <form_of_btree (Branch (Leaf (Suc (Suc (Suc 0))) (Leaf _)) = undefined>
| <form_of_btree (Branch (Leaf (Suc (Suc 0))) (Leaf _)) = undefined>
| <form_of_btree (Branch (Leaf (Suc 0)) (Leaf _)) = undefined>
| <form_of_btree (Branch (Branch _ _)) = undefined>
| <form_of_btree (Branch (Leaf 0) (Leaf _)) = undefined>
| <form_of_btree (Branch (Leaf 0) (Branch (Branch _ _)) = undefined>
| <form_of_btree (Branch (Leaf 0) (Branch (Leaf _) (Branch _ _))) = undefined>

```

**primrec** btree\_of\_form :: <fm  $\Rightarrow$  btree> **where**

```

<btree_of_form Falsity = Leaf 0>
| <btree_of_form (Pre b ts) = Branch (Leaf 0)
  (Branch (Leaf (unddiag_string b)) (Leaf (unddiag_list unddiag_term ts)))>
| <btree_of_form (Con a b) = Branch (Leaf (Suc 0))
  (Branch (btree_of_form a) (btree_of_form b))>
| <btree_of_form (Dis a b) = Branch (Leaf (Suc (Suc 0)))
  (Branch (btree_of_form a) (btree_of_form b))>
| <btree_of_form (Imp a b) = Branch (Leaf (Suc (Suc (Suc 0))))
  (Branch (btree_of_form a) (btree_of_form b))>
| <btree_of_form (Uni a) = Branch (Leaf (Suc (Suc (Suc (Suc 0))))>

```

(btree\_of\_form a)⟩  
| ⟨btree\_of\_form (Exi a) = Branch (Leaf (Suc (Suc (Suc (Suc (Suc 0))))))  
(btree\_of\_form a)⟩

**definition** diag\_form :: ⟨nat ⇒ fm⟩ **where**  
⟨diag\_form n = form\_of\_btree (diag\_btree n)⟩

**definition** undiag\_form :: ⟨fm ⇒ nat⟩ **where**  
⟨undiag\_form x = undiag\_btree (btree\_of\_form x)⟩

**theorem** diag\_undiag\_form [simp]: ⟨diag\_form (undiag\_form f) = f⟩  
**unfolding** diag\_form\_def undiag\_form\_def **by** (induct f) simp\_all

**definition** diag\_form' :: ⟨nat ⇒ fm⟩ **where**  
⟨diag\_form' = diag\_form⟩

**definition** undiag\_form' :: ⟨fm ⇒ nat⟩ **where**  
⟨undiag\_form' = undiag\_form⟩

**theorem** diag\_undiag\_form' [simp]: ⟨diag\_form' (undiag\_form' f) = f⟩  
**by** (simp **add**: diag\_form'\_def undiag\_form'\_def)

**abbreviation** ⟨from\_nat ≡ diag\_form'⟩

**abbreviation** ⟨to\_nat ≡ undiag\_form'⟩

**subsection** ⟨Extension to Maximal Consistent Sets⟩

**definition** is\_chain ::  $\langle (\text{nat} \Rightarrow 'a \text{ set}) \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{is\_chain } f = (\forall n. f \ n \subseteq f \ (\text{Suc } n)) \rangle$

**lemma** is\_chainD:  $\langle \text{is\_chain } f \implies x \in f \ m \implies x \in f \ (m + n) \rangle$   
**unfolding** is\_chain\_def **by** (induct n) auto

**lemma** is\_chainD':  
**assumes**  $\langle \text{is\_chain } f \rangle$  **and**  $\langle x \in f \ m \rangle$  **and**  $\langle m \leq k \rangle$   
**shows**  $\langle x \in f \ k \rangle$

**proof** -  
**have**  $\langle \exists n. k = m + n \rangle$   
**using**  $\langle m \leq k \rangle$  **by** (simp add: le\_iff\_add)  
**then obtain** n **where**  $\langle k = m + n \rangle$   
**by** blast  
**then show**  $\langle x \in f \ k \rangle$   
**using**  $\langle \text{is\_chain } f \rangle$   $\langle x \in f \ m \rangle$   
**by** (simp add: is\_chainD)

**qed**

**lemma** chain\_index:  
**assumes** ch:  $\langle \text{is\_chain } f \rangle$  **and** fin:  $\langle \text{finite } F \rangle$   
**shows**  $\langle F \subseteq (\bigcup n. f \ n) \implies \exists n. F \subseteq f \ n \rangle$   
**using** fin

**proof** (induct rule: finite\_induct)  
**case** empty  
**then show** ?case **by** blast

**next**

**case** (insert  $x$   $F$ )  
**then have**  $\langle \exists n. F \subseteq f\ n \rangle$  **and**  $\langle \exists m. x \in f\ m \rangle$  **and**  $\langle F \subseteq (\bigcup x. f\ x) \rangle$   
**using** `ch` **by** `simp_all`  
**then obtain**  $n$  **and**  $m$  **where**  $\langle F \subseteq f\ n \rangle$  **and**  $\langle x \in f\ m \rangle$   
**by** `blast`  
**have**  $\langle m \leq \max\ n\ m \rangle$  **and**  $\langle n \leq \max\ n\ m \rangle$   
**by** `simp_all`  
**have**  $\langle x \in f\ (\max\ n\ m) \rangle$   
**using** `is_chainD'` `ch`  $\langle x \in f\ m \rangle$   $\langle m \leq \max\ n\ m \rangle$  **by** `fast`  
**moreover have**  $\langle F \subseteq f\ (\max\ n\ m) \rangle$   
**using** `is_chainD'` `ch`  $\langle F \subseteq f\ n \rangle$   $\langle n \leq \max\ n\ m \rangle$  **by** `fast`  
**ultimately show** `?case` **by** `blast`  
**qed**

**lemma** `chain_union_closed'`:

**assumes**  $\langle \text{is\_chain } f \rangle$  **and**  $\langle \forall n. f\ n \in C \rangle$  **and**  $\langle \forall S' \in C. \forall S \subseteq S'. S \in C \rangle$   
**and**  $\langle \text{finite } S' \rangle$  **and**  $\langle S' \subseteq (\bigcup n. f\ n) \rangle$   
**shows**  $\langle S' \in C \rangle$

**proof** -

**note**  $\langle \text{finite } S' \rangle$  **and**  $\langle S' \subseteq (\bigcup n. f\ n) \rangle$   
**then obtain**  $n$  **where**  $\langle S' \subseteq f\ n \rangle$   
**using** `chain_index`  $\langle \text{is\_chain } f \rangle$  **by** `blast`  
**moreover have**  $\langle f\ n \in C \rangle$   
**using**  $\langle \forall n. f\ n \in C \rangle$  **by** `blast`  
**ultimately show**  $\langle S' \in C \rangle$   
**using**  $\langle \forall S' \in C. \forall S \subseteq S'. S \in C \rangle$  **by** `blast`

**qed**

**theorem** chain\_union\_closed:

**assumes**  $\langle \text{finite\_char } C \rangle$  **and**  $\langle \text{is\_chain } f \rangle$  **and**  $\langle \forall n. f\ n \in C \rangle$

**shows**  $\langle (\bigcup n. f\ n) \in C \rangle$

**proof** -

**have**  $\langle \text{subset\_closed } C \rangle$

**using** finite\_char\_closed  $\langle \text{finite\_char } C \rangle$  **by** blast

**then have**  $\langle \forall S' \in C. \forall S \subseteq S'. S \in C \rangle$

**using** subset\_closed\_def **by** blast

**then have**  $\langle \forall S'. \text{finite } S' \rightarrow S' \subseteq (\bigcup n. f\ n) \rightarrow S' \in C \rangle$

**using** chain\_union\_closed' assms **by** blast

**moreover have**  $\langle ((\bigcup n. f\ n) \in C) = (\forall S'. \text{finite } S' \rightarrow S' \subseteq (\bigcup n. f\ n) \rightarrow S' \in C) \rangle$

**using**  $\langle \text{finite\_char } C \rangle$  **unfolding** finite\_char\_def **by** blast

**ultimately show** ?thesis **by** blast

**qed**

**abbreviation** dest\_Neg ::  $\langle \text{fm} \Rightarrow \text{fm} \rangle$  **where**

$\langle \text{dest\_Neg } p \equiv (\text{case } p \text{ of } (\text{Imp } p' \text{ Falsity}) \Rightarrow p' \mid p' \Rightarrow p') \rangle$

**abbreviation** dest\_Uni ::  $\langle \text{fm} \Rightarrow \text{fm} \rangle$  **where**

$\langle \text{dest\_Uni } p \equiv (\text{case } p \text{ of } (\text{Uni } p') \Rightarrow p' \mid p' \Rightarrow p') \rangle$

**abbreviation** dest\_Exi ::  $\langle \text{fm} \Rightarrow \text{fm} \rangle$  **where**

$\langle \text{dest\_Exi } p \equiv (\text{case } p \text{ of } (\text{Exi } p') \Rightarrow p' \mid p' \Rightarrow p') \rangle$

**primrec** extend ::  $\langle \text{fm set} \Rightarrow \text{fm set set} \Rightarrow (\text{nat} \Rightarrow \text{fm}) \Rightarrow \text{nat} \Rightarrow \text{fm set} \rangle$  **where**

$\langle \text{extend } S\ C\ f\ 0 = S \rangle \mid$

```

<extend S C f (Suc n) = (if extend S C f n ∪ {f n} ∈ C
then (if (∃p. f n = Exi p)
then extend S C f n ∪ {f n} ∪ {sub 0
(Fun (SOME k. k ∉ (∪p ∈ extend S C f n ∪ {f n}. params p)) [])
(dest_Exi (f n)))}
else if (∃p. f n = Neg (Uni p))
then extend S C f n ∪ {f n} ∪ {Neg (sub 0
(Fun (SOME k. k ∉ (∪p ∈ extend S C f n ∪ {f n}. params p)) [])
(dest_Uni (dest_Neg (f n))))}
else extend S C f n ∪ {f n})
else extend S C f n)>

```

**definition** Extend :: <fm set ⇒ fm set set ⇒ (nat ⇒ fm) ⇒ fm set> **where**  
<Extend S C f = (∪n. extend S C f n)>

**theorem** is\_chain\_extend: <is\_chain (extend S C f)>  
**by** (simp add: is\_chain\_def) blast

**lemma** finite\_params' [simp]: <finite (params\_term t)> <finite (params\_list l)>  
**by** (induct t and l rule: params\_term.induct params\_list.induct) simp\_all

**lemma** finite\_params [simp]: <finite (params p)>  
**by** (induct p) simp\_all

**lemma** finite\_params\_extend [simp]:  
<infinite (∩p ∈ S. - params p) ⇒ infinite (∩p ∈ extend S C f n. - params p)>  
**by** (induct n) (simp\_all add: set\_inter\_compl\_diff)

**lemma** infinite\_params\_available:

**assumes**  $\langle \text{infinite } (- (\cup p \in S. \text{params } p)) \rangle$

**shows**  $\langle \exists x. x \notin (\cup p \in \text{extend } S \ C \ f \ n \cup \{f \ n\}. \text{params } p) \rangle$

(**is**  $\langle \_ (U \_ \in ?S'. \_) \rangle$ )

**proof** -

**have**  $\langle \text{infinite } (- (\cup p \in ?S'. \text{params } p)) \rangle$

**using** **assms** **by** (simp **add**: set\_inter\_compl\_diff)

**then obtain** **x** **where**  $\langle x \in - (\cup p \in ?S'. \text{params } p) \rangle$

**using** infinite\_imp\_nonempty **by** blast

**then show**  $\langle \exists x. x \notin (\cup p \in ?S'. \text{params } p) \rangle$

**by** blast

**qed**

**lemma** extend\_in\_C\_Exi:

**assumes**  $\langle \text{alt\_consistency } C \rangle$

**and**  $\langle \text{infinite } (- (\cup p \in S. \text{params } p)) \rangle$

**and**  $\langle \text{extend } S \ C \ f \ n \cup \{f \ n\} \in C \rangle$  (**is**  $\langle ?S' \in C \rangle$ )

**and**  $\langle \exists p. f \ n = \text{Exi } p \rangle$

**shows**  $\langle \text{extend } S \ C \ f \ (\text{Suc } n) \in C \rangle$

**proof** -

**obtain** **p** **where** \*:  $\langle f \ n = \text{Exi } p \rangle$

**using**  $\langle \exists p. f \ n = \text{Exi } p \rangle$  **by** blast

**let** **?x** =  $\langle (\text{SOME } k. k \notin (\cup p \in ?S'. \text{params } p)) \rangle$

**from**  $\langle \text{infinite } (- (\cup p \in S. \text{params } p)) \rangle$

**have**  $\langle \exists x. x \notin (\cup p \in ?S'. \text{params } p) \rangle$   
**using** `infinite_params_available` **by** `blast`  
**then have**  $\langle ?x \notin (\cup p \in ?S'. \text{params } p) \rangle$   
**using** `someI_ex` **by** `metis`  
**then have**  $\langle (?S' \cup \{\text{sub } 0 (\text{Fun } ?x [] \text{ } p)\}) \in C \rangle$   
**using**  $\ast$   $\langle ?S' \in C \rangle$  `alt_consistency`  $C$   
**unfolding** `alt_consistency_def` **by** `simp`  
**then show** `?thesis`  
**using** `assms`  $\ast$  **by** `simp`  
**qed**

**lemma** `extend_in_C_Neg_Uni`:

**assumes** `alt_consistency`  $C$   
**and**  $\langle \text{infinite } (- (\cup p \in S. \text{params } p)) \rangle$   
**and**  $\langle \text{extend } S \ C \ f \ n \cup \{f \ n\} \in C \rangle$  (**is**  $\langle ?S' \in C \rangle$ )  
**and**  $\langle \forall p. f \ n \neq \text{Exi } p \rangle$   
**and**  $\langle \exists p. f \ n = \text{Neg } (\text{Uni } p) \rangle$   
**shows**  $\langle \text{extend } S \ C \ f \ (\text{Suc } n) \in C \rangle$

**proof** -

**obtain** `p` **where**  $\ast$ :  $\langle f \ n = \text{Neg } (\text{Uni } p) \rangle$   
**using**  $\langle \exists p. f \ n = \text{Neg } (\text{Uni } p) \rangle$  **by** `blast`

**let** `?x` =  $\langle (\text{SOME } k. k \notin (\cup p \in ?S'. \text{params } p)) \rangle$

**have**  $\langle \exists x. x \notin (\cup p \in ?S'. \text{params } p) \rangle$   
**using**  $\langle \text{infinite } (- (\cup p \in S. \text{params } p)) \rangle$  `infinite_params_available` **by** `blast`  
**then have**  $\langle ?x \notin (\cup p \in ?S'. \text{params } p) \rangle$

**using** someI\_ex **by** metis  
**moreover have**  $\langle \text{Neg (Uni } p) \in ?S' \rangle$   
**using** \* **by** simp  
**ultimately have**  $\langle ?S' \cup \{ \text{Neg (sub 0 (Fun ?x [] ) } p) \} \in C \rangle$   
**using**  $\langle ?S' \in C \rangle$   $\langle \text{alt\_consistency } C \rangle$  **unfolding** alt\_consistency\_def **by** simp  
**then show** ?thesis  
**using** assms \* **by** simp  
**qed**

**lemma** extend\_in\_C\_no\_delta:  
**assumes**  $\langle \text{extend } S \ C \ f \ n \cup \{ f \ n \} \in C \rangle$   
**and**  $\langle \forall p. f \ n \neq \text{Exi } p \rangle$   
**and**  $\langle \forall p. f \ n \neq \text{Neg (Uni } p) \rangle$   
**shows**  $\langle \text{extend } S \ C \ f \ (\text{Suc } n) \in C \rangle$   
**using** assms **by** simp

**lemma** extend\_in\_C\_stop:  
**assumes**  $\langle \text{extend } S \ C \ f \ n \in C \rangle$   
**and**  $\langle \text{extend } S \ C \ f \ n \cup \{ f \ n \} \notin C \rangle$   
**shows**  $\langle \text{extend } S \ C \ f \ (\text{Suc } n) \in C \rangle$   
**using** assms **by** simp

**theorem** extend\_in\_C:  
 $\langle \text{alt\_consistency } C \Rightarrow S \in C \Rightarrow \text{infinite } (- (\cup p \in S. \text{params } p)) \Rightarrow \text{extend } S \ C \ f \ n \in C \rangle$   
**proof** (induct n)  
**case** 0  
**then show** ?case **by** simp

**next**  
**case** (Suc n)  
**then show** ?case  
**using** extend\_in\_C\_Exti extend\_in\_C\_Neg\_Uni  
 extend\_in\_C\_no\_delta extend\_in\_C\_stop **by** metis  
**qed**

**theorem** Extend\_in\_C:  $\langle \text{alt\_consistency } C \Rightarrow \text{finite\_char } C \Rightarrow$   
 $S \in C \Rightarrow \text{infinite } (- (\bigcup p \in S. \text{params } p)) \Rightarrow \text{Extend } S C f \in C \rangle$   
**using** chain\_union\_closed is\_chain\_extend extend\_in\_C  
**unfolding** Extend\_def **by** blast

**theorem** Extend\_subset:  $\langle S \subseteq \text{Extend } S C f \rangle$   
**unfolding** Extend\_def **using** Union\_upper extend.simps(1) range\_eqI **by** metis

**definition** maximal ::  $\langle 'a \text{ set} \Rightarrow 'a \text{ set set} \Rightarrow \text{bool} \rangle$  **where**  
 $\langle \text{maximal } S C = (\forall S' \in C. S \subseteq S' \rightarrow S = S') \rangle$

**theorem** Extend\_maximal:  
**assumes**  $\langle \forall y :: \text{fm}. \exists n. y = f n \rangle$  **and**  $\langle \text{finite\_char } C \rangle$   
**shows**  $\langle \text{maximal } (\text{Extend } S C f) C \rangle$   
**unfolding** maximal\_def Extend\_def  
**proof** (intro ballI impI)  
**fix** S'  
**assume**  $\langle S' \in C \rangle$  **and**  $\langle (\bigcup x. \text{extend } S C f x) \subseteq S' \rangle$   
**moreover have**  $\langle S' \subseteq (\bigcup x. \text{extend } S C f x) \rangle$   
**proof** (rule ccontr)

**assume**  $\langle \neg S' \subseteq (\bigcup x. \text{extend } S \ C \ f \ x) \rangle$   
**then obtain**  $z$  **where**  $\langle z \in S' \rangle$  **and**  $*$ :  $\langle z \notin (\bigcup x. \text{extend } S \ C \ f \ x) \rangle$   
**by** blast  
**then obtain**  $n$  **where**  $\langle z = f \ n \rangle$   
**using**  $\langle \forall y. \exists n. y = f \ n \rangle$  **by** blast

**from**  $\langle (\bigcup x. \text{extend } S \ C \ f \ x) \subseteq S' \rangle$   $\langle z = f \ n \rangle$   $\langle z \in S' \rangle$   
**have**  $\langle \text{extend } S \ C \ f \ n \cup \{f \ n\} \subseteq S' \rangle$  **by** blast

**from**  $\langle \text{finite\_char } C \rangle$   
**have**  $\langle \text{subset\_closed } C \rangle$   
**using** finite\_char\_closed **by** blast  
**then have**  $\langle \forall S' \in C. \forall S \subseteq S'. S \in C \rangle$   
**unfolding** subset\_closed\_def **by** simp  
**then have**  $\langle \forall S \subseteq S'. S \in C \rangle$   
**using**  $\langle S' \in C \rangle$  **by** blast  
**then have**  $\langle \text{extend } S \ C \ f \ n \cup \{f \ n\} \in C \rangle$   
**using**  $\langle \text{extend } S \ C \ f \ n \cup \{f \ n\} \subseteq S' \rangle$  **by** blast  
**then have**  $\langle z \in \text{extend } S \ C \ f \ (\text{Suc } n) \rangle$   
**using**  $\langle z \notin (\bigcup x. \text{extend } S \ C \ f \ x) \rangle$   $\langle z = f \ n \rangle$  **by** simp  
**then show** False  
**using**  $*$  **by** blast

qed

**ultimately show**  $\langle (\bigcup x. \text{extend } S \ C \ f \ x) = S' \rangle$   
**by** simp

qed

## subsection <Hintikka Sets and Herbrand Models>

**definition** hintikka :: <fm set  $\Rightarrow$  bool> **where**

<hintikka H =

$((\forall p \ ts. \neg (\text{Pre } p \ ts \in H \wedge \text{Neg } (\text{Pre } p \ ts) \in H)) \wedge$

$\text{Falsity} \notin H \wedge$

$(\forall p \ q. \text{Con } p \ q \in H \rightarrow p \in H \wedge q \in H) \wedge$

$(\forall p \ q. \text{Neg } (\text{Dis } p \ q) \in H \rightarrow \text{Neg } p \in H \wedge \text{Neg } q \in H) \wedge$

$(\forall p \ q. \text{Dis } p \ q \in H \rightarrow p \in H \vee q \in H) \wedge$

$(\forall p \ q. \text{Neg } (\text{Con } p \ q) \in H \rightarrow \text{Neg } p \in H \vee \text{Neg } q \in H) \wedge$

$(\forall p \ q. \text{Imp } p \ q \in H \rightarrow \text{Neg } p \in H \vee q \in H) \wedge$

$(\forall p \ q. \text{Neg } (\text{Imp } p \ q) \in H \rightarrow p \in H \wedge \text{Neg } q \in H) \wedge$

$(\forall P \ t. \text{closed\_term } 0 \ t \rightarrow \text{Uni } P \in H \rightarrow \text{sub } 0 \ t \ P \in H) \wedge$

$(\forall P \ t. \text{closed\_term } 0 \ t \rightarrow \text{Neg } (\text{Exi } P) \in H \rightarrow \text{Neg } (\text{sub } 0 \ t \ P) \in H) \wedge$

$(\forall P. \text{Exi } P \in H \rightarrow (\exists t. \text{closed\_term } 0 \ t \wedge \text{sub } 0 \ t \ P \in H)) \wedge$

$(\forall P. \text{Neg } (\text{Uni } P) \in H \rightarrow (\exists t. \text{closed\_term } 0 \ t \wedge \text{Neg } (\text{sub } 0 \ t \ P) \in H)))$ >

**datatype** htm = HFun id <htm list>

**primrec**

tm\_of\_htm :: <htm  $\Rightarrow$  tm> **and**

tms\_of\_htms :: <htm list  $\Rightarrow$  tm list> **where**

<tm\_of\_htm (HFun a hts) = Fun a (tms\_of\_htms hts)> |

<tms\_of\_htms [] = []> |

<tms\_of\_htms (ht # hts) = tm\_of\_htm ht # tms\_of\_htms hts>

**lemma** herbrand\_semantics [simp]:

$\langle \text{closed\_term } 0 \ t \Rightarrow \text{tm\_of\_htm (semantics\_term } e \ \text{HFun } t) = t \rangle$   
 $\langle \text{closed\_list } 0 \ l \Rightarrow \text{tms\_of\_htms (semantics\_list } e \ \text{HFun } l) = l \rangle$   
**by** (induct **t and l rule**: closed\_term.induct closed\_list.induct) simp\_all

**lemma** herbrand\_semantics' [simp]:

$\langle \text{semantics\_term } e \ \text{HFun (tm\_of\_htm } ht) = ht \rangle$   
 $\langle \text{semantics\_list } e \ \text{HFun (tms\_of\_htms } hts) = hts \rangle$   
**by** (induct **ht and hts rule**: tm\_of\_htm.induct tms\_of\_htms.induct) simp\_all

**theorem** closed\_htm [simp]:

$\langle \text{closed\_term } 0 \ (\text{tm\_of\_htm } ht) \rangle$   
 $\langle \text{closed\_list } 0 \ (\text{tms\_of\_htms } hts) \rangle$   
**by** (induct **ht and hts rule**: tm\_of\_htm.induct tms\_of\_htms.induct) simp\_all

**theorem** hintikka\_model:

**assumes** hin:  $\langle \text{hintikka } H \rangle$   
**shows**  $\langle (p \in H \rightarrow \text{closed } 0 \ p \rightarrow \text{semantics } e \ \text{HFun } (\lambda i \ l. \text{Pre } i \ (\text{tms\_of\_htms } l) \in H) \ p) \wedge$   
 $(\text{Neg } p \in H \rightarrow \text{closed } 0 \ p \rightarrow \text{semantics } e \ \text{HFun } (\lambda i \ l. \text{Pre } i \ (\text{tms\_of\_htms } l) \in H) \ (\text{Neg } p)) \rangle$

**proof** (induct **p rule**: wf\_induct)

**show**  $\langle \text{wf (measure size\_formulas)} \rangle$

**by** blast

**next**

**let** ?semantics =  $\langle \text{semantics } e \ \text{HFun } (\lambda i \ l. \text{Pre } i \ (\text{tms\_of\_htms } l) \in H) \rangle$

**fix** x

**assume** wf:  $\langle \forall y. (y, x) \in \text{measure size\_formulas} \rightarrow$   
 $(y \in H \rightarrow \text{closed } 0 \ y \rightarrow \text{?semantics } y) \wedge$

$(\text{Neg } y \in H \rightarrow \text{closed } 0 \ y \rightarrow ?\text{semantics } (\text{Neg } y))\rangle$

**show**  $\langle (x \in H \rightarrow \text{closed } 0 \ x \rightarrow ?\text{semantics } x) \wedge$   
 $(\text{Neg } x \in H \rightarrow \text{closed } 0 \ x \rightarrow ?\text{semantics } (\text{Neg } x)) \rangle$

**proof** (cases  $x$ )

**case** Falsity

**show** ?thesis

**proof** (intro conjI impI)

**assume**  $\langle x \in H \rangle$

**then show**  $\langle ?\text{semantics } x \rangle$

**using** Falsity hin **by** (simp add: hintikka\_def)

**next**

**assume**  $\langle \text{Neg } x \in H \rangle$

**then show**  $\langle ?\text{semantics } (\text{Neg } x) \rangle$

**using** Falsity **by** simp

**qed**

**next**

**case** (Pre  $p \ ts$ )

**show** ?thesis

**proof** (intro conjI impI)

**assume**  $\langle x \in H \rangle$  **and**  $\langle \text{closed } 0 \ x \rangle$

**then show**  $\langle ?\text{semantics } x \rangle$

**using** Pre **by** simp

**next**

**assume**  $\langle \text{Neg } x \in H \rangle$  **and**  $\langle \text{closed } 0 \ x \rangle$

**then have**  $\langle \text{Neg } (\text{Pre } p \ ts) \in H \rangle$

**using** Pre **by** simp

```

then have  $\langle \text{Pre } p \text{ ts } \notin H \rangle$ 
  using hin unfolding hintikka_def by meson
then show  $\langle ?\text{semantics } (\text{Neg } x) \rangle$ 
  using Pre  $\langle \text{closed } 0 \ x \rangle$  by simp
qed
next
case (Con  $p \ q$ )
then show ?thesis
proof (intro conjI impI)
  assume  $\langle x \in H \rangle$  and  $\langle \text{closed } 0 \ x \rangle$ 
  then have  $\langle \text{Con } p \ q \in H \rangle$  and  $\langle \text{closed } 0 \ (\text{Con } p \ q) \rangle$ 
    using Con by simp_all
  then have  $\langle p \in H \wedge q \in H \rangle$ 
    using Con hin unfolding hintikka_def by blast
  then show  $\langle ?\text{semantics } x \rangle$ 
    using Con wf  $\langle \text{closed } 0 \ (\text{Con } p \ q) \rangle$  by simp
next
assume  $\langle \text{Neg } x \in H \rangle$  and  $\langle \text{closed } 0 \ x \rangle$ 
then have  $\langle \text{Neg } (\text{Con } p \ q) \in H \rangle$  and  $\langle \text{closed } 0 \ (\text{Con } p \ q) \rangle$ 
  using Con by simp_all
then have  $\langle \text{Neg } p \in H \vee \text{Neg } q \in H \rangle$ 
  using hin unfolding hintikka_def by meson
then show  $\langle ?\text{semantics } (\text{Neg } x) \rangle$ 
  using Con wf  $\langle \text{closed } 0 \ (\text{Con } p \ q) \rangle$  by force
qed
next
case (Dis  $p \ q$ )

```

```

then show ?thesis
proof (intro conjI impI)
  assume <x ∈ H> and <closed 0 x>
  then have <Dis p q ∈ H> and <closed 0 (Dis p q)>
    using Dis by simp_all
  then have <p ∈ H ∨ q ∈ H>
    using hin unfolding hintikka_def by meson
  then show <?semantics x>
    using Dis wf <closed 0 (Dis p q)> by fastforce
next
  assume <Neg x ∈ H> and <closed 0 x>
  then have <Neg (Dis p q) ∈ H> and <closed 0 (Dis p q)>
    using Dis by simp_all
  then have <Neg p ∈ H ∧ Neg q ∈ H>
    using hin unfolding hintikka_def by meson
  then show <?semantics (Neg x)>
    using Dis wf <closed 0 (Dis p q)> by force
qed
next
case (Imp p q)
then show ?thesis
proof (intro conjI impI)
  assume <x ∈ H> and <closed 0 x>
  then have <Imp p q ∈ H> and <closed 0 (Imp p q)>
    using Imp by simp_all
  then have <Neg p ∈ H ∨ q ∈ H>
    using hin unfolding hintikka_def by meson

```

```

then show ⟨?semantics x⟩
  using Imp wf ⟨closed 0 (Imp p q)⟩ by force
next
assume ⟨Neg x ∈ H⟩ and ⟨closed 0 x⟩
then have ⟨Neg (Imp p q) ∈ H⟩ and ⟨closed 0 (Imp p q)⟩
  using Imp by simp_all
then have ⟨p ∈ H ∧ Neg q ∈ H⟩
  using hin unfolding hintikka_def by meson
then show ⟨?semantics (Neg x)⟩
  using Imp wf ⟨closed 0 (Imp p q)⟩ by force
qed
next
case (Uni P)
then show ?thesis
proof (intro conjI impI)
  assume ⟨x ∈ H⟩ and ⟨closed 0 x⟩
  have ⟨∀z. semantics (put e 0 z) HFun (λa ts. Pre a (tms_of_htms ts) ∈ H) P⟩
  proof (rule allI)
    fix z
    from ⟨x ∈ H⟩ and ⟨closed 0 x⟩
    have ⟨Uni P ∈ H⟩ and ⟨closed 0 (Uni P)⟩
      using Uni by simp_all
    then have *: ⟨∀P t. closed_term 0 t → Uni P ∈ H → sub 0 t P ∈ H⟩
      using hin unfolding hintikka_def by meson
    from ⟨closed 0 (Uni P)⟩
    have ⟨closed (Suc 0) P⟩ by simp

```

**have**  $\langle (\text{sub } 0 \text{ (tm\_of\_htm } z) P, \text{Uni } P) \in \text{measure size\_formulas} \rightarrow$   
 $(\text{sub } 0 \text{ (tm\_of\_htm } z) P \in H \rightarrow \text{closed } 0 \text{ (sub } 0 \text{ (tm\_of\_htm } z) P) \rightarrow$   
 $? \text{semantics (sub } 0 \text{ (tm\_of\_htm } z) P)) \rangle$   
**using** Uni wf **by** blast  
**then show**  $\langle \text{semantics (put } e \text{ } 0 \text{ } z) \text{HFun } (\lambda a \text{ ts. Pre } a \text{ (tms\_of\_htms } ts) \in H) P \rangle$   
**using** \*  $\langle \text{Uni } P \in H \rangle \langle \text{closed (Suc } 0) P \rangle$  **by** simp  
**qed**  
**then show**  $\langle ? \text{semantics } x \rangle$   
**using** Uni **by** simp  
**next**  
**assume**  $\langle \text{Neg } x \in H \rangle$  **and**  $\langle \text{closed } 0 \text{ } x \rangle$   
**then have**  $\langle \text{Neg (Uni } P) \in H \rangle$   
**using** Uni **by** simp  
**then have**  $\langle \exists t. \text{closed\_term } 0 \text{ } t \wedge \text{Neg (sub } 0 \text{ } t \text{ } P) \in H \rangle$   
**using** Uni hin **unfolding** hintikka\_def **by** blast  
**then obtain**  $t$  **where** \*:  $\langle \text{closed\_term } 0 \text{ } t \wedge \text{Neg (sub } 0 \text{ } t \text{ } P) \in H \rangle$   
**by** blast  
**then have**  $\langle \text{closed } 0 \text{ (sub } 0 \text{ } t \text{ } P) \rangle$   
**using** Uni  $\langle \text{closed } 0 \text{ } x \rangle$  **by** simp  
  
**have**  $\langle (\text{sub } 0 \text{ } t \text{ } P, \text{Uni } P) \in \text{measure size\_formulas} \rightarrow$   
 $(\text{Neg (sub } 0 \text{ } t \text{ } P) \in H \rightarrow \text{closed } 0 \text{ (sub } 0 \text{ } t \text{ } P) \rightarrow$   
 $? \text{semantics (Neg (sub } 0 \text{ } t \text{ } P)) \rangle$   
**using** Uni wf **by** blast  
**then have**  $\langle ? \text{semantics (Neg (sub } 0 \text{ } t \text{ } P)) \rangle$   
**using** Uni \*  $\langle \text{closed } 0 \text{ (sub } 0 \text{ } t \text{ } P) \rangle$  **by** simp  
**then have**  $\langle \exists z. \neg \text{semantics (put } e \text{ } 0 \text{ } z) \text{HFun } (\lambda a \text{ ts. Pre } a \text{ (tms\_of\_htms } ts) \in H) P \rangle$

```

  by (meson semantics.simps(1,3) substitute)
  then show <?semantics (Neg x)>
    using Uni by simp
qed
next
case (Exi P)
then show ?thesis
proof (intro conjI impI allI)
  assume <x ∈ H> and <closed 0 x>
  then have <∃t. closed_term 0 t ∧ (sub 0 t P) ∈ H>
    using Exi hin unfolding hintikka_def by blast
  then obtain t where *: <closed_term 0 t ∧ (sub 0 t P) ∈ H>
    by blast
  then have <closed 0 (sub 0 t P)>
    using Exi <closed 0 x> by simp

  have <(sub 0 t P, Exi P) ∈ measure size_formulas →
    (sub 0 t P ∈ H → closed 0 (sub 0 t P) →
    ?semantics (sub 0 t P))>
    using Exi wf by blast
  then have <?semantics (sub 0 t P)>
    using Exi * <closed 0 (sub 0 t P)> by simp
  then have <∃z. semantics (put e 0 z) HFun (λa ts. Pre a (tms_of_htms ts) ∈ H) P>
    by auto
  then show <?semantics x>
    using Exi by simp
next

```

```

assume <Neg x ∈ H> and <closed 0 x>
have <∀z. ¬ semantics (put e 0 z) HFun (λa ts. Pre a (tms_of_htms ts) ∈ H) P>
proof (rule allI)
  fix z
  from <Neg x ∈ H> and <closed 0 x>
  have <Neg (Exi P) ∈ H> and <closed 0 (Neg (Exi P))>
    using Exi by simp_all
  then have *: <∀P t. closed_term 0 t → Neg (Exi P) ∈ H → Neg (sub 0 t P) ∈ H>
    using hin unfolding hintikka_def by meson
  from <closed 0 (Neg (Exi P))>
  have <closed (Suc 0) P> by simp

  have <(sub 0 (tm_of_htm z) P, Exi P) ∈ measure size_formulas →
    (Neg (sub 0 (tm_of_htm z) P) ∈ H → closed 0 (sub 0 (tm_of_htm z) P) →
      ?semantics (Neg (sub 0 (tm_of_htm z) P)))>
    using Exi wf by blast
  then show <¬ semantics (put e 0 z) HFun (λa ts. Pre a (tms_of_htms ts) ∈ H) P>
    using * <Neg (Exi P) ∈ H> <closed (Suc 0) P> by auto
  qed
  then show <?semantics (Neg x)>
    using Exi by simp
  qed
qed
qed

```

**lemma** Exi\_in\_extend:

**assumes** <extend S C f n ∪ {f n} ∈ C> (**is** <?S' ∈ C>)

**and**  $\langle \text{Exi } P = f\ n \rangle$   
**shows**  $\langle \text{sub } 0 \text{ (Fun (SOME } k. k \notin (\text{Up} \in ?S'. \text{params } p)) \text{ []}) } P \in \text{extend } S\ C\ f\ (\text{Suc } n) \rangle$   
**(is**  $\langle \text{sub } 0\ ?t\ P \in \_ \rangle$ )

**proof -**

**have**  $\langle \exists p. f\ n = \text{Exi } p \rangle$   
**using**  $\langle \text{Exi } P = f\ n \rangle$  **by** *metis*  
**then have**  $\langle \text{extend } S\ C\ f\ (\text{Suc } n) = (?S' \cup \{\text{sub } 0\ ?t\ (\text{dest\_Exi } (f\ n))\}) \rangle$   
**using**  $\langle ?S' \in C \rangle$  **by** *simp*  
**also have**  $\langle \dots = (?S' \cup \{\text{sub } 0\ ?t\ (\text{dest\_Exi } (\text{Exi } P))\}) \rangle$   
**using**  $\langle \text{Exi } P = f\ n \rangle$  **by** *simp*  
**also have**  $\langle \dots = (?S' \cup \{\text{sub } 0\ ?t\ P\}) \rangle$   
**by** *simp*  
**finally show** *?thesis*  
**by** *blast*

**qed**

**lemma** *Neg\_Uni\_in\_extend*:

**assumes**  $\langle \text{extend } S\ C\ f\ n \cup \{f\ n\} \in C \rangle$  **(is**  $\langle ?S' \in C \rangle$ )  
**and**  $\langle \text{Neg } (\text{Uni } P) = f\ n \rangle$   
**shows**  $\langle \text{Neg } (\text{sub } 0 \text{ (Fun (SOME } k. k \notin (\text{Up} \in ?S'. \text{params } p)) \text{ []}) } P) \in \text{extend } S\ C\ f\ (\text{Suc } n) \rangle$   
**(is**  $\langle \text{Neg } (\text{sub } 0\ ?t\ P) \in \_ \rangle$ )

**proof -**

**have**  $\langle f\ n \neq \text{Exi } P \rangle$   
**using**  $\langle \text{Neg } (\text{Uni } P) = f\ n \rangle$  **by** *auto*  
  
**have**  $\langle \exists p. f\ n = \text{Neg } (\text{Uni } p) \rangle$   
**using**  $\langle \text{Neg } (\text{Uni } P) = f\ n \rangle$  **by** *metis*

```

then have <extend S C f (Suc n) = (?S' ∪ {Neg (sub 0 ?t (dest_Uni (dest_Neg (f n))))})>
  using <?S' ∈ C> <f n ≠ Exi P> by auto
also have <... = (?S' ∪ {Neg (sub 0 ?t (dest_Uni (dest_Neg (Neg (Uni P))))})>
  using <Neg (Uni P) = f n> by simp
also have <... = (?S' ∪ {Neg (sub 0 ?t P)})>
  by simp
finally show ?thesis
  by blast
qed

```

**theorem** extend\_hintikka:

```

assumes <S ∈ C>
  and fin_ch: <finite_char C>
  and infin_p: <infinite (- (Up ∈ S. params p))>
  and surj: <∀y. ∃n. y = f n>
  and altc: <alt_consistency C>
shows <hintikka (Extend S C f)> (is <hintikka ?H>)
unfolding hintikka_def
proof (intro allI impI conjI)
  have <maximal ?H C> and <?H ∈ C>
    using Extend_maximal Extend_in_C assms by blast+

  { fix p ts
    show <¬ (Pre p ts ∈ ?H ∧ Neg (Pre p ts) ∈ ?H)>
      using <?H ∈ C> altc unfolding alt_consistency_def by fast }

  show <Falsity ∉ ?H>

```

**using**  $\langle ?H \in C \rangle$  altc **unfolding** alt\_consistency\_def **by** blast

```
{ fix p q
  assume  $\langle \text{Con } p \ q \in ?H \rangle$ 
  then have  $\langle ?H \cup \{p, q\} \in C \rangle$ 
    using  $\langle ?H \in C \rangle$  altc unfolding alt_consistency_def by fast
  then show  $\langle p \in ?H \rangle$  and  $\langle q \in ?H \rangle$ 
    using  $\langle \text{maximal } ?H \ C \rangle$  unfolding maximal_def by fast+ }
```

```
{ fix p q
  assume  $\langle \text{Neg } (\text{Dis } p \ q) \in ?H \rangle$ 
  then have  $\langle ?H \cup \{\text{Neg } p, \text{Neg } q\} \in C \rangle$ 
    using  $\langle ?H \in C \rangle$  altc unfolding alt_consistency_def by fast
  then show  $\langle \text{Neg } p \in ?H \rangle$  and  $\langle \text{Neg } q \in ?H \rangle$ 
    using  $\langle \text{maximal } ?H \ C \rangle$  unfolding maximal_def by fast+ }
```

```
{ fix p q
  assume  $\langle \text{Neg } (\text{Imp } p \ q) \in ?H \rangle$ 
  then have  $\langle ?H \cup \{p, \text{Neg } q\} \in C \rangle$ 
    using  $\langle ?H \in C \rangle$  altc unfolding alt_consistency_def by blast
  then show  $\langle p \in ?H \rangle$  and  $\langle \text{Neg } q \in ?H \rangle$ 
    using  $\langle \text{maximal } ?H \ C \rangle$  unfolding maximal_def by fast+ }
```

```
{ fix p q
  assume  $\langle \text{Dis } p \ q \in ?H \rangle$ 
  then have  $\langle ?H \cup \{p\} \in C \vee ?H \cup \{q\} \in C \rangle$ 
    using  $\langle ?H \in C \rangle$  altc unfolding alt_consistency_def by fast
```

**then show**  $\langle p \in ?H \vee q \in ?H \rangle$   
**using**  $\langle \text{maximal } ?H \ C \rangle$  **unfolding** maximal\_def **by** fast }

{ **fix** p q  
**assume**  $\langle \text{Neg } (\text{Con } p \ q) \in ?H \rangle$   
**then have**  $\langle ?H \cup \{ \text{Neg } p \} \in C \vee ?H \cup \{ \text{Neg } q \} \in C \rangle$   
**using**  $\langle ?H \in C \rangle$  altc **unfolding** alt\_consistency\_def **by** simp  
**then show**  $\langle \text{Neg } p \in ?H \vee \text{Neg } q \in ?H \rangle$   
**using**  $\langle \text{maximal } ?H \ C \rangle$  **unfolding** maximal\_def **by** fast }

{ **fix** p q  
**assume**  $\langle \text{Imp } p \ q \in ?H \rangle$   
**then have**  $\langle ?H \cup \{ \text{Neg } p \} \in C \vee ?H \cup \{ q \} \in C \rangle$   
**using**  $\langle ?H \in C \rangle$  altc **unfolding** alt\_consistency\_def **by** simp  
**then show**  $\langle \text{Neg } p \in ?H \vee q \in ?H \rangle$   
**using**  $\langle \text{maximal } ?H \ C \rangle$  **unfolding** maximal\_def **by** fast }

{ **fix** P t  
**assume**  $\langle \text{Uni } P \in ?H \rangle$  **and**  $\langle \text{closed\_term } 0 \ t \rangle$   
**then have**  $\langle ?H \cup \{ \text{sub } 0 \ t \ P \} \in C \rangle$   
**using**  $\langle ?H \in C \rangle$  altc **unfolding** alt\_consistency\_def **by** blast  
**then show**  $\langle \text{sub } 0 \ t \ P \in ?H \rangle$   
**using**  $\langle \text{maximal } ?H \ C \rangle$  **unfolding** maximal\_def **by** fast }

{ **fix** P t  
**assume**  $\langle \text{Neg } (\text{Exi } P) \in ?H \rangle$  **and**  $\langle \text{closed\_term } 0 \ t \rangle$   
**then have**  $\langle ?H \cup \{ \text{Neg } (\text{sub } 0 \ t \ P) \} \in C \rangle$

**using**  $\langle ?H \in C \rangle$  altc **unfolding** alt\_consistency\_def **by** blast  
**then show**  $\langle \text{Neg } (\text{sub } 0 \text{ } t \text{ } P) \in ?H \rangle$   
**using**  $\langle \text{maximal } ?H \text{ } C \rangle$  **unfolding** maximal\_def **by** fast }

**{ fix**  $P$   
**assume**  $\langle \text{Exi } P \in ?H \rangle$   
**obtain**  $n$  **where**  $*$ :  $\langle \text{Exi } P = f \ n \rangle$   
**using** surj **by** blast

**let**  $?t = \langle \text{Fun } (\text{SOME } k.$   
 $k \notin (\cup p \in \text{extend } S \ C \ f \ n \cup \{f \ n\}. \text{params } p)) \ [] \rangle$

**have**  $\langle \text{closed\_term } 0 \ ?t \rangle$   
**by** simp

**moreover have**  $\langle \text{extend } S \ C \ f \ n \cup \{f \ n\} \subseteq ?H \rangle$   
**using**  $\langle \text{Exi } P \in ?H \rangle$  \* Extend\_def **by** (simp add: UN\_upper)  
**then have**  $\langle \text{extend } S \ C \ f \ n \cup \{f \ n\} \in C \rangle$   
**using**  $\langle ?H \in C \rangle$  fin\_ch finite\_char\_closed subset\_closed\_def **by** metis  
**then have**  $\langle \text{sub } 0 \ ?t \ P \in ?H \rangle$   
**using** \* Exi\_in\_extend Extend\_def **by** fast  
**ultimately show**  $\langle \exists t. \text{closed\_term } 0 \ t \wedge \text{sub } 0 \ t \ P \in ?H \rangle$   
**by** blast }

**{ fix**  $P$   
**assume**  $\langle \text{Neg } (\text{Uni } P) \in ?H \rangle$   
**obtain**  $n$  **where**  $*$ :  $\langle \text{Neg } (\text{Uni } P) = f \ n \rangle$

**using** surj **by** blast

**let** ?t =  $\langle \text{Fun } (\text{SOME } k. k \notin (\bigcup p \in \text{extend } S \ C \ f \ n \cup \{f \ n\}. \text{params } p)) \ [] \rangle$

**have**  $\langle \text{closed\_term } 0 \ ?t \rangle$

**by** simp

**moreover have**  $\langle \text{extend } S \ C \ f \ n \cup \{f \ n\} \subseteq ?H \rangle$

**using**  $\langle \text{Neg } (\text{Uni } P) \in ?H \rangle * \text{Extend\_def } \mathbf{by} \text{ (simp add: UN\_upper)}$

**then have**  $\langle \text{extend } S \ C \ f \ n \cup \{f \ n\} \in C \rangle$

**using**  $\langle ?H \in C \rangle \text{fin\_ch finite\_char\_closed subset\_closed\_def } \mathbf{by} \text{ metis}$

**then have**  $\langle \text{Neg } (\text{sub } 0 \ ?t \ P) \in ?H \rangle$

**using**  $* \text{Neg\_Uni\_in\_extend Extend\_def } \mathbf{by} \text{ fast}$

**ultimately show**  $\langle \exists t. \text{closed\_term } 0 \ t \wedge \text{Neg } (\text{sub } 0 \ t \ P) \in ?H \rangle$

**by** blast }

qed

**subsection**  $\langle \text{Model Existence} \rangle$

**lemma** hintikka\_Extend\_S:

**assumes**  $\langle \text{consistency } C \rangle$  **and**  $\langle S \in C \rangle$

**and**  $\langle \text{infinite } (- (\bigcup p \in S. \text{params } p)) \rangle$

**defines**  $\langle C' \equiv \text{mk\_finite\_char } (\text{mk\_alt\_consistency } (\text{close } C)) \rangle$

**shows**  $\langle \text{hintikka } (\text{Extend } S \ C' \text{ from\_nat}) \rangle$

**proof** -

**have**  $\langle \text{finite\_char } C' \rangle$

**using** C'\_def finite\_char **by** blast

**moreover have**  $\langle \forall y. y = \text{from\_nat } (\text{to\_nat } y) \rangle$   
**by** simp  
**then have**  $\langle \forall y. \exists n. y = \text{from\_nat } n \rangle$   
**by** blast  
**moreover have**  $\langle \text{alt\_consistency } C' \rangle$   
**using** C'\_def  $\langle \text{consistency } C \rangle$  finite\_alt\_consistency alt\_consistency  
close\_closed close\_consistency mk\_alt\_consistency\_closed  
**by** blast  
**moreover have**  $\langle S \in \text{close } C \rangle$   
**using** close\_subset  $\langle S \in C \rangle$  **by** blast  
**then have**  $\langle S \in \text{mk\_alt\_consistency } (\text{close } C) \rangle$   
**using** mk\_alt\_consistency\_subset **by** blast  
**then have**  $\langle S \in C' \rangle$   
**using** C'\_def close\_closed finite\_char\_subset mk\_alt\_consistency\_closed **by** blast  
**ultimately show** ?thesis  
**using** extend\_hintikka  $\langle \text{infinite } (- (\bigcup p \in S. \text{params } p)) \rangle$  **by** metis  
**qed**

**theorem** model\_existence:

**assumes**  $\langle \text{infinite } (- (\bigcup p \in S. \text{params } p)) \rangle$   
**and**  $\langle p \in S \rangle$   $\langle \text{closed } 0 p \rangle$   
**and**  $\langle S \in C \rangle$   $\langle \text{consistency } C \rangle$   
**defines**  $\langle C' \equiv \text{mk\_finite\_char } (\text{mk\_alt\_consistency } (\text{close } C)) \rangle$   
**defines**  $\langle H \equiv \text{Extend } S C' \text{ from\_nat} \rangle$   
**shows**  $\langle \text{semantics } e \text{ HFun } (\lambda a \text{ ts. Pre } a (\text{tms\_of\_htms } \text{ts}) \in H) p \rangle$   
**using** assms hintikka\_model hintikka\_Extend\_S Extend\_subset **by** blast

## subsection ‹Completeness Using Herbrand Terms›

**theorem** OK\_consistency: ‹consistency {set  $z$  |  $z. \neg$  (OK Falsity  $z$ )}›

**unfolding** consistency\_def

**proof** (intro conjI allI impI notI)

**fix** S

**assume** ‹S ∈ {set  $z$  |  $z. \neg$  (OK Falsity  $z$ )}› (**is** ‹S ∈ ?C›)

**then obtain** z **where** \*: ‹S = set z› **and** ‹ $\neg$  (OK Falsity z)›

**by** blast

{ **fix** i l

**assume** ‹Pre i l ∈ S ∧ Neg (Pre i l) ∈ S›

**then have** ‹OK (Pre i l) z› **and** ‹OK (Neg (Pre i l)) z›

**using** Assume \* **by** auto

**then have** ‹OK Falsity z›

**using** Imp\_E **by** blast

**then show** False

**using** ‹ $\neg$  (OK Falsity z)› **by** blast }

{ **assume** ‹Falsity ∈ S›

**then have** ‹OK Falsity z›

**using** Assume \* **by** simp

**then show** False

**using** ‹ $\neg$  (OK Falsity z)› **by** blast }

{ **fix** p q

**assume** ‹Con p q ∈ S›

```

then have <OK (Con p q) z>
  using Assume * by simp
then have <OK p z> and <OK q z>
  using Con_E1 Con_E2 by blast+

{ assume <OK Falsity (p # q # z)>
  then have <OK (Neg p) (q # z)>
    using Imp_I by blast
  then have <OK (Neg p) z>
    using cut <OK q z> by blast
  then have <OK Falsity z>
    using Imp_E <OK p z> by blast
  then have False
    using <¬ (OK Falsity z)> by blast }
then have <¬ (OK Falsity (p # q # z))>
  by blast
moreover have <S ∪ {p, q} = set (p # q # z)>
  using * by simp
ultimately show <S ∪ {p, q} ∈ ?C>
  by blast }

```

```

{ fix p q
  assume <Neg (Dis p q) ∈ S>
  then have <OK (Neg (Dis p q)) z>
    using Assume * by simp

```

```

have <OK p (p # Neg q # z)>

```

```

using Assume by simp
then have  $\langle \text{OK (Dis } p \ q) \ (p \ \# \ \text{Neg } q \ \# \ z) \rangle$ 
  using Dis_I1 by blast
moreover have  $\langle \text{OK (Neg (Dis } p \ q)) \ (p \ \# \ \text{Neg } q \ \# \ z) \rangle$ 
  using *  $\langle \text{Neg (Dis } p \ q) \in S \rangle$  Assume by simp
ultimately have  $\langle \text{OK Falsity } (p \ \# \ \text{Neg } q \ \# \ z) \rangle$ 
  using Imp_E  $\langle \text{OK (Neg (Dis } p \ q)) \ (p \ \# \ \text{Neg } q \ \# \ z) \rangle$  by blast
then have  $\langle \text{OK (Neg } p) \ (\text{Neg } q \ \# \ z) \rangle$ 
  using Imp_I by blast

```

```

have  $\langle \text{OK } q \ (q \ \# \ z) \rangle$ 
  using Assume by simp
then have  $\langle \text{OK (Dis } p \ q) \ (q \ \# \ z) \rangle$ 
  using Dis_I2 by blast
moreover have  $\langle \text{OK (Neg (Dis } p \ q)) \ (q \ \# \ z) \rangle$ 
  using *  $\langle \text{Neg (Dis } p \ q) \in S \rangle$  Assume by simp
ultimately have  $\langle \text{OK Falsity } (q \ \# \ z) \rangle$ 
  using Imp_E  $\langle \text{OK (Neg (Dis } p \ q)) \ (q \ \# \ z) \rangle$  by blast
then have  $\langle \text{OK (Neg } q) \ z \rangle$ 
  using Imp_I by blast

```

```

{ assume  $\langle \text{OK Falsity (Neg } p \ \# \ \text{Neg } q \ \# \ z) \rangle$ 
  then have  $\langle \text{OK (Neg (Neg } p)) \ (\text{Neg } q \ \# \ z) \rangle$ 
    using Imp_I by blast
  then have  $\langle \text{OK Falsity (Neg } q \ \# \ z) \rangle$ 
    using Imp_E  $\langle \text{OK (Neg } p) \ (\text{Neg } q \ \# \ z) \rangle$  by blast
  then have  $\langle \text{OK Falsity } z \rangle$ 

```

```

    using cut ⟨OK (Neg q) z⟩ by blast
  then have False
    using ⟨¬ (OK Falsity z)⟩ by blast }
then have ⟨¬ (OK Falsity (Neg p # Neg q # z))⟩
  by blast
moreover have ⟨S ∪ {Neg p, Neg q} = set (Neg p # Neg q # z)⟩
  using * by simp
ultimately show ⟨S ∪ {Neg p, Neg q} ∈ ?C⟩
  by blast }

```

```

{ fix p q
  assume ⟨Neg (Imp p q) ∈ S⟩

```

```

  have ⟨OK p (p # Neg p # Neg q # z)⟩
    using Assume by simp
  moreover have ⟨OK (Neg p) (p # Neg p # Neg q # z)⟩
    using Assume by simp
  ultimately have ⟨OK Falsity (p # Neg p # Neg q # z)⟩
    using Imp_E by blast
  then have ⟨OK q (p # Neg p # Neg q # z)⟩
    using Falsity_E by blast
  then have ⟨OK (Imp p q) (Neg p # Neg q # z)⟩
    using Imp_I by blast
  moreover have ⟨OK (Neg (Imp p q)) (Neg p # Neg q # z)⟩
    using * ⟨Neg (Imp p q) ∈ S⟩ Assume by simp
  ultimately have ⟨OK Falsity (Neg p # Neg q # z)⟩
    using Imp_E by blast

```

**then have**  $\langle \text{OK } p \text{ (Neg } q \# z) \rangle$   
**using** Boole **by** blast

**have**  $\langle \text{OK } q \text{ (} p \# q \# z) \rangle$   
**using** Assume **by** simp

**then have**  $\langle \text{OK (Imp } p \ q) \text{ (} q \# z) \rangle$   
**using** Imp\_I **by** blast

**moreover have**  $\langle \text{OK (Neg (Imp } p \ q)) \text{ (} q \# z) \rangle$   
**using** \*  $\langle \text{Neg (Imp } p \ q) \in S \rangle$  Assume **by** simp

**ultimately have**  $\langle \text{OK Falsity (} q \# z) \rangle$   
**using** Imp\_E **by** blast

**then have**  $\langle \text{OK (Neg } q) \ z \rangle$   
**using** Imp\_I **by** blast

**{ assume**  $\langle \text{OK Falsity (} p \# \text{Neg } q \# z) \rangle$   
**then have**  $\langle \text{OK (Neg } p) \text{ (Neg } q \# z) \rangle$   
**using** Imp\_I **by** blast  
**then have**  $\langle \text{OK Falsity (Neg } q \# z) \rangle$   
**using** Imp\_E  $\langle \text{OK } p \text{ (Neg } q \# z) \rangle$  **by** blast  
**then have**  $\langle \text{OK Falsity } z \rangle$   
**using** cut  $\langle \text{OK (Neg } q) \ z \rangle$  **by** blast  
**then have** False  
**using**  $\langle \neg (\text{OK Falsity } z) \rangle$  **by** blast }

**then have**  $\langle \neg (\text{OK Falsity (} p \# \text{Neg } q \# z)) \rangle$   
**by** blast

**moreover have**  $\langle \{p, \text{Neg } q\} \cup S = \text{set (} p \# \text{Neg } q \# z) \rangle$   
**using** \* **by** simp

**ultimately show**  $\langle S \cup \{p, \text{Neg } q\} \in ?C \rangle$   
**by blast** }

{ **fix**  $p\ q$   
**assume**  $\langle \text{Dis } p\ q \in S \rangle$   
**then have**  $\langle \text{OK } (\text{Dis } p\ q)\ z \rangle$   
**using** \* Assume **by** simp }

{ **assume**  $\langle (\forall G'. \text{set } G' = S \cup \{p\} \rightarrow \text{OK Falsity } G') \rangle$   
**and**  $\langle (\forall G'. \text{set } G' = S \cup \{q\} \rightarrow \text{OK Falsity } G') \rangle$   
**then have**  $\langle \text{OK Falsity } (p \# z) \rangle$  **and**  $\langle \text{OK Falsity } (q \# z) \rangle$   
**using** \* **by** simp\_all  
**then have**  $\langle \text{OK Falsity } z \rangle$   
**using** Dis\_E  $\langle \text{OK } (\text{Dis } p\ q)\ z \rangle$  **by** blast  
**then have** False  
**using**  $\langle \neg (\text{OK Falsity } z) \rangle$  **by** blast }  
**then show**  $\langle S \cup \{p\} \in ?C \vee S \cup \{q\} \in ?C \rangle$   
**by blast** }

{ **fix**  $p\ q$   
**assume**  $\langle \text{Neg } (\text{Con } p\ q) \in S \rangle$

**let**  $?x = \langle \text{Dis } (\text{Neg } p)\ (\text{Neg } q) \rangle$

**have**  $\langle \text{OK } p\ (q \# p \# \text{Neg } ?x \# z) \rangle$  **and**  $\langle \text{OK } q\ (q \# p \# \text{Neg } ?x \# z) \rangle$   
**using** Assume **by** simp\_all  
**then have**  $\langle \text{OK } (\text{Con } p\ q)\ (q \# p \# \text{Neg } ?x \# z) \rangle$

```

using Con_I by blast
moreover have ⟨OK (Neg (Con p q)) (q # p # Neg ?x # z)⟩
  using * ⟨Neg (Con p q) ∈ S⟩ Assume by simp
ultimately have ⟨OK Falsity (q # p # Neg ?x # z)⟩
  using Imp_E by blast
then have ⟨OK (Neg q) (p # Neg ?x # z)⟩
  using Imp_I by blast
then have ⟨OK ?x (p # Neg ?x # z)⟩
  using Dis_I2 by blast
moreover have ⟨OK (Neg ?x) (p # Neg ?x # z)⟩
  using Assume by simp
ultimately have ⟨OK Falsity (p # Neg ?x # z)⟩
  using Imp_E by blast
then have ⟨OK (Neg p) (Neg ?x # z)⟩
  using Imp_I by blast
then have ⟨OK ?x (Neg ?x # z)⟩
  using Dis_I1 by blast
then have ⟨OK (Dis (Neg p) (Neg q)) z⟩
  using Boole' by blast

{ assume ⟨(∀G'. set G' = S ∪ {Neg p} → OK Falsity G')⟩
  and ⟨(∀G'. set G' = S ∪ {Neg q} → OK Falsity G')⟩
then have ⟨OK Falsity (Neg p # z)⟩ and ⟨OK Falsity (Neg q # z)⟩
  using * by simp_all
then have ⟨OK Falsity z⟩
  using Dis_E ⟨OK (Dis (Neg p) (Neg q)) z⟩ by blast
then have False

```

```

using  $\langle \neg (\text{OK Falsity } z) \rangle$  by blast }
then show  $\langle S \cup \{\text{Neg } p\} \in ?C \vee S \cup \{\text{Neg } q\} \in ?C \rangle$ 
by blast }

```

```

{ fix p q
assume  $\langle \text{Imp } p \ q \in S \rangle$ 

```

```

let ?x =  $\langle \text{Dis } (\text{Neg } p) \ q \rangle$ 

```

```

have  $\langle \text{OK } p \ (p \ \# \ \text{Neg } ?x \ \# \ z) \rangle$ 
  using Assume by simp
moreover have  $\langle \text{OK } (\text{Imp } p \ q) \ (p \ \# \ \text{Neg } ?x \ \# \ z) \rangle$ 
  using *  $\langle \text{Imp } p \ q \in S \rangle$  Assume by simp
ultimately have  $\langle \text{OK } q \ (p \ \# \ \text{Neg } ?x \ \# \ z) \rangle$ 
  using Imp_E by blast
then have  $\langle \text{OK } ?x \ (p \ \# \ \text{Neg } ?x \ \# \ z) \rangle$ 
  using Dis_I2 by blast
moreover have  $\langle \text{OK } (\text{Neg } ?x) \ (p \ \# \ \text{Neg } ?x \ \# \ z) \rangle$ 
  using Assume by simp
ultimately have  $\langle \text{OK Falsity } (p \ \# \ \text{Neg } ?x \ \# \ z) \rangle$ 
  using Imp_E by blast
then have  $\langle \text{OK } (\text{Neg } p) \ (\text{Neg } ?x \ \# \ z) \rangle$ 
  using Imp_I by blast
then have  $\langle \text{OK } ?x \ (\text{Neg } ?x \ \# \ z) \rangle$ 
  using Dis_I1 by blast
then have  $\langle \text{OK } (\text{Dis } (\text{Neg } p) \ q) \ z \rangle$ 
  using Boole' by blast

```

```

{ assume  $\langle (\forall G'. \text{set } G' = S \cup \{\text{Neg } p\} \rightarrow \text{OK Falsity } G') \rangle$ 
  and  $\langle (\forall G'. \text{set } G' = S \cup \{q\} \rightarrow \text{OK Falsity } G') \rangle$ 
then have  $\langle \text{OK Falsity } (\text{Neg } p \# z) \rangle$  and  $\langle \text{OK Falsity } (q \# z) \rangle$ 
  using * by simp_all
then have  $\langle \text{OK Falsity } z \rangle$ 
  using Dis_E  $\langle \text{OK } (\text{Dis } (\text{Neg } p) q) z \rangle$  by blast
then have False
  using  $\langle \neg (\text{OK Falsity } z) \rangle$  by blast }
then show  $\langle S \cup \{\text{Neg } p\} \in ?C \vee S \cup \{q\} \in ?C \rangle$ 
by blast }

```

```

{ fix P t
assume  $\langle \text{closed\_term } 0 t \rangle$  and  $\langle \text{Uni } P \in S \rangle$ 
then have  $\langle \text{OK } (\text{Uni } P) z \rangle$ 
  using Assume * by simp
then have  $\langle \text{OK } (\text{sub } 0 t P) z \rangle$ 
  using Uni_E by blast

```

```

{ assume  $\langle \text{OK Falsity } (\text{sub } 0 t P \# z) \rangle$ 
then have  $\langle \text{OK Falsity } z \rangle$ 
  using cut  $\langle \text{OK } (\text{sub } 0 t P) z \rangle$  by blast
then have False
  using  $\langle \neg (\text{OK Falsity } z) \rangle$  by blast }
then have  $\langle \neg (\text{OK Falsity } (\text{sub } 0 t P \# z)) \rangle$ 
by blast
moreover have  $\langle S \cup \{\text{sub } 0 t P\} = \text{set } (\text{sub } 0 t P \# z) \rangle$ 

```

**using** \* **by** simp  
**ultimately show**  $\langle S \cup \{\text{sub } 0 \text{ t } P\} \in ?C \rangle$   
**by** blast }

{ **fix**  $P \ t$   
**assume**  $\langle \text{closed\_term } 0 \ t \rangle$  **and**  $\langle \text{Neg } (\text{Exi } P) \in S \rangle$   
**then have**  $\langle \text{OK } (\text{Neg } (\text{Exi } P)) \ z \rangle$   
  **using** Assume \* **by** simp  
**then have**  $\langle \text{OK } (\text{sub } 0 \ t \ P) \ (\text{sub } 0 \ t \ P \ \# \ z) \rangle$   
  **using** Assume **by** simp  
**then have**  $\langle \text{OK } (\text{Exi } P) \ (\text{sub } 0 \ t \ P \ \# \ z) \rangle$   
  **using** Exi\_I **by** blast  
**moreover have**  $\langle \text{OK } (\text{Neg } (\text{Exi } P)) \ (\text{sub } 0 \ t \ P \ \# \ z) \rangle$   
  **using** \*  $\langle \text{Neg } (\text{Exi } P) \in S \rangle$  Assume **by** simp  
**ultimately have**  $\langle \text{OK Falsity } (\text{sub } 0 \ t \ P \ \# \ z) \rangle$   
  **using** Imp\_E **by** blast  
**then have**  $\langle \text{OK } (\text{Neg } (\text{sub } 0 \ t \ P)) \ z \rangle$   
  **using** Imp\_I **by** blast  
  
{ **assume**  $\langle \text{OK Falsity } (\text{Neg } (\text{sub } 0 \ t \ P) \ \# \ z) \rangle$   
**then have**  $\langle \text{OK Falsity } z \rangle$   
  **using** cut  $\langle \text{OK } (\text{Neg } (\text{sub } 0 \ t \ P)) \ z \rangle$  **by** blast  
**then have** False  
  **using**  $\langle \neg (\text{OK Falsity } z) \rangle$  **by** blast }  
**then have**  $\langle \neg (\text{OK Falsity } (\text{Neg } (\text{sub } 0 \ t \ P) \ \# \ z)) \rangle$   
  **by** blast  
**moreover have**  $\langle S \cup \{\text{Neg } (\text{sub } 0 \ t \ P)\} = \text{set } (\text{Neg } (\text{sub } 0 \ t \ P) \ \# \ z) \rangle$

```

using * by simp
ultimately show <S U {Neg (sub 0 t P)} ∈ ?C>
  by blast }

```

```

{ fix P
  assume <Exi P ∈ S>
  then have <OK (Exi P) z>
    using * Assume by simp

```

```

  have <finite ((Up ∈ set z. params p) U params P)>
    by simp
  then have <infinite (- ((Up ∈ set z. params p) U params P))>
    using infinite_UNIV_listI Diff_infinite_finite finite_compl by blast
  then have <infinite (- ((Up ∈ set z. params p) U params P))>
    by (simp add: Compl_eq_Diff_UNIV)
  then obtain x where **: <x ∈ - ((Up ∈ set z. params p) U params P)>
    using infinite_imp_nonempty by blast

```

```

{ assume <OK Falsity (sub 0 (Fun x []) P # z)>
  moreover have <news x (P # Falsity # z)>
    using ** by (simp add: list_all_iff)
  ultimately have <OK Falsity z>
    using Exi_E <OK (Exi P) z> by fast
  then have False
    using <¬ (OK Falsity z)> by blast}
then have <¬ (OK Falsity (sub 0 (Fun x []) P # z))>
  by blast

```

**moreover have**  $\langle S \cup \{\text{sub } 0 \text{ (Fun } x \text{ [])} P\} = \text{set (sub } 0 \text{ (Fun } x \text{ [])} P \# z)\rangle$   
**using** \* **by** simp  
**ultimately show**  $\langle \exists x. S \cup \{\text{sub } 0 \text{ (Fun } x \text{ [])} P\} \in ?C \rangle$   
**by** blast }

{ **fix** P

**assume**  $\langle \text{Neg (Uni } P) \in S \rangle$   
**then have**  $\langle \text{OK (Neg (Uni } P)) z \rangle$   
**using** \* **Assume** **by** simp

**have**  $\langle \text{finite } ((\cup p \in \text{set } z. \text{params } p) \cup \text{params } P) \rangle$   
**by** simp  
**then have**  $\langle \text{infinite } (- ((\cup p \in \text{set } z. \text{params } p) \cup \text{params } P)) \rangle$   
**using** infinite\_UNIV\_listI Diff\_infinite\_finite\_finite\_compl **by** blast  
**then have**  $\langle \text{infinite } (- ((\cup p \in \text{set } z. \text{params } p) \cup \text{params } P)) \rangle$   
**by** (simp add: Compl\_eq\_Diff\_UNIV)  
**then obtain x where** \*\*:  $\langle x \in - ((\cup p \in \text{set } z. \text{params } p) \cup \text{params } P) \rangle$   
**using** infinite\_imp\_nonempty **by** blast

**let** ?x =  $\langle \text{Neg (Exi (Neg } P)) \rangle$

**have**  $\langle \text{OK (Neg (sub } 0 \text{ (Fun } x \text{ [])} P)) (\text{Neg (sub } 0 \text{ (Fun } x \text{ [])} P) \# ?x \# z) \rangle$   
**using** Assume **by** simp  
**then have**  $\langle \text{OK (Exi (Neg } P)) (\text{Neg (sub } 0 \text{ (Fun } x \text{ [])} P) \# ?x \# z) \rangle$   
**using** Exi\_I **by** simp  
**moreover have**  $\langle \text{OK } ?x (\text{Neg (sub } 0 \text{ (Fun } x \text{ [])} P) \# ?x \# z) \rangle$   
**using** Assume **by** simp

**ultimately have**  $\langle \text{OK Falsity (Neg (sub 0 (Fun x []) P) \# ?x \# z)} \rangle$   
**using** Imp\_E **by** blast  
**then have**  $\langle \text{OK (sub 0 (Fun x []) P) (?x \# z)} \rangle$   
**using** Boole **by** blast  
**moreover have**  $\langle \text{news x (P \# ?x \# z)} \rangle$   
**using** \*\* **by** (simp add: list\_all\_iff)  
**ultimately have**  $\langle \text{OK (Uni P) (?x \# z)} \rangle$   
**using** Uni\_I **by** fast  
**moreover have**  $\langle \text{OK (Neg (Uni P)) (?x \# z)} \rangle$   
**using** \*  $\langle \text{Neg (Uni P) \in S} \rangle$  **Assume** **by** simp  
**ultimately have**  $\langle \text{OK Falsity (?x \# z)} \rangle$   
**using** Imp\_E **by** blast  
**then have**  $\langle \text{OK (Exi (Neg P)) z} \rangle$   
**using** Boole **by** blast

**{ assume**  $\langle \text{OK Falsity (Neg (sub 0 (Fun x []) P) \# z)} \rangle$   
**then have**  $\langle \text{OK (sub 0 (Fun x []) P) z} \rangle$   
**using** Boole **by** blast  
**moreover have**  $\langle \text{news x (P \# z)} \rangle$   
**using** \*\* **by** (simp add: list\_all\_iff)  
**ultimately have**  $\langle \text{OK (Uni P) z} \rangle$   
**using** Uni\_I **by** blast  
**then have**  $\langle \text{OK Falsity z} \rangle$   
**using** Imp\_E  $\langle \text{OK (Neg (Uni P)) z} \rangle$  **by** blast  
**then have** False  
**using**  $\langle \neg (\text{OK Falsity z}) \rangle$  **by** blast }  
**then have**  $\langle \neg (\text{OK Falsity (Neg (sub 0 (Fun x []) P) \# z)}) \rangle$

```

  by blast
  moreover have ⟨S ∪ {Neg (sub 0 (Fun x []) P)} = set (Neg (sub 0 (Fun x []) P) # z)⟩
    using * by simp
  ultimately show ⟨∃x. S ∪ {Neg (sub 0 (Fun x []) P)} ∈ ?C⟩
    by blast }
qed

```

**theorem** natded\_complete:

```

  assumes ⟨closed 0 p⟩ and ⟨list_all (closed 0) z⟩
  and mod: ⟨∀(e :: _ ⇒ htm) f g. list_all (semantics e f g) z → semantics e f g p⟩
  shows ⟨OK p z⟩
  proof (rule Boole, rule ccontr)
  fix e
  assume ⟨¬ (OK Falsity (Neg p # z))⟩

```

```

  let ?S = ⟨set (Neg p # z)⟩
  let ?C = ⟨{set z | z. ¬ (OK Falsity z)}⟩
  let ?C' = ⟨mk_finite_char (mk_alt_consistency (close ?C))⟩
  let ?H = ⟨Extend ?S ?C' from_nat⟩
  let ?f = HFun
  let ?g = ⟨λi l. Pre i (tms_of_htms l) ∈ ?H⟩

```

```

{ fix x
  assume ⟨x ∈ ?S⟩
  moreover have ⟨closed 0 x⟩
  using ⟨closed 0 p⟩ ⟨list_all (closed 0) z⟩ ⟨x ∈ ?S⟩
  by (auto simp: list_all_iff)

```

```

moreover have <?S ∈ ?C>
  using <¬ (OK Falsity (Neg p # z))> by blast
moreover have <consistency ?C>
  using OK_consistency by blast
moreover have <infinite (- (Up ∈ ?S. params p))>
  by (simp add: Compl_eq_Diff_UNIV infinite_UNIV_listI)
ultimately have <semantics e ?f ?g x>
  using model_existence by simp }
then have <semantics e ?f ?g (Neg p)>
  and <list_all (semantics e ?f ?g) z>
  unfolding list_all_def by fastforce+
then have <semantics e ?f ?g p>
  using mod by blast
then show False
  using <semantics e ?f ?g (Neg p)> by simp
qed

```

### subsection <Löwenheim-Skolem>

**theorem** sat\_consistency:

```

<consistency {S. infinite (- (Up ∈ S. params p)) ∧ (∃f. ∀p ∈ S. semantics e f g p)}>
(is <consistency ?C>)

```

**unfolding** consistency\_def

**proof** (intro allI impI conjI)

**fix** S :: <fm set>

**assume** <S ∈ ?C>

**then have** inf\_params: <infinite (- (Up ∈ S. params p))>

**and**  $\langle \exists f. \forall p \in S. \text{ semantics } e f g p \rangle$

**by** blast+

**then obtain f where** \*:  $\langle \forall x \in S. \text{ semantics } e f g x \rangle$  **by** blast

{ **fix** p ts

**show**  $\langle \neg (\text{Pre } p \text{ ts} \in S \wedge \text{Neg } (\text{Pre } p \text{ ts}) \in S) \rangle$

**proof**

**assume**  $\langle \text{Pre } p \text{ ts} \in S \wedge \text{Neg } (\text{Pre } p \text{ ts}) \in S \rangle$

**then have**  $\langle \text{ semantics } e f g (\text{Pre } p \text{ ts}) \wedge \text{ semantics } e f g (\text{Neg } (\text{Pre } p \text{ ts})) \rangle$

**using** \* **by** blast

**then show** False **by** auto

**qed** }

**show**  $\langle \text{Falsity} \notin S \rangle$

**using** \* **by** fastforce

{ **fix** p q

**assume**  $\langle \text{Con } p q \in S \rangle$

**then have**  $\langle \forall x \in S \cup \{p, q\}. \text{ semantics } e f g x \rangle$

**using** \* **by** auto

**moreover have**  $\langle \text{infinite } (- (\cup p \in S \cup \{p, q\}. \text{ params } p)) \rangle$

**using** inf\_params **by** (simp add: set\_inter\_compl\_diff)

**ultimately show**  $\langle S \cup \{p, q\} \in ?C \rangle$

**by** blast }

{ **fix** p q

**assume**  $\langle \text{Neg } (\text{Dis } p q) \in S \rangle$

```

then have  $\langle \forall x \in S \cup \{\text{Neg } p, \text{Neg } q\}. \text{ semantics } e \text{ f g } x \rangle$ 
  using * by auto
moreover have  $\langle \text{infinite } (- (\cup p \in S \cup \{\text{Neg } p, \text{Neg } q\}. \text{ params } p)) \rangle$ 
  using inf_params by (simp add: set_inter_compl_diff)
ultimately show  $\langle S \cup \{\text{Neg } p, \text{Neg } q\} \in ?C \rangle$ 
  by blast }

```

```

{ fix p q
  assume  $\langle \text{Neg } (\text{Imp } p \text{ } q) \in S \rangle$ 
  then have  $\langle \forall x \in S \cup \{p, \text{Neg } q\}. \text{ semantics } e \text{ f g } x \rangle$ 
    using * by auto
  moreover have  $\langle \text{infinite } (- (\cup p \in S \cup \{p, \text{Neg } q\}. \text{ params } p)) \rangle$ 
    using inf_params by (simp add: set_inter_compl_diff)
  ultimately show  $\langle S \cup \{p, \text{Neg } q\} \in ?C \rangle$ 
    by blast }

```

```

{ fix p q
  assume  $\langle \text{Dis } p \text{ } q \in S \rangle$ 
  then have  $\langle (\forall x \in S \cup \{p\}. \text{ semantics } e \text{ f g } x) \vee$ 
     $(\forall x \in S \cup \{q\}. \text{ semantics } e \text{ f g } x) \rangle$ 
    using * by auto
  moreover have  $\langle \text{infinite } (- (\cup p \in S \cup \{p\}. \text{ params } p)) \rangle$ 
    and  $\langle \text{infinite } (- (\cup p \in S \cup \{q\}. \text{ params } p)) \rangle$ 
    using inf_params by (simp_all add: set_inter_compl_diff)
  ultimately show  $\langle S \cup \{p\} \in ?C \vee S \cup \{q\} \in ?C \rangle$ 
    by blast }

```

```

{ fix p q
  assume  $\langle \text{Neg } (\text{Con } p \ q) \in S \rangle$ 
  then have  $\langle (\forall x \in S \cup \{\text{Neg } p\}. \text{ semantics } e \ f \ g \ x) \vee$ 
     $(\forall x \in S \cup \{\text{Neg } q\}. \text{ semantics } e \ f \ g \ x) \rangle$ 
    using * by auto
  moreover have  $\langle \text{infinite } (- (\cup p \in S \cup \{\text{Neg } p\}. \text{ params } p)) \rangle$ 
    and  $\langle \text{infinite } (- (\cup p \in S \cup \{\text{Neg } q\}. \text{ params } p)) \rangle$ 
    using inf_params by (simp_all add: set_inter_compl_diff)
  ultimately show  $\langle S \cup \{\text{Neg } p\} \in ?C \vee S \cup \{\text{Neg } q\} \in ?C \rangle$ 
    by blast }

```

```

{ fix p q
  assume  $\langle \text{Imp } p \ q \in S \rangle$ 
  then have  $\langle (\forall x \in S \cup \{\text{Neg } p\}. \text{ semantics } e \ f \ g \ x) \vee$ 
     $(\forall x \in S \cup \{q\}. \text{ semantics } e \ f \ g \ x) \rangle$ 
    using * by auto
  moreover have  $\langle \text{infinite } (- (\cup p \in S \cup \{\text{Neg } p\}. \text{ params } p)) \rangle$ 
    and  $\langle \text{infinite } (- (\cup p \in S \cup \{q\}. \text{ params } p)) \rangle$ 
    using inf_params by (simp_all add: set_inter_compl_diff)
  ultimately show  $\langle S \cup \{\text{Neg } p\} \in ?C \vee S \cup \{q\} \in ?C \rangle$ 
    by blast }

```

```

{ fix P t
  assume  $\langle \text{Uni } P \in S \rangle$ 
  then have  $\langle \forall x \in S \cup \{\text{sub } 0 \ t \ P\}. \text{ semantics } e \ f \ g \ x \rangle$ 
    using * by auto
  moreover have  $\langle \text{infinite } (- (\cup p \in S \cup \{\text{sub } 0 \ t \ P\}. \text{ params } p)) \rangle$ 

```

```

using inf_params by (simp add: set_inter_compl_diff)
ultimately show <S U {sub 0 t P} ∈ ?C>
  by blast }

```

```

{ fix P t
  assume <Neg (Exi P) ∈ S>
  then have <∀x ∈ S U {Neg (sub 0 t P)}. semantics e f g x>
    using * by auto
  moreover have <infinite (- (Up ∈ S U {Neg (sub 0 t P)}). params p)>
    using inf_params by (simp add: set_inter_compl_diff)
  ultimately show <S U {Neg (sub 0 t P)} ∈ ?C>
    by blast }

```

```

{ fix P
  assume <Exi P ∈ S>
  then obtain y where <semantics (put e 0 y) f g P>
    using * by fastforce
  moreover obtain x where **: <x ∈ - (Up ∈ S. params p)>
    using inf_params infinite_imp_nonempty by blast
  then have <x ∉ params P>
    using <Exi P ∈ S> by auto
  moreover have <∀p ∈ S. semantics e (f(x := λ_. y)) g p>
    using * ** by simp
  ultimately have <∀p ∈ S U {sub 0 (Fun x []) P}.
    semantics e (f(x := λ_. y)) g p>
    by simp
  moreover have

```

```

<infinite (- (Up ∈ S ∪ {sub 0 (Fun x []) P}. params p))>
using inf_params by (simp add: set_inter_compl_diff)
ultimately show <∃x. S ∪ {sub 0 (Fun x []) P} ∈ ?C>
by blast }

```

```

{ fix P
assume <Neg (Uni P) ∈ S>
then obtain y where <¬ semantics (put e 0 y) f g P>
using * by fastforce
moreover obtain x where **: <x ∈ - (Up ∈ S. params p)>
using inf_params infinite_imp_nonempty by blast
then have <x ∉ params P>
using <Neg (Uni P) ∈ S> by auto
moreover have <∀p ∈ S. semantics e (f(x := λ_. y)) g p>
using * ** by simp
ultimately have <∀p ∈ S ∪ {Neg (sub 0 (Fun x []) P)}. semantics e (f(x := λ_. y)) g p>
by simp
moreover have <infinite (- (Up ∈ S ∪ {Neg (sub 0 (Fun x []) P)}. params p))>
using inf_params by (simp add: set_inter_compl_diff)
ultimately show <∃x. S ∪ {Neg (sub 0 (Fun x []) P)} ∈ ?C>
by blast }

```

qed

```

primrec double :: <'a list ⇒ 'a list> where
<double [] = []> |
<double (x#xs) = x # x # double xs>

```

```

fun undouble :: <'a list ⇒ 'a list> where
  <undouble [] = []> |
  <undouble [x] = [x]> |
  <undouble (x#_#xs) = x # undouble xs>

```

```

lemma undouble_double_id [simp]: <undouble (double xs) = xs>
by (induct xs) simp_all

```

```

lemma infinite_double_Cons: <infinite (range (λxs. a # double xs))>
using undouble_double_id infinite_UNIV_listI
by (metis (mono_tags, lifting) finite_imageD inj_onI list.inject)

```

```

lemma double_Cons_neq: <a # (double xs) ≠ double ys>

```

```

proof -

```

```

  have <odd (length (a # double xs))>

```

```

    by (induct xs) simp_all

```

```

  moreover have <even (length (double ys))>

```

```

    by (induct ys) simp_all

```

```

  ultimately show ?thesis

```

```

    by fastforce

```

```

qed

```

```

lemma doublep_infinite_params: <infinite (- (∪p ∈ psubst double ` S. params p))>

```

```

proof (rule infinite_super)

```

```

  fix a

```

```

  show <infinite (range (λxs :: id. a # double xs))>

```

```

    using infinite_double_Cons by metis

```

**next**

**fix** a

**show**  $\langle \text{range } (\lambda \text{xs. } a \# \text{double xs}) \subseteq - (\cup p \in \text{psubst double } ` S. \text{params } p) \rangle$

**using** double\_Cons\_neq **by** fastforce

**qed**

**theorem** loewenheim\_skolem:

**assumes**  $\langle \forall p \in S. \text{semantics } e \ f \ g \ p \rangle \langle \forall p \in S. \text{closed } 0 \ p \rangle$

**defines**  $\langle C \equiv \{S. \text{infinite } (- (\cup p \in S. \text{params } p)) \wedge (\exists f. \forall p \in S. \text{semantics } e \ f \ g \ p)\} \rangle$

**defines**  $\langle C' \equiv \text{mk\_finite\_char } (\text{mk\_alt\_consistency } (\text{close } C)) \rangle$

**defines**  $\langle H \equiv \text{Extend } (\text{psubst double } ` S) \ C' \ \text{from\_nat} \rangle$

**shows**  $\langle \forall p \in S. \text{semantics } e' \ (\lambda \text{xs. } \text{HFun } (\text{double } \text{xs})) \ (\lambda i \ l. \text{Pre } i \ (\text{tms\_of\_htms } l) \in H) \ p \rangle$

**proof** (intro ballI impI)

**fix** p

**assume**  $\langle p \in S \rangle$

**let** ?g =  $\langle \lambda i \ l. \text{Pre } i \ (\text{tms\_of\_htms } l) \in H \rangle$

**have**  $\langle \forall p \in \text{psubst double } ` S. \text{semantics } e \ (\lambda \text{xs. } f \ (\text{undouble } \text{xs})) \ g \ p \rangle$

**using**  $\langle \forall p \in S. \text{semantics } e \ f \ g \ p \rangle$  **by** (simp add: psubst\_semantics)

**then have**  $\langle \text{psubst double } ` S \in C \rangle$

**using** C\_def doublep\_infinite\_params **by** blast

**moreover have**  $\langle \text{psubst double } p \in \text{psubst double } ` S \rangle$

**using**  $\langle p \in S \rangle$  **by** blast

**moreover have**  $\langle \text{closed } 0 \ (\text{psubst double } p) \rangle$

**using**  $\langle \forall p \in S. \text{closed } 0 \ p \rangle \langle p \in S \rangle$  **by** simp

**moreover have**  $\langle \text{consistency } C \rangle$

```

using C_def sat_consistency by blast
ultimately have <semantics e' HFun ?g (psubst double p)>
  using C_def C'_def H_def model_existence by simp
then show <semantics e' ( $\lambda$ xs. HFun (double xs)) ?g p>
  using psubst_semantics by blast
qed

```

### subsection <Countable Variants>

**lemma** infinity:

```

assumes inj: < $\forall$ n :: nat. undiago (diago n) = n>
assumes all_tree: < $\forall$ n :: nat. (diago n)  $\in$  tree>
shows <infinite tree>

```

**proof** -

```

from inj all_tree have < $\forall$ n. n = undiago (diago n)  $\wedge$  (diago n)  $\in$  tree>
  by simp
then have <undiago ` tree = (UNIV :: nat set)>
  by auto
then have <infinite tree>
  by (metis finite_imageI infinite_UNIV_nat)
then show ?thesis
  by simp

```

**qed**

```

definition nat_of_string :: <string  $\Rightarrow$  nat> where
  <nat_of_string  $\equiv$  (SOME f. bij f)>

```

**definition** string\_of\_nat ::  $\langle \text{nat} \Rightarrow \text{string} \rangle$  **where**  
 $\langle \text{string\_of\_nat} \equiv \text{inv nat\_of\_string} \rangle$

**lemma** nat\_of\_string\_string\_of\_nat [simp]:  $\langle \text{nat\_of\_string} (\text{string\_of\_nat } n) = n \rangle$   
**using** Schroeder\_Bernstein bij\_is\_surj infinite\_UNIV\_listI infinite\_iff\_countable\_subset  
nat\_of\_string\_def someI\_ex string\_of\_nat\_def surj\_f\_inv\_f top\_greatest inj\_unddiag\_string  
**by** (metis (mono\_tags, lifting))

**lemma** string\_of\_nat\_nat\_of\_string [simp]:  $\langle \text{string\_of\_nat} (\text{nat\_of\_string } n) = n \rangle$   
**using** Schroeder\_Bernstein UNIV\_I bij\_is\_inj infinite\_UNIV\_listI infinite\_iff\_countable\_subset  
inv\_into\_f\_f nat\_of\_string\_def someI\_ex string\_of\_nat\_def top\_greatest inj\_unddiag\_string  
**by** (metis (mono\_tags, lifting))

**lemma** infinite\_htms:  $\langle \text{infinite} (\text{UNIV} :: \text{htm set}) \rangle$

**proof** -

**let** ?diago =  $\langle \lambda n. \text{HFun} (\text{string\_of\_nat } n) [] \rangle$

**let** ?undiago =  $\langle \lambda a. \text{nat\_of\_string} (\text{case } a \text{ of } \text{HFun } f \text{ } ts \Rightarrow f) \rangle$

**show** ?thesis

**using** infinity[of ?undiago ?diago UNIV] **by** simp

**qed**

**definition** e\_conv ::  $\langle ('a \Rightarrow 'b) \Rightarrow (\text{nat} \Rightarrow 'a) \Rightarrow (\text{nat} \Rightarrow 'b) \rangle$  **where**  
 $\langle \text{e\_conv } b\_of\_a \text{ } e \equiv (\lambda n. b\_of\_a (e \text{ } n)) \rangle$

**definition** f\_conv ::  
 $\langle ('a \Rightarrow 'b) \Rightarrow (\text{id} \Rightarrow 'a \text{ list} \Rightarrow 'a) \Rightarrow (\text{id} \Rightarrow 'b \text{ list} \Rightarrow 'b) \rangle$  **where**  
 $\langle \text{f\_conv } b\_of\_a \text{ } f \equiv (\lambda a \text{ } ts. b\_of\_a (f \text{ } a (\text{map} (\text{inv } b\_of\_a) \text{ } ts))) \rangle$

**definition** g\_conv ::  
 <('a ⇒ 'b) ⇒ (id ⇒ 'a list ⇒ bool) ⇒ (id ⇒ 'b list ⇒ bool)> **where**  
 <g\_conv b\_of\_a g ≡ (λa ts. g a (map (inv b\_of\_a) ts))>

**lemma** semantics\_bij':

**assumes** <bij (b\_of\_a :: 'a ⇒ 'b)>

**shows**

<semantics\_term (e\_conv b\_of\_a e) (f\_conv b\_of\_a f) p = b\_of\_a (semantics\_term e f p)>

<semantics\_list (e\_conv b\_of\_a e) (f\_conv b\_of\_a f) l = map b\_of\_a (semantics\_list e f l)>

**unfolding** e\_conv\_def f\_conv\_def **using** assms

**by** (induct p **and** l **rule**: semantics\_term.induct semantics\_list.induct) (simp\_all **add**: bij\_is\_inj)

**lemma** put\_e\_conv: <(put (e\_conv b\_of\_a e) m (b\_of\_a x)) = e\_conv b\_of\_a (put e m x)>

**unfolding** e\_conv\_def **by** auto

**lemma** semantics\_bij:

**assumes** <bij (b\_of\_a :: 'a ⇒ 'b)>

**shows** <semantics e f g p = semantics (e\_conv b\_of\_a e) (f\_conv b\_of\_a f) (g\_conv b\_of\_a g) p>

**proof** (induct p **arbitrary**: e f g)

**case** (Pre a l)

**then show** ?case

**unfolding** g\_conv\_def **using** assms

**by** (simp **add**: semantics\_bij' bij\_is\_inj)

**next**

**case** (Exi p)

**let** ?e = <e\_conv b\_of\_a e>

**and** ?f = ⟨f\_conv b\_of\_a f⟩  
**and** ?g = ⟨g\_conv b\_of\_a g⟩

**have** ⟨(∃x'. semantics (put ?e 0 x') ?f ?g p) = (∃x. semantics (put ?e 0 (b\_of\_a x)) ?f ?g p)⟩

**using** assms **by** (metis bij\_pointE)

**also have** ⟨... = (∃x. semantics (e\_conv b\_of\_a (put e 0 x)) ?f ?g p)⟩

**using** put\_e\_conv **by** metis

**finally show** ?case

**using** Exi **by** simp

**next**

**case** (Uni p)

**have** ⟨(∀x. semantics (put (e\_conv b\_of\_a e) 0 x) (f\_conv b\_of\_a f) (g\_conv b\_of\_a g) p) =  
(∀x. semantics (put (e\_conv b\_of\_a e) 0 (b\_of\_a x)) (f\_conv b\_of\_a f) (g\_conv b\_of\_a g) p)⟩

**using** assms **by** (metis bij\_pointE)

**also have** ⟨... = (∀x. semantics (e\_conv b\_of\_a (put e 0 x)) (f\_conv b\_of\_a f) (g\_conv b\_of\_a g) p)⟩

**using** put\_e\_conv **by** metis

**finally show** ?case

**using** Uni **by** simp

**qed** simp\_all

**fun**

hterm\_of\_btree :: ⟨btree ⇒ htm⟩ **and**

hterm\_list\_of\_btree :: ⟨btree ⇒ htm list⟩ **where**

⟨hterm\_of\_btree (Leaf \_) = undefined⟩

| ⟨hterm\_of\_btree (Branch (Leaf m) t) =

HFun (diag\_string m) (hterm\_list\_of\_btree t)⟩

| ⟨hterm\_list\_of\_btree (Leaf m) = []⟩

|  $\langle \text{hterm\_list\_of\_btree } (\text{Branch } t1 \ t2) =$   
 $\text{hterm\_of\_btree } t1 \ \# \ \text{hterm\_list\_of\_btree } t2 \rangle$   
|  $\langle \text{hterm\_of\_btree } (\text{Branch } (\text{Branch } \_ \_) \_) = \text{undefined} \rangle$

### primrec

$\text{btree\_of\_hterm} :: \langle \text{htm} \Rightarrow \text{btree} \rangle$  **and**  
 $\text{btree\_of\_hterm\_list} :: \langle \text{htm list} \Rightarrow \text{btree} \rangle$  **where**  
 $\langle \text{btree\_of\_hterm } (\text{HFun } m \ ts) = \text{Branch } (\text{Leaf } (\text{undiastring } m)) (\text{btree\_of\_hterm\_list } ts) \rangle$   
|  $\langle \text{btree\_of\_hterm\_list } [] = \text{Leaf } 0 \rangle$   
|  $\langle \text{btree\_of\_hterm\_list } (t \ \# \ ts) = \text{Branch } (\text{btree\_of\_hterm } t) (\text{btree\_of\_hterm\_list } ts) \rangle$

**theorem**  $\text{hterm\_btree}$ :

**shows**  $\langle \text{hterm\_of\_btree } (\text{btree\_of\_hterm } t) = t \rangle$   
**and**  $\langle \text{hterm\_list\_of\_btree } (\text{btree\_of\_hterm\_list } ts) = ts \rangle$   
**by** (induct  $t$  **and**  $ts$  **rule**:  $\text{btree\_of\_hterm.induct}$   $\text{btree\_of\_hterm\_list.induct}$ )  $\text{simp\_all}$

**definition**  $\text{diag\_hterm} :: \langle \text{nat} \Rightarrow \text{htm} \rangle$  **where**

$\langle \text{diag\_hterm } n = \text{hterm\_of\_btree } (\text{diag\_btree } n) \rangle$

**definition**  $\text{undia\_hterm} :: \langle \text{htm} \Rightarrow \text{nat} \rangle$  **where**

$\langle \text{undia\_hterm } t = \text{undia\_btree } (\text{btree\_of\_hterm } t) \rangle$

**theorem**  $\text{diag\_undia\_hterm}$  [simp]:  $\langle \text{diag\_hterm } (\text{undia\_hterm } t) = t \rangle$

**by** (simp **add**:  $\text{diag\_hterm\_def}$   $\text{undia\_hterm\_def}$   $\text{hterm\_btree}$ )

**lemma**  $\text{htm}$ :  $\langle \exists f :: \text{htm} \Rightarrow \text{nat. inj } f \rangle$

**unfolding**  $\text{inj\_def}$  **using**  $\text{diag\_undia\_hterm}$  **by**  $\text{metis}$

**definition** denumerable :: <'a set  $\Rightarrow$  bool>

**where** <denumerable S  $\equiv$  ( $\exists f :: 'a \Rightarrow \text{nat}$ . inj\_on f S)  $\wedge$  ( $\exists f :: \text{nat} \Rightarrow 'a$ . range f  $\subseteq$  S  $\wedge$  inj f)>

**lemma** denumerable\_bij: <denumerable S  $\leftrightarrow$  ( $\exists f$ . bij\_betw f (UNIV :: nat set) S)>

**unfolding** denumerable\_def

**using** Schroeder\_Bernstein UNIV\_I bij\_betw\_def bij\_betw\_inv subsetI **by** metis

**hide\_fact** denumerable\_def

**lemma** denumerable\_htm: <denumerable (UNIV :: htm set)>

**using** infinite\_htms htm denumerable\_bij Schroeder\_Bernstein infinite\_iff\_countable\_subset  
top\_greatest **by** metis

**abbreviation** <sentence  $\equiv$  closed 0>

**lemma** sentence\_completeness':

**assumes** < $\forall (e :: \_ \Rightarrow 'a)$  f g. list\_all (semantics e f g) z  $\longrightarrow$  semantics e f g p>

**and** <sentence p>

**and** <list\_all sentence z>

**and** <denumerable (UNIV :: 'a set)>

**shows** <OK p z>

**proof** -

**have** < $\forall (e :: \_ \Rightarrow \text{htm})$  f g. list\_all (semantics e f g) z  $\longrightarrow$  semantics e f g p>

**proof** (intro allI)

**fix** e :: <nat  $\Rightarrow$  htm>

**and** f :: <id  $\Rightarrow$  htm list  $\Rightarrow$  htm>

**and**  $g :: \langle \text{id} \Rightarrow \text{htm list} \Rightarrow \text{bool} \rangle$

**obtain**  $a\_of\_htm :: \langle \text{htm} \Rightarrow 'a \rangle$  **where**  $p\_a\_of\_hterm: \langle \text{bij } a\_of\_htm \rangle$

**using**  $\text{assms}(4)$   $\text{infinite\_htms}$   $\text{htm}$   $\text{denumerable\_bij}$

$\text{Schroeder\_Bernstein}$   $\text{bij\_comp}$   $\text{infinite\_iff\_countable\_subset}$   $\text{top\_greatest}$  **by**  $\text{metis}$

**let**  $?e = \langle e\_conv \ a\_of\_htm \ e \rangle$

**let**  $?f = \langle f\_conv \ a\_of\_htm \ f \rangle$

**let**  $?g = \langle g\_conv \ a\_of\_htm \ g \rangle$

**have**  $\langle \text{list\_all} (\text{semantics } ?e \ ?f \ ?g) \ z \longrightarrow \text{semantics } ?e \ ?f \ ?g \ p \rangle$

**using**  $\text{assms}(1)$  **by**  $\text{blast}$

**then show**  $\langle \text{list\_all} (\text{semantics } e \ f \ g) \ z \longrightarrow \text{semantics } e \ f \ g \ p \rangle$

**using**  $p\_a\_of\_hterm$   $\text{semantics\_bij}$  **by**  $(\text{metis } \text{list.pred\_cong})$

**qed**

**then show**  $?thesis$

**using**  $\text{assms}(2)$   $\text{assms}(3)$   $\text{natded\_complete}$  **by**  $\text{blast}$

**qed**

**theorem**  $\text{sentence\_completeness}$ :

**assumes**  $\langle \forall (e :: \_ \Rightarrow 'a) \ f \ g. \text{semantics } e \ f \ g \ p \rangle$

**and**  $\langle \text{sentence } p \rangle$

**and**  $\langle \text{denumerable} (\text{UNIV} :: 'a \ \text{set}) \rangle$

**shows**  $\langle \text{OK } p \ [] \rangle$

**using**  $\text{assms}$  **by**  $(\text{simp } \text{add: } \text{sentence\_completeness})$

**corollary**  $\langle \forall (e :: \_ \Rightarrow \text{nat}) \ f \ g. \text{semantics } e \ f \ g \ p \Rightarrow \text{sentence } p \Rightarrow \text{OK } p \ [] \rangle$

**using** sentence\_completeness denumerable\_bij **by** blast

## section <Open Formulas>

### subsection <Renaming>

**lemma** new\_psubst\_image':

$\langle \text{new\_term } c \ t \Rightarrow d \notin \text{image } f \ (\text{params\_term } t) \Rightarrow \text{new\_term } d \ (\text{psubst\_term } (f(c := d)) \ t) \rangle$

$\langle \text{new\_list } c \ l \Rightarrow d \notin \text{image } f \ (\text{params\_list } l) \Rightarrow \text{new\_list } d \ (\text{psubst\_list } (f(c := d)) \ l) \rangle$

**by** (induct **t** and **l** rule: new\_term.induct new\_list.induct) auto

**lemma** new\_psubst\_image:  $\langle \text{new } c \ p \Rightarrow d \notin \text{image } f \ (\text{params } p) \Rightarrow \text{new } d \ (\text{psubst } (f(c := d)) \ p) \rangle$

**using** new\_psubst\_image' **by** (induct **p**) auto

**lemma** news\_psubst:  $\langle \text{news } c \ z \Rightarrow d \notin \text{image } f \ (\bigcup p \in \text{set } z. \text{params } p) \Rightarrow$

$\text{news } d \ (\text{map } (\text{psubst } (f(c := d))) \ z) \rangle$

**using** new\_psubst\_image **by** (induct **z**) auto

**lemma** member\_psubst:  $\langle \text{member } p \ z \Rightarrow \text{member } (\text{psubst } f \ p) \ (\text{map } (\text{psubst } f) \ z) \rangle$

**by** (induct **z**) auto

**lemma** OK\_psubst:  $\langle \text{OK } p \ z \Rightarrow \text{OK } (\text{psubst } f \ p) \ (\text{map } (\text{psubst } f) \ z) \rangle$

**proof** (induct **p** **z** arbitrary: **f** rule: OK.induct)

**case** (Assume **p** **z**)

**then show** ?case

**using** OK.Assume member\_psubst **by** blast

**next**

```

case (Exi_E p z q c)
let ?params = ⟨params p ∪ params q ∪ (∪p ∈ set z. params p)⟩

have ⟨finite ?params⟩
  by simp
then obtain fresh where *: ⟨fresh ∉ ?params ∪ {c} ∪ image f ?params⟩
  using ex_new_if_finite
  by (metis finite.emptyI finite.insertI finite_UnI finite_imageI infinite_UNIV_listI)

let ?f = ⟨f(c := fresh)⟩

have ⟨news c (p # q # z)⟩
  using Exi_E by blast
then have ⟨new fresh (psubst ?f p)⟩ ⟨new fresh (psubst ?f q)⟩ ⟨news fresh (map (psubst ?f) z)⟩
  using * new_psubst_image news_psubst by (fastforce simp add: image_Un)+
have ⟨OK (psubst ?f (Exi p)) (map (psubst ?f) z)⟩
  using Exi_E by blast
then have ⟨OK (Exi (psubst ?f p)) (map (psubst ?f) z)⟩
  by simp
moreover have ⟨OK (psubst ?f q) (map (psubst ?f) (sub 0 (Fun c []) p # z))⟩
  using Exi_E by blast
then have ⟨OK (psubst ?f q) (sub 0 (Fun fresh []) (psubst ?f p) # map (psubst ?f) z)⟩
  by simp
moreover have ⟨news fresh (map (psubst ?f) (p # q # z))⟩
  using ⟨new fresh (psubst ?f p)⟩ ⟨new fresh (psubst ?f q)⟩ ⟨news fresh (map (psubst ?f) z)⟩
  by simp
then have ⟨news fresh (psubst ?f p # psubst ?f q # map (psubst ?f) z)⟩

```

```

  by simp
  ultimately have ⟨OK (psubst ?f q) (map (psubst ?f) z)⟩
    using OK.Exi_E by blast
  moreover have ⟨list_all (new c) z⟩
    using Exi_E by simp
  then have ⟨map (psubst ?f) z = map (psubst f) z⟩
    unfolding list_all_iff by simp
  ultimately show ?case
    using Exi_E by simp
next
case (Uni_I c p z)
let ?params = ⟨params p ∪ (∪p ∈ set z. params p)⟩

have ⟨finite ?params⟩
  by simp
then obtain fresh where *: ⟨fresh ∉ ?params ∪ {c} ∪ image f ?params⟩
  using ex_new_if_finite
  by (metis finite.emptyI finite.insertI finite_UnI finite_imageI infinite_UNIV_listI)

let ?f = ⟨f(c := fresh)⟩

have ⟨news c (p # z)⟩
  using Uni_I by blast
then have ⟨new fresh (psubst ?f p)⟩ ⟨news fresh (map (psubst ?f) z)⟩
  using * new_psubst_image news_psubst by (fastforce simp add: image_Un)+
then have ⟨map (psubst ?f) z = map (psubst f) z⟩
  using Uni_I allnew new_params

```

**by** (metis (mono\_tags, lifting) Ball\_set map\_eq\_conv news.simps(2) psubst\_upd)

**have** <OK (psubst ?f (sub 0 (Fun c []) p)) (map (psubst ?f) z)>  
  **using** Uni\_I **by** blast  
**then have** <OK (sub 0 (Fun fresh []) (psubst ?f p)) (map (psubst ?f) z)>  
  **by** simp  
**moreover have** <news fresh (map (psubst ?f) (p # z))>  
  **using** <new fresh (psubst ?f p)> <news fresh (map (psubst ?f) z)>  
  **by** simp  
**then have** <news fresh (psubst ?f p # map (psubst ?f) z)>  
  **by** simp  
**ultimately have** <OK (Uni (psubst ?f p)) (map (psubst ?f) z)>  
  **using** OK.Uni\_I **by** blast  
**then show** ?case  
  **using** Uni\_I <map (psubst ?f) z = map (psubst f) z> **by** simp  
**qed** (auto intro: OK.intros)

**subsection** <Substitution for Constants>

**primrec**

subc\_term :: <id  $\Rightarrow$  tm  $\Rightarrow$  tm  $\Rightarrow$  tm> **and**  
subc\_list :: <id  $\Rightarrow$  tm  $\Rightarrow$  tm list  $\Rightarrow$  tm list> **where**  
<subc\_term c s (Var n) = Var n |  
<subc\_term c s (Fun i l) = (if i = c then s else Fun i (subc\_list c s l))> |  
<subc\_list c s [] = []> |  
<subc\_list c s (t # l) = subc\_term c s t # subc\_list c s l>

**primrec** subc :: <id  $\Rightarrow$  tm  $\Rightarrow$  fm  $\Rightarrow$  fm> **where**  
 <subc c s Falsity = Falsity> |  
 <subc c s (Pre i l) = Pre i (subc\_list c s l)> |  
 <subc c s (Imp p q) = Imp (subc c s p) (subc c s q)> |  
 <subc c s (Dis p q) = Dis (subc c s p) (subc c s q)> |  
 <subc c s (Con p q) = Con (subc c s p) (subc c s q)> |  
 <subc c s (Exi p) = Exi (subc c (inc\_term s) p)> |  
 <subc c s (Uni p) = Uni (subc c (inc\_term s) p)>

**primrec** subcs :: <id  $\Rightarrow$  tm  $\Rightarrow$  fm list  $\Rightarrow$  fm list> **where**  
 <subcs c s [] = []> |  
 <subcs c s (p # z) = subc c s p # subcs c s z>

**lemma** sub\_0\_inc:  
 <sub\_term 0 s (inc\_term t) = t>  
 <sub\_list 0 s (inc\_list l) = l>  
**by** (induct t and l rule: sub\_term.induct sub\_list.induct) simp\_all

**lemma** sub\_new':  
 <new\_term c s  $\Rightarrow$  new\_term c t  $\Rightarrow$  new\_term c (sub\_term m s t)>  
 <new\_term c s  $\Rightarrow$  new\_list c l  $\Rightarrow$  new\_list c (sub\_list m s l)>  
**by** (induct t and l rule: sub\_term.induct sub\_list.induct) simp\_all

**lemma** sub\_new: <new\_term c s  $\Rightarrow$  new c p  $\Rightarrow$  new c (sub m s p)>  
**using** sub\_new' **by** (induct p arbitrary: m s) simp\_all

**lemma** sub\_new\_all:

**assumes**  $\langle a \notin \text{set } cs \rangle \langle \text{list\_all } (\lambda c. \text{new } c \text{ p}) \text{ cs} \rangle$   
**shows**  $\langle \forall c \in \text{set } cs. \text{new } c \text{ (sub m (Fun a [])) p} \rangle$   
**using** `assms sub_new by (induct cs) auto`

**lemma** `subc_new' [simp]:`  
 $\langle \text{new\_term } c \text{ t} \Rightarrow \text{subc\_term } c \text{ s t} = \text{t} \rangle$   
 $\langle \text{new\_list } c \text{ l} \Rightarrow \text{subc\_list } c \text{ s l} = \text{l} \rangle$   
**by** `(induct t and l rule: new_term.induct new_list.induct) auto`

**lemma** `subc_new [simp]:`  $\langle \text{new } c \text{ p} \Rightarrow \text{subc } c \text{ s p} = \text{p} \rangle$   
**by** `(induct p arbitrary: s) simp_all`

**lemma** `subcs_news [simp]:`  $\langle \text{news } c \text{ z} \Rightarrow \text{subcs } c \text{ s z} = \text{z} \rangle$   
**by** `(induct z) simp_all`

**lemma** `subc_psubst' [simp]:`  
 $\langle (\forall x \in \text{params\_term } t. x \neq c \rightarrow f \text{ x} \neq f \text{ c}) \Rightarrow$   
 $\text{psubst\_term } f \text{ (subc\_term } c \text{ s t)} = \text{subc\_term } (f \text{ c}) \text{ (psubst\_term } f \text{ s)} \text{ (psubst\_term } f \text{ t)} \rangle$   
 $\langle (\forall x \in \text{params\_list } l. x \neq c \rightarrow f \text{ x} \neq f \text{ c}) \Rightarrow$   
 $\text{psubst\_list } f \text{ (subc\_list } c \text{ s l)} = \text{subc\_list } (f \text{ c}) \text{ (psubst\_term } f \text{ s)} \text{ (psubst\_list } f \text{ l)} \rangle$   
**by** `(induct t and l rule: psubst_term.induct psubst_list.induct) simp_all`

**lemma** `subc_psubst [simp]:`  $\langle (\forall x \in \text{params } p. x \neq c \rightarrow f \text{ x} \neq f \text{ c}) \Rightarrow$   
 $\text{psubst } f \text{ (subc } c \text{ s p)} = \text{subc } (f \text{ c}) \text{ (psubst\_term } f \text{ s)} \text{ (psubst } f \text{ p)} \rangle$   
**by** `(induct p arbitrary: s) simp_all`

**lemma** `subcs_psubst [simp]:`  $\langle (\forall x \in (\cup p \in \text{set } z. \text{params } p). x \neq c \rightarrow f \text{ x} \neq f \text{ c}) \Rightarrow$

map (psubst f) (subcs c s z) = subcs (f c) (psubst\_term f s) (map (psubst f) z)›  
**by** (induct z) simp\_all

**lemma** new\_inc':

⟨new\_term c t ⇒ new\_term c (inc\_term t)⟩  
⟨new\_list c l ⇒ new\_list c (inc\_list l)⟩  
**by** (induct t and l rule: new\_term.induct new\_list.induct) simp\_all

**lemma** new\_subc':

⟨new\_term d s ⇒ new\_term d t ⇒ new\_term d (subc\_term c s t)⟩  
⟨new\_term d s ⇒ new\_list d l ⇒ new\_list d (subc\_list c s l)⟩  
**by** (induct t and l rule: sub\_term.induct sub\_list.induct) simp\_all

**lemma** new\_subc: ⟨new\_term d s ⇒ new d p ⇒ new d (subc c s p)⟩

**using** new\_subc' **by** (induct p arbitrary: s) simp\_all

**lemma** news\_subcs: ⟨new\_term d s ⇒ news d z ⇒ news d (subcs c s z)⟩

**using** new\_subc **by** (induct z) simp\_all

**lemma** psubst\_new\_free':

⟨c ≠ n ⇒ new\_term n (psubst\_term (id(n := c)) t)⟩  
⟨c ≠ n ⇒ new\_list n (psubst\_list (id(n := c)) l)⟩  
**by** (induct t and l rule: params\_term.induct params\_list.induct) simp\_all

**lemma** psubst\_new\_free: ⟨c ≠ n ⇒ new n (psubst (id(n := c)) p)⟩

**using** psubst\_new\_free' **by** (induct p) simp\_all

**lemma** map\_psubst\_new\_free:  $\langle c \neq n \implies \text{news } n \text{ (map (psubst (id(n := c)))) } z \rangle$   
**using** psubst\_new\_free **by** (induct z) simp\_all

**lemma** psubst\_new\_away' [simp]:  
 $\langle \text{new\_term fresh } t \implies \text{psubst\_term (id(fresh := c)) (psubst\_term (id(c := fresh)) } t) = t \rangle$   
 $\langle \text{new\_list fresh } l \implies \text{psubst\_list (id(fresh := c)) (psubst\_list (id(c := fresh)) } l) = l \rangle$   
**by** (induct t and l rule: psubst\_term.induct psubst\_list.induct) auto

**lemma** psubst\_new\_away [simp]:  $\langle \text{new fresh } p \implies$   
 $\text{psubst (id(fresh := c)) (psubst (id(c := fresh)) } p) = p \rangle$   
**by** (induct p) simp\_all

**lemma** map\_psubst\_new\_away:  
 $\langle \text{news fresh } z \implies \text{map (psubst (id(fresh := c))) (map (psubst (id(c := fresh)))) } z) = z \rangle$   
**by** (induct z) simp\_all

**lemma** psubst\_new':  
 $\langle \text{new\_term } c \ t \implies \text{psubst\_term (id(c := x)) } t = t \rangle$   
 $\langle \text{new\_list } c \ l \implies \text{psubst\_list (id(c := x)) } l = l \rangle$   
**by** (induct t and l rule: psubst\_term.induct psubst\_list.induct) auto

**lemma** psubst\_new:  $\langle \text{new } c \ p \implies \text{psubst (id(c := x)) } p = p \rangle$   
**using** psubst\_new' **by** (induct p) simp\_all

**lemma** map\_psubst\_new:  $\langle \text{news } c \ z \implies \text{map (psubst (id(c := x))) } z = z \rangle$   
**using** psubst\_new **by** (induct z) simp\_all

**lemma** inc\_sub':

$\langle \text{inc\_term} (\text{sub\_term } m \ u \ t) = \text{sub\_term} (m + 1) (\text{inc\_term } u) (\text{inc\_term } t) \rangle$

$\langle \text{inc\_list} (\text{sub\_list } m \ u \ l) = \text{sub\_list} (m + 1) (\text{inc\_term } u) (\text{inc\_list } l) \rangle$

**by** (induct **t and l rule**: sub\_term.induct sub\_list.induct) simp\_all

**lemma** new\_subc\_same':

$\langle \text{new\_term } c \ s \Rightarrow \text{new\_term } c \ (\text{subc\_term } c \ s \ t) \rangle$

$\langle \text{new\_term } c \ s \Rightarrow \text{new\_list } c \ (\text{subc\_list } c \ s \ l) \rangle$

**by** (induct **t and l rule**: subc\_term.induct subc\_list.induct) simp\_all

**lemma** new\_subc\_same:  $\langle \text{new\_term } c \ s \Rightarrow \text{new } c \ (\text{subc } c \ s \ p) \rangle$

**using** new\_subc\_same' **by** (induct **p arbitrary**: s) simp\_all

**lemma** inc\_subc:

$\langle \text{inc\_term} (\text{subc\_term } c \ s \ t) = \text{subc\_term } c \ (\text{inc\_term } s) (\text{inc\_term } t) \rangle$

$\langle \text{inc\_list} (\text{subc\_list } c \ s \ l) = \text{subc\_list } c \ (\text{inc\_term } s) (\text{inc\_list } l) \rangle$

**by** (induct **t and l rule**: inc\_term.induct inc\_list.induct) simp\_all

**lemma** new\_subc\_put':

$\langle \text{new\_term } c \ s \Rightarrow \text{subc\_term } c \ s \ (\text{sub\_term } m \ u \ t) = \text{subc\_term } c \ s \ (\text{sub\_term } m \ (\text{subc\_term } c \ s \ u) \ t) \rangle$

$\langle \text{new\_term } c \ s \Rightarrow \text{subc\_list } c \ s \ (\text{sub\_list } m \ u \ l) = \text{subc\_list } c \ s \ (\text{sub\_list } m \ (\text{subc\_term } c \ s \ u) \ l) \rangle$

**using** new\_subc\_same'

**by** (induct **t and l rule**: subc\_term.induct subc\_list.induct) simp\_all

**lemma** new\_subc\_put:  $\langle \text{new\_term } c \ s \Rightarrow \text{subc } c \ s \ (\text{sub } m \ t \ p) = \text{subc } c \ s \ (\text{sub } m \ (\text{subc\_term } c \ s \ t) \ p) \rangle$

**proof** (induct **p arbitrary**: s m t)

**case** Falsity

```

show ?case
  by simp
next
  case (Pre i l)
  have <subc c s (sub m t l) = subc c s (sub_list m (subc_term c s t) l)>
    using Pre.premis new_subc_put'(2) by blast
  then show ?case
    by simp
next
  case (Imp p q)
  have <subc c s (sub m t p) = subc c s (sub m (subc_term c s t) p)>
    using Imp.hyps(1) Imp.premis by blast
  moreover have <subc c s (sub m t q) = subc c s (sub m (subc_term c s t) q)>
    using Imp.hyps(2) Imp.premis by blast
  ultimately show ?case
    by simp
next
  case (Dis p q)
  have <subc c s (sub m t p) = subc c s (sub m (subc_term c s t) p)>
    using Dis.hyps(1) Dis.premis by blast
  moreover have <subc c s (sub m t q) = subc c s (sub m (subc_term c s t) q)>
    using Dis.hyps(2) Dis.premis by blast
  ultimately show ?case
    by simp
next
  case (Con p q)
  have <subc c s (sub m t p) = subc c s (sub m (subc_term c s t) p)>

```

```

using Con.hyps(1) Con.premis by blast
moreover have ⟨subc c s (sub m t q) = subc c s (sub m (subc_term c s t) q)⟩
using Con.hyps(2) Con.premis by blast
ultimately show ?case
  by simp
next
case (Exi p)
have ⟨subc c s (sub m (subc_term c s t) (Exi p)) =
  Exi (subc c (inc_term s) (sub (Suc m) (subc_term c (inc_term s) (inc_term t)) p))⟩
using inc_subc by simp
also have ⟨... = Exi (subc c (inc_term s) (sub (Suc m) (inc_term t) p))⟩
using Exi new_inc' by metis
finally show ?case
  by simp
next
case (Uni p)
have ⟨subc c s (sub m (subc_term c s t) (Uni p)) =
  Uni (subc c (inc_term s) (sub (Suc m) (subc_term c (inc_term s) (inc_term t)) p))⟩
using inc_subc by simp
also have ⟨... = Uni (subc c (inc_term s) (sub (Suc m) (inc_term t) p))⟩
using Uni new_inc' by metis
finally show ?case
  by simp
qed

lemma subc_sub_new':
  ⟨new_term c u  $\implies$  subc_term c (sub_term m u s) (sub_term m u t) = sub_term m u (subc_term c s t)⟩

```

⟨new\_term  $c\ u \Rightarrow \text{subc\_list } c\ (\text{sub\_term } m\ u\ s)\ (\text{sub\_list } m\ u\ l) = \text{sub\_list } m\ u\ (\text{subc\_list } c\ s\ l)\rangle$   
by (induct  $t$  and  $l$  rule: subc\_term.induct subc\_list.induct) simp\_all

**lemma** subc\_sub\_new:

⟨new\_term  $c\ t \Rightarrow \text{subc } c\ (\text{sub\_term } m\ t\ s)\ (\text{sub } m\ t\ p) = \text{sub } m\ t\ (\text{subc } c\ s\ p)\rangle$   
using subc\_sub\_new' inc\_sub' by (induct  $p$  arbitrary:  $m\ t\ s$ ) simp\_all

**lemma** subc\_sub\_0\_new [simp]:

⟨new\_term  $c\ t \Rightarrow \text{subc } c\ s\ (\text{sub } 0\ t\ p) = \text{sub } 0\ t\ (\text{subc } c\ (\text{inc\_term } s)\ p)\rangle$   
using subc\_sub\_new sub\_0\_inc by metis

**lemma** member\_subc: ⟨member  $p\ z \Rightarrow \text{member } (\text{subc } c\ s\ p)\ (\text{subcs } c\ s\ z)\rangle$

by (induct  $z$ ) auto

**lemma** OK\_subc: ⟨OK  $p\ z \Rightarrow \text{OK } (\text{subc } c\ s\ p)\ (\text{subcs } c\ s\ z)\rangle$

**proof** (induct  $p\ z$  arbitrary:  $c\ s$  rule: OK.induct)

case (Assume  $p\ z$ )

then show ?case

using member\_subc OK.Assume by blast

next

case (Imp\_E  $p\ q\ z$ )

then have

⟨OK (Imp (subc  $c\ s\ p)$  (subc  $c\ s\ q$ )) (subcs  $c\ s\ z$ )⟩

⟨OK (subc  $c\ s\ p)$  (subcs  $c\ s\ z$ )⟩

by simp\_all

then show ?case

using OK.Imp\_E by blast

```

next
case (Dis_E p q z r)
then have
  <OK (Dis (subc c s p) (subc c s q)) (subcs c s z)>
  <OK (subc c s r) (subc c s p # subcs c s z)>
  <OK (subc c s r) (subc c s q # subcs c s z)>
  by simp_all
then show ?case
  using OK.Dis_E by blast
next
case (Exi_E p z q d)
then show ?case
proof (cases <c = d>)
  case True
  then have <OK q z>
    using Exi_E OK.Exi_E by blast
  moreover have <new c q> and <news c z>
    using Exi_E True by simp_all
  ultimately show ?thesis
    by simp
next
case False
let ?params = <params p U params q U (U p ∈ set z. params p) U params_term s U {c} U {d}>

have <finite ?params>
  by simp
then obtain fresh where fresh: <fresh ∉ ?params>

```

**by** (meson ex\_new\_if\_finite infinite\_UNIV\_listI)

**let** ?s = ⟨psubst\_term (id(d := fresh)) s⟩

**let** ?f = ⟨id(d := fresh, fresh := d)⟩

**have** f: ⟨ $\forall x \in ?\text{params}. x \neq c \rightarrow ?f\ x \neq ?f\ c$ ⟩

**using** fresh **by** simp

**have** ⟨new\_term d ?s⟩

**using** fresh psubst\_new\_free'(1) **by** simp

**then have** ⟨psubst\_term ?f ?s = psubst\_term (id(fresh := d)) ?s⟩

**using** new\_params' fun\_upd\_twist(1) psubst\_upd'(1) **by** metis

**then have** psubst\_s: ⟨psubst\_term ?f ?s = s⟩

**using** fresh psubst\_new\_away' **by** simp

**have** ⟨?f c = c⟩ **and** ⟨new\_term (?f c) (Fun fresh [])⟩

**using** False fresh **by** auto

**have** ⟨OK (subc c (psubst\_term ?f ?s) (Exi p)) (subcs c (psubst\_term ?f ?s) z)⟩

**using** Exi\_E **by** blast

**then have** exi\_p:

⟨OK (Exi (subc c (inc\_term (psubst\_term ?f ?s)) p)) (subcs c s z)⟩

**using** psubst\_s **by** simp

**have** ⟨news d z⟩

**using** Exi\_E **by** simp

**moreover have** ⟨news fresh z⟩

**using** fresh **by** (induct  $z$ ) simp\_all  
**ultimately have**  $\langle \text{map } (\text{psubst } ?f) z = z \rangle$   
**by** (induct  $z$ ) simp\_all  
**moreover have**  $\langle \forall x \in \cup p \in \text{set } z. \text{ params } p. x \neq c \longrightarrow ?f x \neq ?f c \rangle$   
**by** simp  
**ultimately have** psubst\_z:  $\langle \text{map } (\text{psubst } ?f) (\text{subcs } c ?s z) = \text{subcs } c s z \rangle$   
**using**  $\langle ?f c = c \rangle$  psubst\_s **by** simp

**have**  $\langle \text{psubst } ?f (\text{subc } c ?s (\text{sub } 0 (\text{Fun } d []) p)) =$   
 $\text{subc } (?f c) (\text{psubst\_term } ?f ?s) (\text{psubst } ?f (\text{sub } 0 (\text{Fun } d []) p)) \rangle$   
**using** subc\_psubst fresh **by** simp  
**also have**  $\langle \dots = \text{subc } c s (\text{sub } 0 (\text{Fun } \text{fresh } []) (\text{psubst } ?f p)) \rangle$   
**using** psubst\_sub psubst\_s  $\langle ?f c = c \rangle$  **by** simp  
**also have**  $\langle \dots = \text{subc } c s (\text{sub } 0 (\text{Fun } \text{fresh } []) p) \rangle$   
**using** Exi\_E fresh **by** simp  
**finally have** psubst\_p:  $\langle \text{psubst } ?f (\text{subc } c ?s (\text{sub } 0 (\text{Fun } d []) p)) =$   
 $\text{sub } 0 (\text{Fun } \text{fresh } []) (\text{subc } c (\text{inc\_term } s) p) \rangle$   
**using**  $\langle \text{new\_term } (?f c) (\text{Fun } \text{fresh } []) \rangle$   $\langle ?f c = c \rangle$  **by** (simp del: subc\_psubst)

**have**  $\langle \forall x \in \text{params } q. x \neq c \longrightarrow ?f x \neq ?f c \rangle$   
**using** f **by** blast  
**then have** psubst\_q:  $\langle \text{psubst } ?f (\text{subc } c ?s q) = \text{subc } c s q \rangle$   
**using** Exi\_E fresh  $\langle ?f c = c \rangle$  psubst\_s subc\_psubst f **by** simp

**have**  $\langle \text{OK } (\text{subc } c ?s q) (\text{subcs } c ?s (\text{sub } 0 (\text{Fun } d []) p \# z)) \rangle$   
**using** Exi\_E **by** blast  
**then have**  $\langle \text{OK } (\text{subc } c ?s q) (\text{subc } c ?s (\text{sub } 0 (\text{Fun } d []) p) \# \text{subcs } c ?s z) \rangle$

```

by simp
then have <OK (psubst ?f (subc c ?s q)) (psubst ?f (subc c ?s (sub 0 (Fun d []) p))
  # map (psubst ?f) (subcs c ?s z))>
  using OK_psubst by (fastforce simp del: subc_psubst subcs_psubst)
then have q: <OK (subc c s q) (sub 0 (Fun fresh []) (subc c (inc_term s) p) # subcs c s z)>
  using psubst_q psubst_z psubst_p by simp

have <new fresh (subc c (inc_term s) p)>
  using fresh new_subc by simp
moreover have <new fresh (subc c s q)>
  using fresh new_subc by simp
moreover have <news fresh (subcs c s z)>
  using fresh <news fresh z> news_subcs by simp
ultimately have news_pqz: <news fresh (subc c (inc_term s) p # subc c s q # subcs c s z)>
  by simp

show <OK (subc c s q) (subcs c s z)>
  using OK.Exi_E exi_p q news_pqz psubst_s by metis
qed
next
case (Exi_I t p z)
let ?params = <params p  $\cup$  ( $\cup_{p \in \text{set } z}$ . params p)  $\cup$  params_term s  $\cup$  params_term t  $\cup$  {c}>

have <finite ?params>
  by simp
then obtain fresh where fresh: <fresh  $\notin$  ?params>
  by (meson ex_new_if_finite infinite_UNIV_listI)

```

**let** ?f = ⟨id(c := fresh)⟩  
**let** ?g = ⟨id(fresh := c)⟩  
**let** ?s = ⟨psubst\_term ?f s⟩

**have** c: ⟨?g c = c⟩  
**using** fresh **by** simp  
**have** s: ⟨psubst\_term ?g ?s = s⟩  
**using** fresh psubst\_new\_away' **by** simp  
**have** p: ⟨psubst ?g (Exi p) = Exi p⟩  
**using** fresh psubst\_new\_away **by** simp

**have** ⟨ $\forall x \in (\cup p \in \text{set } z. \text{params } p). x \neq c \rightarrow ?g x \neq ?g c$ ⟩  
**using** fresh **by** auto  
**moreover have** ⟨map (psubst ?g) z = z⟩  
**using** fresh **by** (induct z) simp\_all  
**ultimately have** z: ⟨map (psubst ?g) (subcs c ?s z) = subcs c s z⟩  
**using** s **by** simp

**have** ⟨new\_term c ?s⟩  
**using** fresh psubst\_new\_free' **by** simp  
**then have** ⟨OK (subc c ?s (sub 0 (subc\_term c ?s t) p)) (subcs c ?s z)⟩  
**using** Exi\_I new\_subc\_put **by** metis  
**moreover have** ⟨new\_term c (subc\_term c ?s t)⟩  
**using** ⟨new\_term c ?s⟩ new\_subc\_same' **by** blast  
**ultimately have** ⟨OK (sub 0 (subc\_term c ?s t) (subc c (inc\_term ?s) p)) (subcs c ?s z)⟩  
**by** simp

```

then have ⟨OK (subc c ?s (Exi p)) (subcs c ?s z)⟩
  using OK.Exi_I by simp
then have ⟨OK (psubst ?g (subc c ?s (Exi p))) (map (psubst ?g) (subcs c ?s z))⟩
  using OK_psubst by blast
moreover have ⟨ $\forall x \in \text{params } (\text{Exi } p). x \neq c \longrightarrow ?g x \neq ?g c$ ⟩
  using fresh by auto
ultimately show ⟨OK (subc c s (Exi p)) (subcs c s z)⟩
  using subc_psubst c s p z by simp
next
case (Uni_E p z t)
let ?params = ⟨params p  $\cup$  ( $\cup p \in \text{set } z. \text{params } p$ )  $\cup$  params_term s  $\cup$  params_term t  $\cup$  {c}⟩

have ⟨finite ?params⟩
  by simp
then obtain fresh where fresh: ⟨fresh  $\notin$  ?params⟩
  by (meson ex_new_if_finite infinite_UNIV_listI)

let ?f = ⟨id(c := fresh)⟩
let ?g = ⟨id(fresh := c)⟩
let ?s = ⟨psubst_term ?f s⟩

have c: ⟨?g c = c⟩
  using fresh by simp
have s: ⟨psubst_term ?g ?s = s⟩
  using fresh psubst_new_away' by simp
have p: ⟨psubst ?g (sub 0 t p) = sub 0 t p⟩

```

**using** fresh psubst\_new psubst\_sub sub\_new psubst\_new'(1) **by** auto

**have**  $\langle \forall x \in (\cup p \in \text{set } z. \text{params } p). x \neq c \rightarrow ?g x \neq ?g c \rangle$

**using** fresh **by** auto

**moreover have**  $\langle \text{map } (\text{psubst } ?g) z = z \rangle$

**using** fresh **by** (induct z) simp\_all

**ultimately have** z:  $\langle \text{map } (\text{psubst } ?g) (\text{subcs } c ?s z) = \text{subcs } c s z \rangle$

**using** s **by** simp

**have**  $\langle \text{new\_term } c ?s \rangle$

**using** fresh psubst\_new\_free' **by** simp

**have**  $\langle \text{OK } (\text{Uni } (\text{subc } c (\text{inc\_term } ?s) p)) (\text{subcs } c ?s z) \rangle$

**using** Uni\_E **by** simp

**then have**  $\langle \text{OK } (\text{sub } 0 (\text{subc\_term } c ?s t) (\text{subc } c (\text{inc\_term } ?s) p)) (\text{subcs } c ?s z) \rangle$

**using** OK.Uni\_E **by** blast

**moreover have**  $\langle \text{new\_term } c (\text{subc\_term } c ?s t) \rangle$

**using**  $\langle \text{new\_term } c ?s \rangle$  new\_subc\_same' **by** blast

**ultimately have**  $\langle \text{OK } (\text{subc } c ?s (\text{sub } 0 (\text{subc\_term } c ?s t) p)) (\text{subcs } c ?s z) \rangle$

**by** simp

**then have**  $\langle \text{OK } (\text{subc } c ?s (\text{sub } 0 t p)) (\text{subcs } c ?s z) \rangle$

**using** new\_subc\_put  $\langle \text{new\_term } c ?s \rangle$  **by** metis

**then have**  $\langle \text{OK } (\text{psubst } ?g (\text{subc } c ?s (\text{sub } 0 t p))) (\text{map } (\text{psubst } ?g) (\text{subcs } c ?s z)) \rangle$

**using** OK\_psubst **by** blast

**moreover have**  $\langle \forall x \in \text{params } (\text{sub } 0 t p). x \neq c \rightarrow ?g x \neq ?g c \rangle$

**using** fresh p psubst\_new\_free new\_params **by** (metis fun\_upd\_apply id\_apply)

**ultimately show**  $\langle \text{OK } (\text{subc } c s (\text{sub } 0 t p)) (\text{subcs } c s z) \rangle$

```

using subc_psubst c s p z by simp
next
case (Uni_I d p z)
then show ?case
proof (cases <c = d>)
  case True
    then have <OK (Uni p) z>
      using Uni_I OK.Uni_I by blast
    moreover have <new c p> and <news c z>
      using Uni_I True by simp_all
    ultimately show ?thesis
      by simp
  next
    case False
    let ?params = <params p  $\cup$  ( $\cup$  p  $\in$  set z. params p)  $\cup$  params_term s  $\cup$  {c}  $\cup$  {d}>

    have <finite ?params>
      by simp
    then obtain fresh where fresh: <fresh  $\notin$  ?params>
      by (meson ex_new_if_finite infinite_UNIV_listI)

    let ?s = <psubst_term (id(d := fresh)) s>
    let ?f = <id(d := fresh, fresh := d)>

    have f: < $\forall$  x  $\in$  ?params. x  $\neq$  c  $\rightarrow$  ?f x  $\neq$  ?f c>
      using fresh by simp

```

```

have <new_term d ?s>
  using fresh psubst_new_free' by simp
then have <psubst_term ?f ?s = psubst_term (id(fresh := d)) ?s>
  using new_params' fun_upd_twist(1) psubst_upd'(1) by metis
then have psubst_s: <psubst_term ?f ?s = s>
  using fresh psubst_new_away' by simp

```

```

have <?f c = c> and <new_term c (Fun fresh [])>
  using False fresh by auto

```

```

have <psubst ?f (subc c ?s (sub 0 (Fun d []) p)) =
  subc (?f c) (psubst_term ?f ?s) (psubst ?f (sub 0 (Fun d []) p))>
  using subc_psubst by simp
also have <... = subc c s (sub 0 (Fun fresh []) (psubst ?f p))>
  using <?f c = c> psubst_sub psubst_s by simp
also have <... = subc c s (sub 0 (Fun fresh []) p)>
  using Uni_I fresh by simp
finally have psubst_p: <psubst ?f (subc c ?s (sub 0 (Fun d []) p)) =
  sub 0 (Fun fresh []) (subc c (inc_term s) p)>
  using <new_term c (Fun fresh [])> by simp

```

```

have <news d z>
  using Uni_I by simp
moreover have <news fresh z>
  using fresh by (induct z) simp_all
ultimately have <map (psubst ?f) z = z>
  by (induct z) simp_all

```

**moreover have**  $\langle \forall x \in \cup p \in \text{set } z. \text{ params } p. x \neq c \rightarrow ?f x \neq ?f c \rangle$

**by** auto

**ultimately have**  $\text{psubst\_z}: \langle \text{map } (\text{psubst } ?f) (\text{subcs } c ?s z) = \text{subcs } c s z \rangle$

**using**  $\langle ?f c = c \rangle$  **psubst\_s** **by** simp

**have**  $\langle \text{OK } (\text{subc } c ?s (\text{sub } 0 (\text{Fun } d []) p)) (\text{subcs } c ?s z) \rangle$

**using** Uni\_I **by** blast

**then have**  $\langle \text{OK } (\text{psubst } ?f (\text{subc } c ?s (\text{sub } 0 (\text{Fun } d []) p))) (\text{map } (\text{psubst } ?f) (\text{subcs } c ?s z)) \rangle$

**using** OK\_psubst **by** blast

**then have**  $\langle \text{OK } (\text{psubst } ?f (\text{subc } c ?s (\text{sub } 0 (\text{Fun } d []) p))) (\text{subcs } c s z) \rangle$

**using** psubst\_z **by** simp

**then have**  $\text{sub\_p}: \langle \text{OK } (\text{sub } 0 (\text{Fun } \text{fresh } []) (\text{subc } c (\text{inc\_term } s) p)) (\text{subcs } c s z) \rangle$

**using** psubst\_p **by** simp

**have**  $\langle \text{new\_term } \text{fresh } s \rangle$

**using** fresh **by** simp

**then have**  $\langle \text{new\_term } \text{fresh } (\text{inc\_term } s) \rangle$

**by** simp

**then have**  $\langle \text{new } \text{fresh } (\text{subc } c (\text{inc\_term } s) p) \rangle$

**using** fresh new\_subc **by** simp

**moreover have**  $\langle \text{news } \text{fresh } (\text{subcs } c s z) \rangle$

**using**  $\langle \text{news } \text{fresh } z \rangle$   $\langle \text{new\_term } \text{fresh } s \rangle$  news\_subcs **by** fast

**ultimately show**  $\langle \text{OK } (\text{subc } c s (\text{Uni } p)) (\text{subcs } c s z) \rangle$

**using** OK.Uni\_I sub\_p **by** simp

**qed**

**qed** (auto **intro**: OK.intros)

## subsection <Weakening Assumptions>

**lemma** psubst\_new\_subset:

**assumes** <set  $z \subseteq \text{set } z'$ > < $c \notin (\bigcup p \in \text{set } z. \text{params } p)$ >

**shows** <set  $z \subseteq \text{set } (\text{map } (\text{psubst } (\text{id}(c := n))) z')$ >

**using** assms **by** force

**lemma** subset\_cons: <set  $z \subseteq \text{set } z' \implies \text{set } (p \# z) \subseteq \text{set } (p \# z')$ >

**by** auto

**lemma** weaken\_assumptions: < $\text{OK } p \ z \implies \text{set } z \subseteq \text{set } z' \implies \text{OK } p \ z'$ >

**proof** (induct  $p \ z$  arbitrary:  $z'$  rule: OK.induct)

**case** (Assume  $p \ z$ )

**then show** ?case

**using** OK.Assume **by** auto

**next**

**case** (Boole  $p \ z$ )

**then have** < $\text{OK Falsity } (\text{Neg } p \ \# \ z')$ >

**using** subset\_cons **by** metis

**then show** ?case

**using** OK.Boole **by** blast

**next**

**case** (Imp\_I  $q \ p \ z$ )

**then have** < $\text{OK } q \ (p \ \# \ z')$ >

**using** subset\_cons **by** metis

**then show** ?case

**using** OK.Imp\_I **by** blast

```

next
  case (Dis_E p q z r)
  then have ⟨OK r (p # z')⟩ ⟨OK r (q # z')⟩
    using subset_cons by metis+
  then show ?case
    using OK.Dis_E Dis_E by blast
next
  case (Exi_E p z q c)
  let ?params = ⟨params p ∪ params q ∪ (∪p ∈ set z'. params p) ∪ {c}⟩

  have ⟨finite ?params⟩
    by simp
  then obtain fresh where ⟨fresh ∉ ?params⟩
    by (meson ex_new_if_finite List.finite_set infinite_UNIV_listI)
  then have fresh: ⟨new fresh p ∧ new fresh q ∧ news fresh z' ∧ fresh ≠ c⟩
    using allnew new_params by (metis Ball_set UN_iff UnI1 UnI2 insertCI)

  let ?z' = ⟨map (psubst (id(c := fresh))) z'⟩

  have ⟨news c z⟩
    using Exi_E by simp
  then have ⟨set z ⊆ set ?z'⟩
    using Exi_E psubst_new_subset by (simp add: Ball_set)
  then have ⟨OK (Exi p) ?z'⟩
    using Exi_E by blast

  moreover have ⟨set (sub 0 (Fun c []) p # z) ⊆ set (sub 0 (Fun c []) p # ?z')⟩

```

**using**  $\langle \text{set } z \subseteq \text{set } ?z \rangle$  **by** auto  
**then have**  $\langle \text{OK } q \text{ (sub 0 (Fun } c \text{ [])) } p \# ?z \rangle$   
**using** Exi\_E **by** blast

**moreover have**  $\langle \text{news } c \text{ } ?z \rangle$   
**using** fresh map\_psubst\_new\_free **by** simp  
**then have**  $\langle \text{news } c \text{ (} p \# q \# ?z \rangle$   
**using** Exi\_E **by** simp

**ultimately have**  $\langle \text{OK } q \text{ } ?z \rangle$   
**using** Exi\_E OK.Exi\_E **by** blast

**then have**  $\langle \text{OK (psubst (id(fresh := c)) } q) \text{ (map (psubst (id(fresh := c))) } ?z) \rangle$   
**using** OK\_psubst **by** blast

**moreover have**  $\langle \text{map (psubst (id(fresh := c))) } ?z = z \rangle$

**using** fresh map\_psubst\_new\_away **by** blast

**moreover have**  $\langle \text{psubst (id(fresh := c)) } q = q \rangle$

**using** fresh **by** simp

**ultimately show**  $\langle \text{OK } q \text{ } z \rangle$

**by** simp

**next**

**case** (Uni\_I  $c \ p \ z$ )

**let**  $?params = \langle \text{params } p \cup (\bigcup p \in \text{set } z'. \text{ params } p) \cup \{c\} \rangle$

**have**  $\langle \text{finite } ?params \rangle$

**by** simp

**then obtain** fresh **where**  $\langle \text{fresh } \notin ?params \rangle$

**by** (meson ex\_new\_if\_finite List.finite\_set infinite\_UNIV\_listI)  
**then have** fresh:  $\langle \text{new fresh } p \wedge \text{news fresh } z' \wedge \text{fresh} \neq c \rangle$   
**using** allnew new\_params **by** (metis Ball\_set UN\_iff UnI1 UnI2 insertCI)

**let** ?z' =  $\langle \text{map (psubst (id(c := fresh))) } z' \rangle$

**have**  $\langle \text{news } c \ z \rangle$   
**using** Uni\_I **by** simp  
**then have**  $\langle \text{set } z \subseteq \text{set } ?z' \rangle$   
**using** Uni\_I psubst\_new\_subset allnew new\_params map\_psubst\_new image\_set subset\_image\_iff  
**by** (metis (no\_types))  
**then have**  $\langle \text{OK (sub 0 (Fun } c \ [] \ p) \ ?z' \rangle$   
**using** Uni\_I **by** blast

**moreover have**  $\langle \forall p \in \text{set } ?z'. c \notin \text{params } p \rangle$   
**using** fresh psubst\_new\_free **by** simp  
**then have**  $\langle \text{list\_all } (\lambda p. c \notin \text{params } p) \ (p \# ?z') \rangle$   
**using** Uni\_I **by** (simp add: list\_all\_iff)  
**then have**  $\langle \text{news } c \ (p \# ?z') \rangle$   
**by** simp

**ultimately have**  $\langle \text{OK (Uni } p) \ ?z' \rangle$   
**using** Uni\_I OK.Uni\_I **by** blast

**then have**  $\langle \text{OK (psubst (id(fresh := c)) (Uni } p)) \ (\text{map (psubst (id(fresh := c))) } ?z') \rangle$   
**using** OK\_psubst **by** blast  
**moreover have**  $\langle \text{map (psubst (id(fresh := c))) } ?z' = z' \rangle$

**using** fresh map\_psubst\_new\_away **by** blast  
**moreover have**  $\langle \text{psubst } (\text{id}(\text{fresh} := c)) (\text{Uni } p) = \text{Uni } p \rangle$   
**using** fresh Uni\_I **by** simp  
**ultimately show**  $\langle \text{OK } (\text{Uni } p) z \rangle$   
**by** simp  
**qed** (auto **intro**: OK.intros)

### subsection $\langle \text{Implications and Assumptions} \rangle$

**primrec** putimps ::  $\langle \text{fm} \Rightarrow \text{fm list} \Rightarrow \text{fm} \rangle$  **where**  
 $\langle \text{putimps } p [] = p \rangle$  |  
 $\langle \text{putimps } p (q \# z) = \text{Imp } q (\text{putimps } p z) \rangle$

**lemma** semantics\_putimps:  
 $\langle (\text{list\_all } (\text{semantics } e f g) z \longrightarrow \text{semantics } e f g p) = \text{semantics } e f g (\text{putimps } p z) \rangle$   
**by** (induct  $z$ ) auto

**lemma** shift\_imp\_assum:  
**assumes**  $\langle \text{OK } (\text{Imp } p q) z \rangle$   
**shows**  $\langle \text{OK } q (p \# z) \rangle$

**proof** -

**have**  $\langle \text{set } z \subseteq \text{set } (p \# z) \rangle$   
**by** auto  
**then have**  $\langle \text{OK } (\text{Imp } p q) (p \# z) \rangle$   
**using** assms weaken\_assumptions **by** blast  
**moreover have**  $\langle \text{OK } p (p \# z) \rangle$   
**using** Assume **by** simp

**ultimately show**  $\langle \text{OK } q \text{ (p \# z)} \rangle$   
**using** Imp\_E **by** blast  
**qed**

**lemma** removeimps:  $\langle \text{OK (putimps p z) z'} \Rightarrow \text{OK p (rev z @ z')} \rangle$   
**using** shift\_imp\_assum **by** (induct z arbitrary: z') simp\_all

### **subsection** $\langle \text{Closure Elimination} \rangle$

**lemma** subc\_sub\_closed\_var' [simp]:  
 $\langle \text{new\_term c t} \Rightarrow \text{closed\_term (Suc m) t} \Rightarrow \text{subc\_term c (Var m) (sub\_term m (Fun c []) t)} = \text{t} \rangle$   
 $\langle \text{new\_list c l} \Rightarrow \text{closed\_list (Suc m) l} \Rightarrow \text{subc\_list c (Var m) (sub\_list m (Fun c []) l)} = \text{l} \rangle$   
**by** (induct t and l rule: sub\_term.induct sub\_list.induct) auto

**lemma** subc\_sub\_closed\_var [simp]:  $\langle \text{new c p} \Rightarrow \text{closed (Suc m) p} \Rightarrow$   
 $\text{subc c (Var m) (sub m (Fun c []) p)} = \text{p} \rangle$   
**by** (induct p arbitrary: m) simp\_all

**primrec** put\_unis ::  $\langle \text{nat} \Rightarrow \text{fm} \Rightarrow \text{fm} \rangle$  **where**  
 $\langle \text{put\_unis } 0 \text{ p} = \text{p} \rangle$  |  
 $\langle \text{put\_unis (Suc m) p} = \text{Uni (put\_unis m p)} \rangle$

**lemma** sub\_put\_unis [simp]:  $\langle \text{sub i (Fun c []) (put\_unis k p)} = \text{put\_unis k (sub (i + k) (Fun c []) p)} \rangle$   
**by** (induct k arbitrary: i) simp\_all

**lemma** closed\_put\_unis [simp]:  $\langle \text{closed m (put\_unis k p)} = \text{closed (m + k) p} \rangle$   
**by** (induct k arbitrary: m) simp\_all

**lemma** valid\_put\_unis:  $\langle \forall (e :: \_ \Rightarrow 'a) f g. \text{semantics } e f g p \Rightarrow \text{semantics } (e :: \_ \Rightarrow 'a) f g (\text{put\_unis } m p) \rangle$   
**by** (induct **m arbitrary**: e) simp\_all

**lemma** put\_unis\_collapse:  $\langle \text{put\_unis } m (\text{put\_unis } n p) = \text{put\_unis } (m + n) p \rangle$   
**by** (induct **m**) simp\_all

**fun** consts\_for\_unis ::  $\langle \text{fm} \Rightarrow \text{id list} \Rightarrow \text{fm} \rangle$  **where**  
 $\langle \text{consts\_for\_unis } (\text{Uni } p) (c \# cs) = \text{consts\_for\_unis } (\text{sub } 0 (\text{Fun } c []) p) cs \rangle$  |  
 $\langle \text{consts\_for\_unis } p \_ = p \rangle$

**lemma** consts\_for\_unis:  $\langle \text{OK } (\text{put\_unis } (\text{length } cs) p) [] \Rightarrow \text{OK } (\text{consts\_for\_unis } (\text{put\_unis } (\text{length } cs) p) cs) [] \rangle$

**proof** (induct **cs arbitrary**: p)

**case** (Cons **c cs**)

**then have**  $\langle \text{OK } (\text{Uni } (\text{put\_unis } (\text{length } cs) p)) [] \rangle$

**by** simp

**then have**  $\langle \text{OK } (\text{sub } 0 (\text{Fun } c []) (\text{put\_unis } (\text{length } cs) p)) [] \rangle$

**using** Uni\_E **by** blast

**then show** ?case

**using** Cons **by** simp

**qed** simp

**primrec** vars\_for\_consts ::  $\langle \text{fm} \Rightarrow \text{id list} \Rightarrow \text{fm} \rangle$  **where**

$\langle \text{vars\_for\_consts } p [] = p \rangle$  |

$\langle \text{vars\_for\_consts } p (c \# cs) = \text{subc } c (\text{Var } (\text{length } cs)) (\text{vars\_for\_consts } p cs) \rangle$

**lemma** vars\_for\_consts:  $\langle \text{OK } p \ [] \Rightarrow \text{OK } (\text{vars\_for\_consts } p \ xs) \ [] \rangle$   
**using** OK\_subc **by** (induct xs arbitrary: p) fastforce+

**lemma** vars\_for\_consts\_for\_unis:  
 $\langle \text{closed } (\text{length } cs) \ p \Rightarrow \text{list\_all } (\lambda c. \text{new } c \ p) \ cs \Rightarrow \text{distinct } cs \Rightarrow$   
 $\text{vars\_for\_consts } (\text{consts\_for\_unis } (\text{put\_unis } (\text{length } cs) \ p) \ cs) \ cs = p \rangle$   
**using** sub\_new\_all **by** (induct cs arbitrary: p) (auto simp: list\_all\_iff)

**lemma** fresh\_constant:  $\langle \exists c. c \notin \text{set } cs \wedge \text{new } c \ p \rangle$

**proof** -

**have**  $\langle \text{finite } (\text{set } cs \cup \text{params } p) \rangle$

**by** simp

**then show** ?thesis

**using** ex\_new\_if\_finite UnI1 UnI2 infinite\_UNIV\_listI new\_params **by** metis  
**qed**

**lemma** fresh\_constants:  $\langle \exists cs. \text{length } cs = m \wedge \text{list\_all } (\lambda c. \text{new } c \ p) \ cs \wedge \text{distinct } cs \rangle$

**proof** (induct m)

**case** (Suc m)

**then obtain** cs **where**  $\langle \text{length } cs = m \wedge \text{list\_all } (\lambda c. \text{new } c \ p) \ cs \wedge \text{distinct } cs \rangle$

**by** blast

**moreover obtain** c **where**  $\langle c \notin \text{set } cs \wedge \text{new } c \ p \rangle$

**using** Suc fresh\_constant **by** blast

**ultimately have**  $\langle \text{length } (c \# cs) = \text{Suc } m \wedge \text{list\_all } (\lambda c. \text{new } c \ p) \ (c \# cs) \wedge \text{distinct } (c \# cs) \rangle$

**by** simp

**then show** ?case

**by** blast  
**qed** simp

**lemma** closed\_max:

**assumes**  $\langle \text{closed } m \ p \rangle \langle \text{closed } n \ q \rangle$

**shows**  $\langle \text{closed } (\max m \ n) \ p \wedge \text{closed } (\max m \ n) \ q \rangle$

**proof** -

**have**  $\langle m \leq \max m \ n \rangle$  **and**  $\langle n \leq \max m \ n \rangle$

**by** simp\_all

**then show** ?thesis

**using** assms closed\_mono **by** metis

**qed**

**lemma** ex\_closed' [simp]:  $\langle \exists m. \text{closed\_term } m \ t \rangle \langle \exists n. \text{closed\_list } n \ l \rangle$

**proof** (induct **t** **and l** **rule**: closed\_term.induct closed\_list.induct)

**case** (Cons\_tm t l)

**then obtain** m **and** n **where**  $\langle \text{closed\_term } m \ t \rangle$  **and**  $\langle \text{closed\_list } n \ l \rangle$

**by** blast

**moreover have**  $\langle m \leq \max m \ n \rangle$  **and**  $\langle n \leq \max m \ n \rangle$

**by** simp\_all

**ultimately have**  $\langle \text{closed\_term } (\max m \ n) \ t \rangle$  **and**  $\langle \text{closed\_list } (\max m \ n) \ l \rangle$

**using** closed\_mono' **by** blast+

**then show** ?case

**by** auto

**qed** auto

**lemma** ex\_closed [simp]:  $\langle \exists m. \text{closed } m \ p \rangle$

```

proof (induct p)
  case (Imp p q)
  then show ?case
    using closed_max by fastforce
next
  case (Dis p q)
  then show ?case
    using closed_max by fastforce
next
  case (Con p q)
  then show ?case
    using closed_max by fastforce
next
  case (Exi p)
  then obtain m where <closed m p>
    by blast
  then have <closed (Suc m) p>
    using closed_mono Suc_n_not_le_n nat_le_linear by blast
  then show ?case
    by auto
next
  case (Uni p)
  then obtain m where <closed m p>
    by blast
  then have <closed (Suc m) p>
    using closed_mono Suc_n_not_le_n nat_le_linear by blast
  then show ?case

```

**by** auto  
**qed** simp\_all

**lemma** ex\_closure:  $\langle \exists m. \text{sentence } (\text{put\_unis } m \ p) \rangle$   
**by** simp

**lemma** remove\_unis\_sentence:

**assumes**  $\langle \text{sentence } (\text{put\_unis } m \ p) \rangle$   $\langle \text{OK } (\text{put\_unis } m \ p) \ [] \rangle$

**shows**  $\langle \text{OK } p \ [] \rangle$

**proof** -

**obtain**  $cs :: \langle \text{id list} \rangle$  **where**  $\langle \text{length } cs = m \rangle$

**and**  $*$ :  $\langle \text{distinct } cs \rangle$  **and**  $**$ :  $\langle \text{list\_all } (\lambda c. \text{new } c \ p) \ cs \rangle$

**using**  $\text{assms fresh\_constants}$  **by** blast

**then have**  $\langle \text{OK } (\text{consts\_for\_unis } (\text{put\_unis } (\text{length } cs) \ p) \ cs) \ [] \rangle$

**using**  $\text{assms consts\_for\_unis}$  **by** blast

**then have**  $\langle \text{OK } (\text{vars\_for\_consts } (\text{consts\_for\_unis } (\text{put\_unis } (\text{length } cs) \ p) \ cs) \ cs) \ [] \rangle$

**using**  $\text{vars\_for\_consts}$  **by** blast

**moreover have**  $\langle \text{closed } (\text{length } cs) \ p \rangle$

**using**  $\text{assms } \langle \text{length } cs = m \rangle$  **by** simp

**ultimately show**  $\langle \text{OK } p \ [] \rangle$

**using**  $\text{vars\_for\_consts\_for\_unis } * \ **$  **by** simp

**qed**

**section**  $\langle \text{Completeness} \rangle$

**theorem** completeness':

**assumes**  $\langle \forall (e :: \_ \Rightarrow 'a) \ f \ g. \text{list\_all } (\text{semantics } e \ f \ g) \ z \longrightarrow \text{semantics } e \ f \ g \ p \rangle$

```

and <denumerable (UNIV :: 'a set)>
shows <OK p z>
proof -
  let ?p = <putimps p (rev z)>

  have *: < $\forall(e :: \_ \Rightarrow 'a) f g.$  semantics e f g ?p>
    using assms(1) semantics_putimps by fastforce
  obtain m where **: <sentence (put_unis m ?p)>
    using ex_closure by blast
  moreover have < $\forall(e :: \_ \Rightarrow 'a) f g.$  semantics e f g (put_unis m ?p)>
    using * valid_put_unis by blast
  ultimately have <OK (put_unis m ?p) []>
    using assms(2) sentence_completeness by blast
  then have <OK ?p []>
    using ** remove_unis_sentence by blast
  then show <OK p z>
    using removeimps by fastforce
qed

```

**lemma** completeness":

```

assumes < $\forall(e :: \_ \Rightarrow \text{htm}) f g.$  list_all (semantics e f g) z  $\longrightarrow$  semantics e f g p>
shows <OK p z>
using assms completeness' denumerable_htm by fast

```

**theorem** completeness:

```

assumes < $\forall(e :: \_ \Rightarrow 'a) f g.$  semantics e f g p>
and <denumerable (UNIV :: 'a set)>

```

**shows**  $\langle \text{OK } p \ [] \rangle$

**using** `assms` **by** (`simp` `add`: completeness')

**corollary**  $\langle \forall (e :: \_ \Rightarrow \text{nat}) \ f \ g. \text{ semantics } e \ f \ g \ p \Rightarrow \text{OK } p \ [] \rangle$

**using** `completeness` `denumerable_bij` **by** `blast`

**section** `⟨Main Result⟩` — `⟨NaDeA is sound and complete⟩`

**abbreviation**  $\langle \text{valid } p \equiv \forall (e :: \_ \Rightarrow \text{nat}) \ f \ g. \text{ semantics } e \ f \ g \ p \rangle$

**theorem** `main`:  $\langle \text{valid } p \leftrightarrow \text{OK } p \ [] \rangle$

**proof**

**assume**  $\langle \text{valid } p \rangle$

**with** `completeness` **show**  $\langle \text{OK } p \ [] \rangle$

**using** `denumerable_bij` **by** `blast`

**next**

**assume**  $\langle \text{OK } p \ [] \rangle$

**with** `soundness` **show**  $\langle \text{valid } p \rangle$

**by** (`intro` `allI`)

**qed**

**theorem** `valid_semantics`:  $\langle \text{valid } p \longrightarrow \text{ semantics } e \ f \ g \ p \rangle$

**proof**

**assume**  $\langle \text{valid } p \rangle$

**then have**  $\langle \text{OK } p \ [] \rangle$

**unfolding** `main` .

**with** `soundness` **show**  $\langle \text{ semantics } e \ f \ g \ p \rangle$  .

qed

**theorem** any\_unis:  $\langle \text{OK} (\text{put\_unis } k \ p) \ [] \Rightarrow \text{OK} (\text{put\_unis } m \ p) \ [] \rangle$

**using** main ex\_closure put\_unis\_collapse remove\_unis\_sentence valid\_put\_unis **by** metis

**corollary**  $\langle \text{OK } p \ [] \Rightarrow \text{OK} (\text{put\_unis } m \ p) \ [] \rangle \langle \text{OK} (\text{put\_unis } m \ p) \ [] \Rightarrow \text{OK } p \ [] \rangle$

**using** any\_unis put\_unis.simps(1) **by** metis+

**section**  $\langle \text{Tableau Calculus} \rangle$  —  $\langle \text{NaDeA variant} \rangle$

**inductive** TC ::  $\langle \text{fm list} \Rightarrow \text{bool} \rangle (\langle \neg \_ \rangle 0)$  **where**

Dummy:  $\langle \neg \text{Falsity } \# \ z \rangle |$

Basic:  $\langle \neg \text{Pre } i \ l \ \# \ \text{Neg } (\text{Pre } i \ l) \ \# \ z \rangle |$

AllImp:  $\langle \neg \ p \ \# \ \text{Neg } q \ \# \ z \Rightarrow \neg \ \text{Neg } (\text{Imp } p \ q) \ \# \ z \rangle |$

AlDis:  $\langle \neg \ \text{Neg } p \ \# \ \text{Neg } q \ \# \ z \Rightarrow \neg \ \text{Neg } (\text{Dis } p \ q) \ \# \ z \rangle |$

AlCon:  $\langle \neg \ p \ \# \ q \ \# \ z \Rightarrow \neg \ \text{Con } p \ q \ \# \ z \rangle |$

BeImp:  $\langle \neg \ \text{Neg } p \ \# \ z \Rightarrow \neg \ q \ \# \ z \Rightarrow \neg \ \text{Imp } p \ q \ \# \ z \rangle |$

BeDis:  $\langle \neg \ p \ \# \ z \Rightarrow \neg \ q \ \# \ z \Rightarrow \neg \ \text{Dis } p \ q \ \# \ z \rangle |$

BeCon:  $\langle \neg \ \text{Neg } p \ \# \ z \Rightarrow \neg \ \text{Neg } q \ \# \ z \Rightarrow \neg \ \text{Neg } (\text{Con } p \ q) \ \# \ z \rangle |$

GaExi:  $\langle \neg \ \text{Neg } (\text{sub } 0 \ t \ p) \ \# \ z \Rightarrow \neg \ \text{Neg } (\text{Exi } p) \ \# \ z \rangle |$

GaUni:  $\langle \neg \ \text{sub } 0 \ t \ p \ \# \ z \Rightarrow \neg \ \text{Uni } p \ \# \ z \rangle |$

DeExi:  $\langle \neg \ \text{sub } 0 \ (\text{Fun } c \ []) \ p \ \# \ z \Rightarrow \text{news } c \ (p \ \# \ z) \Rightarrow \neg \ \text{Exi } p \ \# \ z \rangle |$

DeUni:  $\langle \neg \ \text{Neg } (\text{sub } 0 \ (\text{Fun } c \ []) \ p) \ \# \ z \Rightarrow \text{news } c \ (p \ \# \ z) \Rightarrow \neg \ \text{Neg } (\text{Uni } p) \ \# \ z \rangle |$

Extra:  $\langle \neg \ p \ \# \ z \Rightarrow \text{member } p \ z \Rightarrow \neg \ z \rangle$

**fun** compl ::  $\langle \text{fm} \Rightarrow \text{fm} \rangle$  **where**

$\langle \text{compl } (\text{Neg } p) = p \rangle |$

⟨compl p = Neg p⟩

**definition** tableauproof :: ⟨fm list ⇒ fm ⇒ bool⟩ **where**

⟨tableauproof z p ≡ (¬ compl p # z)⟩

**lemma** compl: ⟨compl p = Neg p ∨ (∃q. compl p = q ∧ p = Neg q)⟩

**by** (induct p rule: compl.induct) simp\_all

**lemma** compl\_compl: ⟨semantics e f g p ↔ semantics e f g (compl (compl p))⟩

**using** compl **by** (metis semantics.simps(1) semantics.simps(3))

**lemma** new\_compl: ⟨new n p ⇒ new n (compl p)⟩

**by** (cases p rule: compl.cases) simp\_all

**lemma** news\_compl: ⟨news c z ⇒ news c (map compl z)⟩

**using** new\_compl **by** (induct z) simp\_all

**lemma** closed\_compl: ⟨closed m p ⇒ closed m (compl p)⟩

**proof** (induct p arbitrary: m)

**case** (Imp p1 p2)

**then show** ?case

**by** (metis closed.simps(5) compl)

**qed** simp\_all

**lemma** semantics\_compl: ⟨¬ (semantics e f g (compl p)) ↔ semantics e f g p⟩

**proof** (induct p)

**case** (Imp p1 p2)

```

then show ?case
  using compl_compl by (metis compl.simps(1) semantics.simps(1) semantics.simps(3))
qed simp_all

```

### subsection <Soundness>

**theorem** TC\_soundness:

$\langle \neg z \Rightarrow \exists p \in \text{set } z. \neg \text{semantics } e \ f \ g \ p \rangle$

**proof** (induct arbitrary: f rule: TC.induct)

**case** (Extra p z)

**then show** ?case

**by** fastforce

**next**

**case** (DeExi n p z)

**show** ?case

**proof** (rule ccontr)

**assume**  $\langle \neg (\exists p \in \text{set } (Exi \ p \ \# \ z). \neg \text{semantics } e \ f \ g \ p) \rangle$

**then have** \*:  $\langle \forall p \in \text{set } (Exi \ p \ \# \ z). \text{semantics } e \ f \ g \ p \rangle$

**by** simp

**then obtain** x **where**  $\langle \text{semantics } (\text{put } e \ 0 \ x) \ (f(n := \lambda w. x)) \ g \ p \rangle$

**using** DeExi.hyps(3) **by** auto

**then have** \*\*:  $\langle \text{semantics } e \ (f(n := \lambda w. x)) \ g \ (\text{sub } 0 \ (\text{Fun } n \ [])) \ p \rangle$

**by** simp

**have**  $\langle \exists p \in \text{set } (\text{sub } 0 \ (\text{Fun } n \ [])) \ p \ \# \ z). \neg \text{semantics } e \ (f(n := \lambda w. x)) \ g \ p \rangle$

**using** DeExi **by** fast

**then consider**

$\langle \neg \text{ semantics } e (f(n := \lambda w. x)) g (\text{sub } 0 (\text{Fun } n []) p) \rangle |$

$\langle \exists p \in \text{set } z. \neg \text{ semantics } e (f(n := \lambda w. x)) g p \rangle$

**by** auto

**then show** False

**proof** cases

**case** 1

**then show** ?thesis

**using** \*\* **by** simp

**next**

**case** 2

**then obtain**  $p$  **where**  $\langle \neg \text{ semantics } e (f(n := \lambda w. x)) g p \rangle \langle p \in \text{set } z \rangle$

**by** blast

**then have**  $\langle \neg \text{ semantics } e f g p \rangle$

**using** DeExi.hyps(3) **by** (metis Ball\_set allnew map news.simps(2))

**then show** False

**using** \*  $\langle p \in \text{set } z \rangle$  **by** simp

**qed**

**qed**

**next**

**case** (DeUni  $n p z$ )

**show** ?case

**proof** (rule ccontr)

**assume**  $\langle \neg (\exists p \in \text{set } (\text{Neg } (\text{Uni } p) \# z). \neg \text{ semantics } e f g p) \rangle$

**then have** \*:  $\langle \forall p \in \text{set } (\text{Neg } (\text{Uni } p) \# z). \text{ semantics } e f g p \rangle$

**by** simp

**then obtain x where**  $\langle \text{semantics } (\text{put } e \ 0 \ x) \ (f(n := \lambda w. x)) \ g \ (\text{Neg } p) \rangle$

**using** DeUni.hyps(3) **by** auto

**then have** \*\*:  $\langle \text{semantics } e \ (f(n := \lambda w. x)) \ g \ (\text{sub } 0 \ (\text{Fun } n \ [])) \ (\text{Neg } p) \rangle$

**by** simp

**have**  $\langle \exists p \in \text{set } (\text{Neg } (\text{sub } 0 \ (\text{Fun } n \ [])) \ p) \ \# \ z. \ \neg \text{semantics } e \ (f(n := \lambda w. x)) \ g \ p \rangle$

**using** DeUni **by** fast

**then consider**

$\langle \neg \text{semantics } e \ (f(n := \lambda w. x)) \ g \ (\text{Neg } (\text{sub } 0 \ (\text{Fun } n \ [])) \ p) \rangle \mid$

$\langle \exists p \in \text{set } z. \ \neg \text{semantics } e \ (f(n := \lambda w. x)) \ g \ p \rangle$

**by** auto

**then show** False

**proof** cases

**case** 1

**then show** ?thesis

**using** \*\* **by** simp

**next**

**case** 2

**then obtain p where**  $\langle \neg \text{semantics } e \ (f(n := \lambda w. x)) \ g \ p \rangle \langle p \in \text{set } z \rangle$

**by** blast

**then have**  $\langle \neg \text{semantics } e \ f \ g \ p \rangle$

**using** DeUni.hyps(3) **by** (metis Ball\_set allnew map news.simps(2))

**then show** False

**using** \*  $\langle p \in \text{set } z \rangle$  **by** simp

**qed**

**qed**

**qed** auto

**theorem** tableau\_soundness:

$\langle \text{tableauproof } z \ p \Rightarrow \text{list\_all } (\text{semantics } e \ f \ g) \ z \Rightarrow \text{semantics } e \ f \ g \ p \rangle$

**unfolding** tableauproof\_def list\_all\_def **using** TC\_soundness compl\_compl

**by** (metis (no\_types, hide\_lams) compl.simps(1) semantics.simps(3) set\_ConsD)

**theorem** sound:

**assumes**  $\langle \neg [\text{Neg } p] \rangle$

**shows**  $\langle \text{semantics } e \ f \ g \ p \rangle$

**proof** -

**from** assms **consider**  $\langle \neg [\text{compl } p] \rangle \mid \langle \exists q. p = \text{Neg } q \wedge (\neg [\text{Neg } (\text{Neg } q)]) \rangle$

**using** compl **by** metis

**then show** ?thesis

**proof** cases

**case** 1

**then show** ?thesis

**using** tableau\_soundness **unfolding** tableauproof\_def **by** fastforce

**next**

**case** 2

**then obtain** q **where**  $\langle p = \text{Neg } q \rangle \langle \neg [\text{compl } (\text{Neg } (\text{Neg } (\text{Neg } q)))] \rangle$

**by** auto

**then have**  $\langle \text{semantics } e \ f \ g \ (\text{Neg } (\text{Neg } (\text{Neg } q))) \rangle$

**using** tableau\_soundness **unfolding** tableauproof\_def **by** fastforce

**then show** ?thesis

**using**  $\langle p = \text{Neg } q \rangle$  **by** auto

**qed**

**qed**

## subsection <Completeness for Closed Formulas>

**theorem** infinite\_nonempty: <infinite  $p \implies \exists x. x \in p$ >

**by** (simp add: ex\_in\_conv infinite\_imp\_nonempty)

**theorem** TCd\_consistency:

**assumes** inf\_param: <infinite (UNIV::'a set)>

**shows** <consistency  $\{S. \exists z. S = \text{set } z \wedge \neg (\neg z)\}$ >

**unfolding** consistency\_def

**proof** (intro conjI allI impI notI)

**fix**  $S$

**assume** < $S \in \{\text{set } z \mid z. \neg (\neg z)\}$ > (**is** < $S \in ?C$ >)

**then obtain**  $z :: \text{fm list}$

**where** \*: < $S = \text{set } z$ > **and** < $\neg (\neg z)$ >

**by** blast

{ **fix**  $p$   $ts$

**assume** < $\text{Pre } p \text{ } ts \in S \wedge \text{Neg } (\text{Pre } p \text{ } ts) \in S$ >

**then show** False

**using** \* Basic < $\neg (\neg z)$ > Extra in\_mono set\_subset\_Cons member\_set **by** metis }

{ **assume** <Falsity  $\in S$ >

**then show** False

**using** \* Dummy < $\neg (\neg z)$ > Extra member\_set **by** blast }

{ **fix**  $p$   $q$

```

assume  $\langle \text{Con } p \ q \in S \rangle$ 
then have  $\langle \neg (\neg p \# q \# z) \rangle$ 
  using * AlCon  $\langle \neg (\neg z) \rangle$  Extra member_set by blast
moreover have  $\langle S \cup \{p, q\} = \text{set } (p \# q \# z) \rangle$ 
  using * by simp
ultimately show  $\langle S \cup \{p, q\} \in ?C \rangle$ 
  by blast }

```

```

{ fix p q
assume  $\langle \text{Neg } (\text{Dis } p \ q) \in S \rangle$ 
then have  $\langle \neg (\neg \text{Neg } p \# \text{Neg } q \# z) \rangle$ 
  using * AlDis  $\langle \neg (\neg z) \rangle$  Extra member_set by blast
moreover have  $\langle S \cup \{\text{Neg } p, \text{Neg } q\} = \text{set } (\text{Neg } p \# \text{Neg } q \# z) \rangle$ 
  using * by simp
ultimately show  $\langle S \cup \{\text{Neg } p, \text{Neg } q\} \in ?C \rangle$ 
  by blast }

```

```

{ fix p q
assume  $\langle \text{Neg } (\text{Imp } p \ q) \in S \rangle$ 
then have  $\langle \neg (\neg p \# \text{Neg } q \# z) \rangle$ 
  using * AllImp  $\langle \neg (\neg z) \rangle$  Extra member_set by blast
moreover have  $\langle \{p, \text{Neg } q\} \cup S = \text{set } (p \# \text{Neg } q \# z) \rangle$ 
  using * by simp
ultimately show  $\langle S \cup \{p, \text{Neg } q\} \in ?C \rangle$ 
  by blast }

```

```

{ fix p q

```

```

assume <Dis  $p\ q \in S$ >
then have < $\neg (\neg p \# z) \vee \neg (\neg q \# z)$ >
  using * BeDis < $\neg (\neg z)$ > Extra member_set by blast
then show < $S \cup \{p\} \in ?C \vee S \cup \{q\} \in ?C$ >
  using * by auto }

```

```

{ fix  $p\ q$ 
assume <Neg (Con  $p\ q \in S$ )>
then have < $\neg (\neg \text{Neg } p \# z) \vee \neg (\neg \text{Neg } q \# z)$ >
  using * BeCon < $\neg (\neg z)$ > Extra member_set by blast
then show < $S \cup \{\text{Neg } p\} \in ?C \vee S \cup \{\text{Neg } q\} \in ?C$ >
  using * by auto }

```

```

{ fix  $p\ q$ 
assume <Imp  $p\ q \in S$ >
then have < $\neg (\neg \text{Neg } p \# z) \vee \neg (\neg q \# z)$ >
  using * BeImp < $\neg (\neg z)$ > Extra member_set by blast
then show < $S \cup \{\text{Neg } p\} \in ?C \vee S \cup \{q\} \in ?C$ >
  using * by auto }

```

```

{ fix  $P\ t$ 
assume <closed_term 0  $t$  and <Uni  $P \in S$ >
then have < $\neg (\neg \text{sub } 0\ t\ P \# z)$ >
  using * GaUni < $\neg (\neg z)$ > Extra member_set by blast
moreover have < $S \cup \{\text{sub } 0\ t\ P\} = \text{set } (\text{sub } 0\ t\ P \# z)$ >
  using * by simp
ultimately show < $S \cup \{\text{sub } 0\ t\ P\} \in ?C$ >

```

by blast }

{ fix P t

assume  $\langle \text{closed\_term } 0 \ t \rangle$  and  $\langle \text{Neg } (\text{Exi } P) \in S \rangle$

then have  $\langle \neg (\neg \text{Neg } (\text{sub } 0 \ t \ P) \# z) \rangle$

using \* GaExi  $\langle \neg (\neg z) \rangle$  Extra member\_set by blast

moreover have  $\langle S \cup \{\text{Neg } (\text{sub } 0 \ t \ P)\} = \text{set } (\text{Neg } (\text{sub } 0 \ t \ P) \# z) \rangle$

using \* by simp

ultimately show  $\langle S \cup \{\text{Neg } (\text{sub } 0 \ t \ P)\} \in ?C \rangle$

by blast }

{ fix P

assume  $\langle \text{Exi } P \in S \rangle$

have  $\langle \text{finite } ((\cup p \in \text{set } z. \text{params } p) \cup \text{params } P) \rangle$

by simp

then have  $\langle \text{infinite } (- ((\cup p \in \text{set } z. \text{params } p) \cup \text{params } P)) \rangle$

using inf\_param Diff\_infinite\_finite finite\_compl infinite\_UNIV\_listI by blast

then obtain x where \*\*:  $\langle x \in - ((\cup p \in \text{set } z. \text{params } p) \cup \text{params } P) \rangle$

using infinite\_imp\_nonempty by blast

then have  $\langle \text{news } x \ (P \# z) \rangle$

using Ball\_set\_list\_all by auto

then have  $\langle \neg (\neg \text{sub } 0 \ (\text{Fun } x \ []) \ P \# z) \rangle$

using \*  $\langle \text{Exi } P \in S \rangle$  DeExi  $\langle \neg (\neg z) \rangle$  Extra member\_set by blast

moreover have  $\langle S \cup \{\text{sub } 0 \ (\text{Fun } x \ []) \ P\} = \text{set } (\text{sub } 0 \ (\text{Fun } x \ []) \ P \# z) \rangle$

using \* by simp

ultimately show  $\langle \exists x. S \cup \{\text{sub } 0 \ (\text{Fun } x \ []) \ P\} \in ?C \rangle$

by blast }

```

{ fix P
  assume  $\langle \text{Neg} (\text{Uni } P) \in S \rangle$ 
  have  $\langle \text{finite} ((\cup p \in \text{set } z. \text{params } p) \cup \text{params } P) \rangle$ 
    by simp
  then have  $\langle \text{infinite} (- ((\cup p \in \text{set } z. \text{params } p) \cup \text{params } P)) \rangle$ 
    using inf_param Diff_infinite_finite_finite_compl infinite_UNIV_listI by blast
  then obtain x where **:  $\langle x \in - ((\cup p \in \text{set } z. \text{params } p) \cup \text{params } P) \rangle$ 
    using infinite_imp_nonempty by blast
  then have  $\langle \text{news } x (P \# z) \rangle$ 
    using Ball_set_list_all by auto
  then have  $\langle \neg (\neg \text{Neg} (\text{sub } 0 (\text{Fun } x []) P) \# z) \rangle$ 
    using *  $\langle \text{Neg} (\text{Uni } P) \in S \rangle$  DeUni  $\langle \neg (\neg z) \rangle$  Extra_member_set by blast
  moreover have  $\langle S \cup \{\text{Neg} (\text{sub } 0 (\text{Fun } x []) P)\} = \text{set} (\text{Neg} (\text{sub } 0 (\text{Fun } x []) P) \# z) \rangle$ 
    using * by simp
  ultimately show  $\langle \exists x. S \cup \{\text{Neg} (\text{sub } 0 (\text{Fun } x []) P)\} \in ?C \rangle$ 
    by blast }

```

qed

**theorem** tableau\_completeness':

```

assumes  $\langle \text{closed } 0 p \rangle$ 
and  $\langle \text{list\_all} (\text{closed } 0) z \rangle$ 
and mod:  $\langle \forall (e :: \_ \Rightarrow \text{htm}) f g. \text{list\_all} (\text{semantics } e f g) z \longrightarrow \text{semantics } e f g p \rangle$ 
shows  $\langle \text{tableauproof } z p \rangle$ 

```

**proof** (rule ccontr)

**fix** e

**assume**  $\langle \neg \text{tableauproof } z p \rangle$

```

let ?S = ⟨set (compl p # z)⟩
let ?C = ⟨{set (z :: fm list) | z. ¬ (¬ z)}⟩
let ?f = HFun
let ?g = ⟨(λa ts. Pre a (tms_of_htms ts) ∈ Extend ?S
  (mk_finite_char (mk_alt_consistency (close ?C))) from_nat)⟩

```

```

from ⟨list_all (closed 0) z⟩
have ⟨∀p ∈ set z. closed 0 p⟩
  by (simp add: list_all_iff)

```

```

{ fix x
  assume ⟨x ∈ ?S⟩
  moreover have ⟨consistency ?C⟩
    using TCd_consistency by blast
  moreover have ⟨?S ∈ ?C⟩
    using ⟨¬ tableauproof z p⟩ unfolding tableauproof_def by blast
  moreover have ⟨infinite (- (U p ∈ ?S. params p))⟩
    by (simp add: Compl_eq_Diff_UNIV infinite_UNIV_listI)
  moreover note ⟨closed 0 p⟩ ⟨∀p ∈ set z. closed 0 p⟩ ⟨x ∈ ?S⟩
  then have ⟨closed 0 x⟩
    using closed_compl by auto
  ultimately have ⟨semantics e ?f ?g x⟩
    using model_existence by simp }
then have ⟨list_all (semantics e ?f ?g) (compl p # z)⟩
  by (simp add: list_all_iff)
moreover have ⟨semantics e ?f ?g (compl p)⟩

```

```

using calculation by simp
moreover have ⟨list_all (semantics e ?f ?g) z⟩
using calculation by simp
then have ⟨semantics e ?f ?g p⟩
using mod by blast
ultimately show False
using semantics_compl by blast
qed

```

### subsection <Open Formulas>

```

lemma TC_psubst: ⟨¬ z ⇒ ¬ map (psubst f) z⟩
proof (induct arbitrary: f rule: TC.induct)
  case (Extra p z)
  then show ?case
  by (metis TC.Extra list.simps(9) member_psubst)
next
  case (DeExi n p z)
  let ?params = ⟨params p ∪ (∪p ∈ set z. params p)⟩

  have ⟨finite ?params⟩
  by simp
  then obtain fresh where *: ⟨fresh ∉ ?params ∪ {n} ∪ image f ?params⟩
  using ex_new_if_finite
  by (metis finite.emptyI finite.insertI finite_UnI finite_imageI infinite_UNIV_listI)

  let ?f = ⟨f(n := fresh)⟩

```

```

have <news n (p # z)>
  using DeExi by blast
then have <new fresh (psubst ?f p)> <news fresh (map (psubst ?f) z)>
  using * new_psubst_image news_psubst by (fastforce simp add: image_Un)+
then have z: <map (psubst ?f) z = map (psubst f) z>
  using DeExi allnew new_params
  by (metis (mono_tags, lifting) Ball_set map_eq_conv news.simps(2) psubst_upd)

```

```

have <¬ psubst ?f (sub 0 (Fun n []) p) # map (psubst ?f) z>
  using DeExi by (metis list.simps(9))
then have <¬ sub 0 (Fun fresh []) (psubst ?f p) # map (psubst ?f) z>
  by simp
moreover have <news fresh (map (psubst ?f) (p # z))>
  using <new fresh (psubst ?f p)> <news fresh (map (psubst ?f) z)> by simp
then have <news fresh (psubst ?f p # map (psubst ?f) z)>
  by simp
ultimately have <¬ map (psubst ?f) (Exi p # z)>
  using TC.DeExi by fastforce
then show ?case
  using DeExi z by simp

```

```

next
case (DeUni n p z)
let ?params = <params p ∪ (∪ p ∈ set z. params p)>

```

```

have <finite ?params>
  by simp

```

```

then obtain fresh where *: <fresh  $\notin$  ?params  $\cup$  {n}  $\cup$  image f ?params>
  using ex_new_if_finite
  by (metis finite.emptyI finite.insertI finite_UnI finite_imageI infinite_UNIV_listI)

```

```

let ?f = <f(n := fresh)>

```

```

have <news n (p # z)>
  using DeUni by blast
then have <new fresh (psubst ?f p)> <news fresh (map (psubst ?f) z)>
  using * new_psubst_image news_psubst by (fastforce simp add: image_Un)+
then have z: <map (psubst ?f) z = map (psubst f) z>
  using DeUni allnew new_params
  by (metis (mono_tags, lifting) Ball_set map_eq_conv news.simps(2) psubst_upd)

```

```

have < $\neg$  psubst ?f (Neg (sub 0 (Fun n []) p)) # map (psubst ?f) z>
  using DeUni by (metis list.simps(9))
then have < $\neg$  Neg (sub 0 (Fun fresh []) (psubst ?f p)) # map (psubst ?f) z>
  by simp
moreover have <news fresh (map (psubst ?f) (p # z))>
  using <new fresh (psubst ?f p)> <news fresh (map (psubst ?f) z)> by simp
then have <news fresh (psubst ?f p # map (psubst ?f) z)>
  by simp
ultimately have < $\neg$  map (psubst ?f) (Neg (Uni p) # z)>
  using TC.DeUni by fastforce
then show ?case
  using DeUni z by simp
qed (auto intro: TC.intros)

```

**lemma** subcs\_map:  $\langle \text{subcs } c \ s \ z = \text{map } (\text{subc } c \ s) \ z \rangle$   
**by** (induct  $z$ ) simp\_all

**lemma** TC\_subcs:  $\langle \neg z \implies \neg \text{subcs } c \ s \ z \rangle$

**proof** (induct arbitrary:  $c \ s$  rule: TC.induct)

**case** (Extra  $p \ z$ )

**then show** ?case

**by** (metis TC.Extra member\_subc subcs.simps(2))

**next**

**case** (GaUni  $t \ p \ z$ )

**let** ?params =  $\langle \text{params } p \cup (\cup p \in \text{set } z. \text{params } p) \cup \text{params\_term } s \cup \text{params\_term } t \cup \{c\} \rangle$

**have**  $\langle \text{finite } ?\text{params} \rangle$

**by** simp

**then obtain** fresh **where** fresh:  $\langle \text{fresh} \notin ?\text{params} \rangle$

**by** (meson ex\_new\_if\_finite infinite\_UNIV\_listI)

**let** ?f =  $\langle \text{id}(c := \text{fresh}) \rangle$

**let** ?g =  $\langle \text{id}(\text{fresh} := c) \rangle$

**let** ?s =  $\langle \text{psubst\_term } ?f \ s \rangle$

**have**  $s: \langle \text{psubst\_term } ?g \ ?s = s \rangle$

**using** fresh psubst\_new\_away' **by** simp

**have**  $\langle \forall x \in (\cup p \in \text{set } (p \# z). \text{params } p). x \neq c \longrightarrow ?g \ x \neq ?g \ c \rangle$

**using** fresh **by** auto

**moreover have**  $\langle \text{map } (\text{psubst } ?g) (\text{Uni } p \# z) = \text{Uni } p \# z \rangle$   
**using** fresh **by** (induct  $z$ ) simp\_all  
**ultimately have**  $z: \langle \text{map } (\text{psubst } ?g) (\text{subcs } c \ ?s (\text{Uni } p \# z)) = \text{subcs } c \ s (\text{Uni } p \# z) \rangle$   
**using**  $s$  **by** simp

**have**  $\langle \text{new\_term } c \ ?s \rangle$   
**using** fresh psubst\_new\_free' **by** simp  
**then have**  $\langle \neg \text{subc } c \ ?s (\text{sub } 0 (\text{subc\_term } c \ ?s \ t) \ p) \# \text{subcs } c \ ?s \ z \rangle$   
**using** GaUni new\_subc\_put **by** (metis subcs.simps(2))  
**moreover have**  $\langle \text{new\_term } c (\text{subc\_term } c \ ?s \ t) \rangle$   
**using**  $\langle \text{new\_term } c \ ?s \rangle$  new\_subc\_same' **by** blast  
**ultimately have**  $\langle \neg \text{sub } 0 (\text{subc\_term } c \ ?s \ t) (\text{subc } c (\text{inc\_term } ?s) \ p) \# \text{subcs } c \ ?s \ z \rangle$   
**by** simp  
**moreover have**  $\langle \text{Uni } (\text{subc } c (\text{inc\_term } ?s) \ p) \in \text{set } (\text{subcs } c \ ?s (\text{Uni } p \# z)) \rangle$   
**by** simp  
**ultimately have**  $\langle \neg \text{subcs } c \ ?s (\text{Uni } p \# z) \rangle$   
**using** TC.GaUni **by** simp  
**then have**  $\langle \neg \text{map } (\text{psubst } ?g) (\text{subcs } c \ ?s (\text{Uni } p \# z)) \rangle$   
**using** TC\_psubst **by** blast  
**then show**  $\langle \neg \text{subcs } c \ s (\text{Uni } p \# z) \rangle$   
**using**  $z$  **by** simp

**next**  
**case** (GaExi  $t \ p \ z$ )  
**let**  $?params = \langle \text{params } p \cup (\bigcup p \in \text{set } z. \text{params } p) \cup \text{params\_term } s \cup \text{params\_term } t \cup \{c\} \rangle$   
  
**have**  $\langle \text{finite } ?params \rangle$   
**by** simp

**then obtain fresh where** fresh:  $\langle \text{fresh} \notin ?\text{params} \rangle$   
**by** (meson ex\_new\_if\_finite infinite\_UNIV\_listI)

**let** ?f =  $\langle \text{id}(c := \text{fresh}) \rangle$   
**let** ?g =  $\langle \text{id}(\text{fresh} := c) \rangle$   
**let** ?s =  $\langle \text{psubst\_term } ?f \text{ s} \rangle$

**have** s:  $\langle \text{psubst\_term } ?g \text{ ?s} = \text{s} \rangle$   
**using** fresh psubst\_new\_away' **by** simp

**have**  $\langle \forall x \in (\cup p \in \text{set } (p \# z). \text{params } p). x \neq c \rightarrow ?g \ x \neq ?g \ c \rangle$   
**using** fresh **by** auto

**moreover have**  $\langle \text{map } (\text{psubst } ?g) (\text{Neg } (\text{Exi } p) \# z) = \text{Neg } (\text{Exi } p) \# z \rangle$   
**using** fresh **by** (induct z) simp\_all

**ultimately have** z:  $\langle \text{map } (\text{psubst } ?g) (\text{subcs } c \ ?s (\text{Neg } (\text{Exi } p) \# z)) = \text{subcs } c \ s (\text{Neg } (\text{Exi } p) \# z) \rangle$   
**using** s **by** simp

**have**  $\langle \text{new\_term } c \ ?s \rangle$   
**using** fresh psubst\_new\_free' **by** simp

**then have**  $\langle \neg \text{Neg } (\text{subc } c \ ?s (\text{sub } 0 (\text{subc\_term } c \ ?s \ t) \ p)) \# \text{subcs } c \ ?s \ z \rangle$   
**using** GaExi new\_subc\_put **by** (metis subc.simps(1) subc.simps(3) subcs.simps(2))

**moreover have**  $\langle \text{new\_term } c (\text{subc\_term } c \ ?s \ t) \rangle$   
**using**  $\langle \text{new\_term } c \ ?s \rangle$  new\_subc\_same' **by** blast

**ultimately have**  $\langle \neg \text{Neg } (\text{sub } 0 (\text{subc\_term } c \ ?s \ t) (\text{subc } c (\text{inc\_term } ?s) \ p)) \# \text{subcs } c \ ?s \ z \rangle$   
**by** simp

**moreover have**  $\langle \text{Neg } (\text{Exi } (\text{subc } c (\text{inc\_term } ?s) \ p)) \in \text{set } (\text{subcs } c \ ?s (\text{Neg } (\text{Exi } p) \# z)) \rangle$   
**by** simp

```

ultimately have <¬ subcs c ?s (Neg (Exi p) # z)>
  using TC.GaExi by simp
then have <¬ map (psubst ?g) (subcs c ?s (Neg (Exi p) # z))>
  using TC_psubst by blast
then show <¬ subcs c s (Neg (Exi p) # z)>
  using z by simp
next
case (DeExi n p z)
then show ?case
proof (cases <c = n>)
case True
  then have <¬ Exi p # z>
    using DeExi TC.DeExi by blast
  moreover have <new c p> and <news c z>
    using DeExi True by simp_all
  ultimately show ?thesis
    by simp
next
case False
let ?params = <params p ∪ (∪p ∈ set z. params p) ∪ params_term s ∪ {c} ∪ {n}>

have <finite ?params>
  by simp
then obtain fresh where fresh: <fresh ∉ ?params>
  by (meson ex_new_if_finite infinite_UNIV_listI)

let ?s = <psubst_term (id(n := fresh)) s>

```

**let** ?f = ⟨id(n := fresh, fresh := n)⟩

**have** f: ⟨∀x ∈ ?params. x ≠ c → ?f x ≠ ?f c⟩  
  **using** fresh **by** simp

**have** ⟨new\_term n ?s⟩  
  **using** fresh psubst\_new\_free' **by** simp  
**then have** ⟨psubst\_term ?f ?s = psubst\_term (id(fresh := n)) ?s⟩  
  **using** new\_params' fun\_upd\_twist(1) psubst\_upd'(1) **by** metis  
**then have** psubst\_s: ⟨psubst\_term ?f ?s = s⟩  
  **using** fresh psubst\_new\_away' **by** simp

**have** ⟨?f c = c⟩ **and** ⟨new\_term c (Fun fresh [])⟩  
  **using** False fresh **by** auto

**have** ⟨psubst ?f (subc c ?s (sub 0 (Fun n []) p)) =  
  subc (?f c) (psubst\_term ?f ?s) (psubst ?f (sub 0 (Fun n []) p))⟩  
  **using** subc\_psubst **by** simp  
**also have** ⟨... = subc c s (sub 0 (Fun fresh []) (psubst ?f p))⟩  
  **using** ⟨?f c = c⟩ psubst\_sub psubst\_s **by** simp  
**also have** ⟨... = subc c s (sub 0 (Fun fresh []) p)⟩  
  **using** DeExi fresh **by** simp  
**finally have** psubst\_A: ⟨psubst ?f (subc c ?s (sub 0 (Fun n []) p)) =  
  sub 0 (Fun fresh []) (subc c (inc\_term s) p)⟩  
  **using** ⟨new\_term c (Fun fresh [])⟩ **by** simp

**have** ⟨news n z⟩

```

using DeExi by simp
moreover have ⟨news fresh z⟩
  using fresh by (induct z) simp_all
ultimately have ⟨map (psubst ?f) z = z⟩
  by (induct z) simp_all
moreover have ⟨ $\forall x \in \cup p \in \text{set } z. \text{params } p. x \neq c \longrightarrow ?f x \neq ?f c$ ⟩
  by auto
ultimately have psubst_G: ⟨map (psubst ?f) (subcs c ?s z) = subcs c s z⟩
  using ⟨?f c = c⟩ psubst_s by simp

```

```

have ⟨ $\neg$  subc c ?s (sub 0 (Fun n []) p) # subcs c ?s z⟩
  using DeExi by simp
then have ⟨ $\neg$  psubst ?f (subc c ?s (sub 0 (Fun n []) p)) # map (psubst ?f) (subcs c ?s z)⟩
  using TC_psubst DeExi.hyps(3) by (metis map_eq_Cons_conv subcs.simps(2))
then have ⟨ $\neg$  psubst ?f (subc c ?s (sub 0 (Fun n []) p)) # subcs c s z⟩
  using psubst_G by simp
then have sub_A: ⟨ $\neg$  sub 0 (Fun fresh []) (subc c (inc_term s) p) # subcs c s z⟩
  using psubst_A by simp

```

```

have ⟨new_term fresh s⟩
  using fresh by simp
then have ⟨new_term fresh (inc_term s)⟩
  by simp
then have ⟨new fresh (subc c (inc_term s) p)⟩
  using fresh new_subc by simp
moreover have ⟨news fresh (subcs c s z)⟩
  using ⟨news fresh z⟩ ⟨new_term fresh s⟩ news_subcs by fast

```

```

ultimately show <¬ subcs c s (Exi p # z)>
  using TC.DeExi sub_A by simp
qed
next
case (DeUni n p z)
then show ?case
proof (cases <c = n>)
  case True
  then have <¬ Neg (Uni p) # z>
    using DeUni TC.DeUni by blast
  moreover have <new c p> and <news c z>
    using DeUni True by simp_all
  ultimately show ?thesis
    by simp
next
case False
let ?params = <params p ∪ (∪ p ∈ set z. params p) ∪ params_term s ∪ {c} ∪ {n}>

have <finite ?params>
  by simp
then obtain fresh where fresh: <fresh ∉ ?params>
  by (meson ex_new_if_finite infinite_UNIV_listI)

let ?s = <psubst_term (id(n := fresh)) s>
let ?f = <id(n := fresh, fresh := n)>

have f: <∀ x ∈ ?params. x ≠ c → ?f x ≠ ?f c>

```

**using** fresh **by** simp

**have** <new\_term n ?s>

**using** fresh psubst\_new\_free' **by** simp

**then have** <psubst\_term ?f ?s = psubst\_term (id(fresh := n)) ?s>

**using** new\_params' fun\_upd\_twist(1) psubst\_upd'(1) **by** metis

**then have** psubst\_s: <psubst\_term ?f ?s = s>

**using** fresh psubst\_new\_away' **by** simp

**have** <?f c = c> **and** <new\_term c (Fun fresh [])>

**using** False fresh **by** auto

**have** <psubst ?f (subc c ?s (Neg (sub 0 (Fun n []) p))) =

subc (?f c) (psubst\_term ?f ?s) (psubst ?f (Neg (sub 0 (Fun n []) p)))>

**using** subc\_psubst **by** simp

**also have** <... = subc c s (Neg (sub 0 (Fun fresh []) (psubst ?f p)))>

**using** <?f c = c> psubst\_sub psubst\_s **by** simp

**also have** <... = subc c s (Neg (sub 0 (Fun fresh []) p))>

**using** DeUni fresh **by** simp

**finally have** psubst\_A: <psubst ?f (subc c ?s (Neg (sub 0 (Fun n []) p))) =

Neg (sub 0 (Fun fresh []) (subc c (inc\_term s) p))>

**using** <new\_term c (Fun fresh [])> **by** simp

**have** <news n z>

**using** DeUni **by** simp

**moreover have** <news fresh z>

**using** fresh **by** (induct z) simp\_all

**ultimately have**  $\langle \text{map } (\text{psubst } ?f) \ z = z \rangle$

**by** (induct  $z$ ) simp\_all

**moreover have**  $\langle \forall x \in \cup p \in \text{set } z. \text{ params } p. x \neq c \rightarrow ?f \ x \neq ?f \ c \rangle$

**by** auto

**ultimately have** psubst\_G:  $\langle \text{map } (\text{psubst } ?f) \ (\text{subcs } c \ ?s \ z) = \text{subcs } c \ s \ z \rangle$

**using**  $\langle ?f \ c = c \rangle$  psubst\_s **by** simp

**have**  $\langle \neg \text{subc } c \ ?s \ (\text{Neg } (\text{sub } 0 \ (\text{Fun } n \ [])) \ p) \rangle \# \text{subcs } c \ ?s \ z \rangle$

**using** DeUni **by** simp

**then have**  $\langle \neg \text{psubst } ?f \ (\text{subc } c \ ?s \ (\text{Neg } (\text{sub } 0 \ (\text{Fun } n \ [])) \ p)) \rangle \# \text{map } (\text{psubst } ?f) \ (\text{subcs } c \ ?s \ z) \rangle$

**using** TC\_psubst DeUni.hyps(3) **by** (metis map\_eq\_Cons\_conv subcs.simps(2))

**then have**  $\langle \neg \text{psubst } ?f \ (\text{subc } c \ ?s \ (\text{Neg } (\text{sub } 0 \ (\text{Fun } n \ [])) \ p)) \rangle \# \text{subcs } c \ s \ z \rangle$

**using** psubst\_G **by** simp

**then have** sub\_A:  $\langle \neg \text{Neg } (\text{sub } 0 \ (\text{Fun } \text{fresh } [])) \ (\text{subc } c \ (\text{inc\_term } s) \ p) \rangle \# \text{subcs } c \ s \ z \rangle$

**using** psubst\_A **by** simp

**have**  $\langle \text{new\_term } \text{fresh } s \rangle$

**using** fresh **by** simp

**then have**  $\langle \text{new\_term } \text{fresh} \ (\text{inc\_term } s) \rangle$

**by** simp

**then have**  $\langle \text{new } \text{fresh} \ (\text{subc } c \ (\text{inc\_term } s) \ p) \rangle$

**using** fresh new\_subc **by** simp

**moreover have**  $\langle \text{news } \text{fresh} \ (\text{subcs } c \ s \ z) \rangle$

**using**  $\langle \text{news } \text{fresh } z \rangle \langle \text{new\_term } \text{fresh } s \rangle$  news\_subcs **by** fast

**ultimately show**  $\langle \neg \text{subcs } c \ s \ (\text{Neg } (\text{Uni } p) \ # \ z) \rangle$

**using** TC.DeUni sub\_A **by** simp

qed

**qed** (auto **intro**: TC.intros)

**lemma** TC\_map\_subc:  $\langle \vdash z \implies \vdash \text{map} (\text{subc } c \ s) \ z \rangle$   
**using** subcs\_map TC\_subcs **by** simp

**lemma** ex\_all\_closed:  $\langle \exists m. \text{list\_all} (\text{closed } m) \ z \rangle$

**proof** (induct **z**)

**case** Nil

**then show** ?case

**by** simp

**next**

**case** (Cons **a z**)

**then show** ?case

**unfolding** list\_all\_def

**using** ex\_closed closed\_mono

**by** (metis Ball\_set list\_all\_simps(1) nat\_le\_linear)

**qed**

**primrec** sub\_consts ::  $\langle \text{id list} \implies \text{fm} \implies \text{fm} \rangle$  **where**

$\langle \text{sub\_consts } [] \ p = p \rangle$  |

$\langle \text{sub\_consts } (c \ \# \ cs) \ p = \text{sub\_consts } cs \ (\text{sub} (\text{length } cs) \ (\text{Fun } c \ [])) \ p \rangle$

**lemma** valid\_sub\_consts:

**assumes**  $\langle \forall (e :: \_ \implies 'a) \ f \ g. \text{semantics } e \ f \ g \ p \rangle$

**shows**  $\langle \text{semantics } (e :: \_ \implies 'a) \ f \ g \ (\text{sub\_consts } cs \ p) \rangle$

**using** assms **by** (induct **cs arbitrary**: **p**) simp\_all

**lemma** closed\_sub' [simp]:

**assumes**  $\langle k \leq m \rangle$

**shows**

$\langle \text{closed\_term } (\text{Suc } m) \ t = \text{closed\_term } m \ (\text{sub\_term } k \ (\text{Fun } c \ []) \ t) \rangle$

$\langle \text{closed\_list } (\text{Suc } m) \ l = \text{closed\_list } m \ (\text{sub\_list } k \ (\text{Fun } c \ []) \ l) \rangle$

**using** assms **by** (induct  $t$  and  $l$  rule: closed\_term.induct closed\_list.induct) auto

**lemma** closed\_sub:  $\langle k \leq m \implies \text{closed } (\text{Suc } m) \ p = \text{closed } m \ (\text{sub } k \ (\text{Fun } c \ []) \ p) \rangle$

**by** (induct  $p$  arbitrary:  $m \ k$ ) simp\_all

**lemma** closed\_sub\_consts:  $\langle \text{length } cs = k \implies \text{closed } m \ (\text{sub\_consts } cs \ p) = \text{closed } (m + k) \ p \rangle$

**using** closed\_sub **by** (induct  $cs$  arbitrary:  $k \ p$ ) auto

**lemma** map\_sub\_consts\_Nil:  $\langle \text{map } (\text{sub\_consts } []) \ z = z \rangle$

**by** (induct  $z$ ) simp\_all

**primrec** conjoin ::  $\langle \text{fm list} \implies \text{fm} \rangle$  **where**

$\langle \text{conjoin } [] = \text{Truth} \rangle$  |

$\langle \text{conjoin } (p \# z) = \text{Con } p \ (\text{conjoin } z) \rangle$

**lemma** semantics\_conjoin:  $\langle \text{list\_all } (\text{semantics } e \ f \ g) \ z = \text{semantics } e \ f \ g \ (\text{conjoin } z) \rangle$

**by** (induct  $z$ ) simp\_all

**lemma** valid\_sub:

**fixes**  $e :: \langle \text{nat} \implies 'a \rangle$

**assumes**  $\langle \forall (e :: \_ \implies 'a) \ f \ g. \text{semantics } e \ f \ g \ p \longrightarrow \text{semantics } e \ f \ g \ q \rangle$

**shows**  $\langle \text{semantics } e \ f \ g \ (\text{sub } m \ t \ p) \longrightarrow \text{semantics } e \ f \ g \ (\text{sub } m \ t \ q) \rangle$

**using** `assms` **by** `simp`

**lemma** `semantics_sub_consts`:

**fixes** `e` ::  $\langle \text{nat} \Rightarrow 'a \rangle$

**assumes**  $\langle \forall (e :: \_ \Rightarrow 'a) f g. \text{semantics } e f g p \longrightarrow \text{semantics } e f g q \rangle$

**and**  $\langle \text{semantics } e f g (\text{sub\_consts } cs p) \rangle$

**shows**  $\langle \text{semantics } e f g (\text{sub\_consts } cs q) \rangle$

**using** `assms`

**proof** (induct `cs arbitrary`: `p q`)

**case** `Nil`

**then show** ?case

**by** `simp`

**next**

**case** (Cons `c cs`)

**then show** ?case

**using** `substitute` **by** (metis `sub_consts.simps(2)`)

**qed**

**lemma** `sub_consts_Con` [`simp`]:  $\langle \text{sub\_consts } cs (\text{Con } p q) = \text{Con } (\text{sub\_consts } cs p) (\text{sub\_consts } cs q) \rangle$

**by** (induct `cs arbitrary`: `p q`) `simp_all`

**lemma** `sub_consts_conjoin`:

$\langle \text{semantics } e f g (\text{sub\_consts } cs (\text{conjoin } z)) = \text{semantics } e f g (\text{conjoin } (\text{map } (\text{sub\_consts } cs) z)) \rangle$

**proof** (induct `z`)

**case** `Nil`

**then show** ?case

**by** (induct `cs`) `simp_all`

**next**

**case** (Cons **p z**)  
**then show** ?case  
**using** sub\_consts\_Con **by** simp  
**qed**

**lemma** all\_sub\_consts\_conjoin:

$\langle \text{list\_all} (\text{semantics } e \ f \ g) (\text{map} (\text{sub\_consts } cs) \ z) = \text{semantics } e \ f \ g (\text{sub\_consts } cs (\text{conjoin } z)) \rangle$   
**by** (induct **z**) (simp\_all **add**: valid\_sub\_consts)

**lemma** valid\_all\_sub\_consts:

**fixes** **e** ::  $\langle \text{nat} \Rightarrow 'a \rangle$   
**assumes**  $\langle \forall (e :: \_ \Rightarrow 'a) \ f \ g. \text{list\_all} (\text{semantics } e \ f \ g) \ z \rightarrow \text{semantics } e \ f \ g \ p \rangle$   
**shows**  $\langle \text{list\_all} (\text{semantics } e \ f \ g) (\text{map} (\text{sub\_consts } cs) \ z) \rightarrow \text{semantics } e \ f \ g (\text{sub\_consts } cs \ p) \rangle$   
**using** **assms** semantics\_conjoin semantics\_sub\_consts all\_sub\_consts\_conjoin **by** metis

**lemma** TC\_vars\_for\_consts:  $\langle \neg \ z \Rightarrow \neg \ \text{map} (\lambda p. \text{vars\_for\_consts } p \ cs) \ z \rangle$

**proof** (induct **cs**)

**case** Nil  
**then show** ?case  
**by** simp

**next**

**case** (Cons **c cs**)  
**have**  $\langle (\neg \ \text{map} (\lambda p. \text{vars\_for\_consts } p \ (c \ \# \ cs)) \ z) =$   
 $\langle (\neg \ \text{map} (\lambda p. \text{subc } c \ (\text{Var} (\text{length } cs)) (\text{vars\_for\_consts } p \ cs)) \ z) \rangle$   
**by** simp  
**also have**  $\langle \dots = (\neg \ \text{map} (\text{subc } c \ (\text{Var} (\text{length } cs)) \circ (\lambda p. \text{vars\_for\_consts } p \ cs)) \ z) \rangle$

**unfolding** comp\_def **by** simp  
**also have**  $\langle \dots = (\neg \text{map} (\text{subc } c (\text{Var} (\text{length } cs))) (\text{map} (\lambda p. \text{vars\_for\_consts } p \text{ } cs) z)) \rangle$   
**by** simp  
**finally show** ?case  
**using** Cons TC\_map\_subc **by** blast  
**qed**

**lemma** vars\_for\_consts\_sub\_consts:  
 $\langle \text{closed} (\text{length } cs) p \implies \text{list\_all} (\lambda c. \text{new } c \text{ } p) cs \implies \text{distinct } cs \implies$   
 $\text{vars\_for\_consts} (\text{sub\_consts } cs \text{ } p) cs = p \rangle$   
**using** sub\_new\_all closed\_sub  
**by** (induct cs arbitrary: p) (auto simp: list\_all\_def)

**lemma** all\_vars\_for\_consts\_sub\_consts:  
 $\langle \text{list\_all} (\text{closed} (\text{length } cs)) z \implies \text{list\_all} (\lambda c. \text{list\_all} (\text{new } c) z) cs \implies \text{distinct } cs \implies$   
 $\text{map} (\lambda p. \text{vars\_for\_consts } p \text{ } cs) (\text{map} (\text{sub\_consts } cs) z) = z \rangle$   
**using** vars\_for\_consts\_sub\_consts **unfolding** list\_all\_def  
**by** (induct z) simp\_all

**lemma** new\_conjoin:  $\langle \text{new } c (\text{conjoin } z) \implies \text{list\_all} (\text{new } c) z \rangle$   
**by** (induct z) simp\_all

**lemma** all\_fresh\_constants:  
 $\langle \exists cs. \text{length } cs = m \wedge \text{list\_all} (\lambda c. \text{list\_all} (\text{new } c) z) cs \wedge \text{distinct } cs \rangle$   
**proof** -  
**obtain** cs **where**  $\langle \text{length } cs = m \rangle \langle \text{list\_all} (\lambda c. \text{new } c (\text{conjoin } z)) cs \rangle \langle \text{distinct } cs \rangle$   
**using** fresh\_constants **by** blast

```
then show ?thesis
  using new_conjoin unfolding list_all_def by blast
qed
```

```
lemma sub_consts_Neg: <sub_consts cs (Neg p) = Neg (sub_consts cs p)>
  by (induct cs arbitrary: p) simp_all
```

```
lemma sub_compl: <sub m t (compl p) = compl (sub m t p)>
```

```
proof (induct p arbitrary: m t)
```

```
  case (Imp p1 p2)
```

```
  then show ?case
```

```
  proof (cases <p2 = Falsity>)
```

```
    case True
```

```
    then show ?thesis
```

```
      using Imp by simp
```

```
  next
```

```
    case False
```

```
    then have <sub m t p2 ≠ Falsity>
```

```
      by (induct p2) simp_all
```

```
    have <sub m t (compl (Imp p1 p2)) = sub m t (Neg (Imp p1 p2))>
```

```
      using False compl by (metis fm.inject(2))
```

```
    also have <... = Neg (Imp (sub m t p1) (sub m t p2))>
```

```
      by simp
```

```
    also have <... = compl (Imp (sub m t p1) (sub m t p2))>
```

```
      using <sub m t p2 ≠ Falsity> compl by (metis fm.inject(2))
```

```
    finally show ?thesis
```

```
      by simp
```

**qed**  
**qed** simp\_all

**lemma** sub\_consts\_compl:  $\langle \text{sub\_consts } cs \text{ (compl } p) = \text{compl (sub\_consts } cs \text{ } p) \rangle$   
**by** (induct  $cs$  arbitrary:  $p$ ) (simp\_all add: sub\_compl)

**subsection**  $\langle \text{Completeness} \rangle$

**theorem** tableau\_completeness:

**assumes**  $\langle \forall (e :: \_ \Rightarrow \text{htm}) f g. \text{list\_all (semantics } e f g) z \longrightarrow \text{semantics } e f g p \rangle$

**shows**  $\langle \text{tableauproof } z p \rangle$

**proof** -

**obtain**  $m$  **where** \*:  $\langle \text{list\_all (closed } m) (p \# z) \rangle$

**using** ex\_all\_closed **by** blast

**moreover obtain**  $cs :: \langle \text{id list} \rangle$  **where** \*\*:

$\langle \text{length } cs = m \rangle$

$\langle \text{distinct } cs \rangle$

$\langle \text{list\_all } (\lambda c. \text{list\_all (new } c) (p \# z)) cs \rangle$

**using** all\_fresh\_constants **by** blast

**ultimately have**  $\langle \text{sentence (sub\_consts } cs \text{ } p) \rangle$

**using** closed\_sub\_consts **by** simp

**moreover have**  $\langle \text{list\_all sentence (map (sub\_consts } cs) z) \rangle$

**using** closed\_sub\_consts \*  $\langle \text{length } cs = m \rangle$  **by** (induct  $z$ ) simp\_all

**moreover have**  $\langle \forall (e :: \_ \Rightarrow \text{htm}) f g. \text{list\_all (semantics } e f g) (\text{map (sub\_consts } cs) z) \longrightarrow \text{semantics } e f g (\text{sub\_consts } cs \text{ } p) \rangle$

**using** assms valid\_all\_sub\_consts **by** blast

```

ultimately have <¬ compl (sub_consts cs p) # map (sub_consts cs) z>
  using tableau_completeness' unfolding tableauproof_def by simp
then have <¬ map (sub_consts cs) (compl p # z)>
  using sub_consts_compl by simp
then have <¬ map (λp. vars_for_consts p cs) (map (sub_consts cs) (compl p # z))>
  using TC_vars_for_consts by blast
moreover have <list_all (closed (length cs)) (compl p # z)>
  using ** closed_compl by auto
moreover have <list_all (λc. list_all (new c) (compl p # z)) cs>
  using ** new_compl unfolding list_all_def by simp
ultimately have <¬ compl p # z>
  using all_vars_for_consts_sub_consts[where z=<compl p # z>] ** by simp
then show ?thesis
  unfolding tableauproof_def .

```

qed

**theorem** complete:

```

assumes <∀(e :: _ ⇒ htm) f g. semantics e f g p>
shows <¬ [compl p]>
using assms tableau_completeness unfolding tableauproof_def by simp

```

**lemma** TC\_compl\_compl:

```

assumes <¬ compl (compl p) # z>
shows <¬ p # z>

```

**proof** -

```

have <∃p ∈ set (compl (compl p) # z). ¬ semantics e f g p> for e :: <nat ⇒ htm> and f g
using TC_soundness assms by blast

```

```

then have <list_all (semantics e f g) z → semantics e f g (compl p)>
for e :: <nat ⇒ htm> and f g
unfolding list_all_def using compl by (metis semantics.simps(3) set_ConsD)
then obtain q where
  <compl q = p>
  <∀(e :: _ ⇒ htm) f g. list_all (semantics e f g) z → semantics e f g q>
using compl_compl by (metis compl.simps(1))
then have <¬ compl q # z>
using tableau_completeness unfolding tableauproof_def by blast
then show ?thesis
using <compl q = p> by blast
qed

```

**lemma** TC\_Neg\_Neg:

```

assumes <¬ Neg (Neg p) # z>
shows <¬ p # z>

```

**proof** -

```

have <∃p ∈ set (Neg (Neg p) # z). ¬ semantics e f g p> for e :: <nat ⇒ htm> and f g
using TC_soundness assms by blast
then have <list_all (semantics e f g) z → semantics e f g (compl p)>
for e :: <nat ⇒ htm> and f g
unfolding list_all_def using compl by (metis semantics.simps(3) set_ConsD)
then obtain q where
  <compl q = p>
  <∀(e :: _ ⇒ htm) f g. list_all (semantics e f g) z → semantics e f g q>
using compl_compl by (metis compl.simps(1))
then have <¬ compl q # z>

```

```

using tableau_completeness unfolding tableauproof_def by blast
then show ?thesis
using <compl q = p> by blast
qed

```

**lemma** TC\_complete:

**assumes**  $\langle \forall (e :: \_ \Rightarrow \text{htm}) f g. \exists p \in \text{set } Z. \neg \text{semantics } e f g p \rangle$

**shows**  $\langle \neg Z \rangle$

**proof** -

**have**  $\langle \forall (e :: \_ \Rightarrow \text{htm}) f g. \exists p \in \text{set } Z. \text{semantics } e f g (\text{compl } p) \rangle$

**using** assms semantics\_compl **by** fast

**then obtain** p **where**

$\langle p \in \text{set } Z \rangle$

$\langle \forall (e :: \_ \Rightarrow \text{htm}) f g. (\forall p \in \text{set } Z. (\text{semantics } e f g) p) \longrightarrow \text{semantics } e f g (\text{compl } p) \rangle$

**using** assms **by** blast

**then have**  $\langle \neg \text{compl } (\text{compl } p) \# Z \rangle$

**using** tableau\_completeness Ball\_set **unfolding** tableauproof\_def **by** metis

**then have**  $\langle \neg p \# Z \rangle$

**using** TC\_compl\_compl **by** simp

**with**  $\langle p \in \text{set } Z \rangle$  **show** ?thesis

**using** TC.Extra member\_set **by** simp

**qed**

**lemma** Order':  $\langle \neg Z \Rightarrow \text{set } Z \subseteq \text{set } G' \Rightarrow \neg G' \rangle$

**using** TC\_soundness in\_mono TC\_complete **by** metis

**lemma** Swap':  $\langle \neg q \# p \# Z \Rightarrow \neg p \# q \# Z \rangle$

**using** Order' **by** (simp **add**: insert\_commute)

**lemma** AlNegNeg':  $\langle \neg p \# z \implies \neg \text{Neg} (\text{Neg } p) \# z \rangle$   
**using** AllImp Order' Swap' set\_subset\_Cons **by** metis

**section**  $\langle$ Sequent Calculus $\rangle$  —  $\langle$ NaDeA variant $\rangle$

**inductive** SC ::  $\langle$ fm list  $\implies$  bool $\rangle$  ( $\langle$ ⊢ \_ $\rangle$  0) **where**

Dummy:  $\langle$ ⊢ Truth # z $\rangle$  |

Basic:  $\langle$ ⊢ Pre i l # Neg (Pre i l) # z $\rangle$  |

AllImp:  $\langle$ ⊢ Neg p # q # z  $\implies$  ⊢ Imp p q # z $\rangle$  |

AlDis:  $\langle$ ⊢ p # q # z  $\implies$  ⊢ Dis p q # z $\rangle$  |

AlCon:  $\langle$ ⊢ Neg p # Neg q # z  $\implies$  ⊢ Neg (Con p q) # z $\rangle$  |

BeImp:  $\langle$ ⊢ p # z  $\implies$  ⊢ Neg q # z  $\implies$  ⊢ Neg (Imp p q) # z $\rangle$  |

BeDis:  $\langle$ ⊢ Neg p # z  $\implies$  ⊢ Neg q # z  $\implies$  ⊢ Neg (Dis p q) # z $\rangle$  |

BeCon:  $\langle$ ⊢ p # z  $\implies$  ⊢ q # z  $\implies$  ⊢ Con p q # z $\rangle$  |

GaExi:  $\langle$ ⊢ sub 0 t p # z  $\implies$  ⊢ Exi p # z $\rangle$  |

GaUni:  $\langle$ ⊢ Neg (sub 0 t p) # z  $\implies$  ⊢ Neg (Uni p) # z $\rangle$  |

DeExi:  $\langle$ ⊢ Neg (sub 0 (Fun c []) p) # z  $\implies$  news c (p # z)  $\implies$  ⊢ Neg (Exi p) # z $\rangle$  |

DeUni:  $\langle$ ⊢ sub 0 (Fun c []) p # z  $\implies$  news c (p # z)  $\implies$  ⊢ Uni p # z $\rangle$  |

Extra:  $\langle$ ⊢ p # z  $\implies$  member p z  $\implies$  ⊢ z $\rangle$

**lemma** AlNegNeg:  $\langle$ ⊢ p # z  $\implies$  ⊢ Neg (Neg p) # z $\rangle$

**proof** -

**assume**  $\langle$ ⊢ p # z $\rangle$

**with** BeImp **show** ?thesis

**using** Dummy .

qed

**lemma** psubst\_new\_sub':

⟨new\_term n t ⇒ psubst\_term (id(n := m)) (sub\_term k (Fun n []) t) = sub\_term k (Fun m []) t⟩

⟨new\_list n l ⇒ psubst\_list (id(n := m)) (sub\_list k (Fun n []) l) = sub\_list k (Fun m []) l⟩

**by** (induct t and l rule: sub\_term.induct sub\_list.induct) auto

**lemma** psubst\_new\_sub: ⟨new n p ⇒ psubst (id(n := m)) (sub k (Fun n []) p) = sub k (Fun m []) p⟩

**using** psubst\_new\_sub' **by** (induct p) simp\_all

**lemma** SC\_psubst: ⟨⊢ z ⇒ ⊢ map (psubst f) z⟩

**proof** (induct arbitrary: f rule: SC.induct)

**case** (DeUni n p z)

**let** ?params = ⟨params p ∪ (∪ p ∈ set z. params p)⟩

**have** ⟨finite ?params⟩

**by** simp

**then obtain m where** \*: ⟨m ∉ ?params ∪ {n} ∪ image f ?params⟩

**using** ex\_new\_if\_finite

**by** (metis finite.emptyI finite.insertI finite\_UnI finite\_imageI infinite\_UNIV\_listI)

**let** ?f = ⟨f(n := m)⟩

**let** ?G = ⟨map (psubst ?f) z⟩

**have** z: ⟨?G = map (psubst f) z⟩

**using** ⟨news n (p # z)⟩ **by** (induct z) simp\_all

```

have <⊢ psubst ?f (sub 0 (Fun n []) p) # ?G>
  using DeUni by (metis Cons_eq_map_conv)
then have <⊢ sub 0 (Fun m []) (psubst f p) # ?G>
  using <news n (p # z)> by simp
moreover have <news m (psubst f p # ?G)>
  using DeUni * Un_iff image_Un new_params news.simps(2) news_psubst psubst_image by metis
ultimately have <⊢ psubst f (Uni p) # ?G>
  using SC.DeUni by simp
then show ?case
  using z by simp
next
case (DeExi n p z)
let ?params = <params p ∪ (∪p ∈ set z. params p)>

have <finite ?params>
  by simp
then obtain m where *: <m ∉ ?params ∪ {n} ∪ image f ?params>
  using ex_new_if_finite
  by (metis finite.emptyI finite.insertI finite_UnI finite_imageI infinite_UNIV_listI)

let ?f = <f(n := m)>
let ?G = <map (psubst ?f) z>

have z: <?G = map (psubst f) z>
  using <news n (p # z)> by (induct z) simp_all

have <⊢ psubst ?f (Neg (sub 0 (Fun n []) p)) # ?G>

```

```

using DeExi by (metis Cons_eq_map_conv)
then have  $\langle \vdash \text{Neg} (\text{sub } 0 (\text{Fun } m []) (\text{psubst } f p)) \# ?G \rangle$ 
using  $\langle \text{news } n (p \# z) \rangle$  by simp
moreover have  $\langle \text{news } m (\text{psubst } f p \# ?G) \rangle$ 
using DeExi * Un_iff image_Un new_params news.simps(2) news_psubst psubst_image by metis
ultimately have  $\langle \vdash \text{psubst } f (\text{Neg} (\text{Exi } p)) \# ?G \rangle$ 
using SC.DeExi by simp
then show ?case
using z by simp
next
case (Extra p z)
then show ?case
using SC.Extra member_psubst by fastforce
qed (auto intro: SC.intros)

```

**lemma** psubst\_swap\_twice':

```

 $\langle \text{psubst\_term} (\text{id}(n := m, m := n)) (\text{psubst\_term} (\text{id}(n := m, m := n)) t) = t \rangle$ 
 $\langle \text{psubst\_list} (\text{id}(n := m, m := n)) (\text{psubst\_list} (\text{id}(n := m, m := n)) l) = l \rangle$ 
by (induct t and l rule: psubst_term.induct psubst_list.induct) simp_all

```

**lemma** psubst\_swap\_twice:

```

 $\langle \text{psubst} (\text{id}(n := m, m := n)) (\text{psubst} (\text{id}(n := m, m := n)) p) = p \rangle$ 
using psubst_swap_twice' by (induct p arbitrary: m n) simp_all

```

**lemma** Order:  $\langle \vdash z \implies \text{set } z \subseteq \text{set } G' \implies \vdash G' \rangle$

**proof** (induct **arbitrary**: G' **rule**: SC.induct)

**case** (Basic i l z)

```

then show ?case
  using SC.Basic Extra member_set
  by (metis list.set_intros(1) set_subset_Cons subsetCE)
next
case (Dummy z)
then show ?case
  using SC.Dummy Extra member_set
  by (metis list.set_intros(1) subsetCE)
next
case (AlCon p q z)
then have  $\langle \vdash \text{Neg } p \# \text{Neg } q \# G' \rangle$ 
  by (metis order_trans set_subset_Cons subset_cons)
then have  $\langle \vdash \text{Neg } (\text{Con } p \ q) \# G' \rangle$ 
  using SC.AlCon by simp
then show ?case
  using Extra AlCon
  by (metis list.set_intros(1) member_set subsetCE)
next
case (AlDis p q z)
then have  $\langle \vdash p \# q \# G' \rangle$ 
  by (metis order_trans set_subset_Cons subset_cons)
then have  $\langle \vdash \text{Dis } p \ q \# G' \rangle$ 
  using SC.AlDis by simp
then show ?case
  using Extra AlDis
  by (metis list.set_intros(1) member_set subsetCE)
next

```

```

case (AllImp p q z)
then have  $\langle \vdash \text{Neg } p \# q \# G' \rangle$ 
  by (metis order_trans set_subset_Cons subset_cons)
then have  $\langle \vdash \text{Imp } p \ q \# G' \rangle$ 
  using SC.AllImp by simp
then show ?case
  using Extra AllImp
  by (metis list.set_intros(1) member_set subsetCE)
next
case (BeCon p z q)
then have  $\langle \vdash p \# G' \rangle \langle \vdash q \# G' \rangle$ 
  by (metis order_trans set_subset_Cons subset_cons)+
then have  $\langle \vdash \text{Con } p \ q \# G' \rangle$ 
  using SC.BeCon by simp
then show ?case
  using Extra BeCon
  by (metis list.set_intros(1) member_set subsetCE)
next
case (BeDis p z q)
then have  $\langle \vdash \text{Neg } p \# G' \rangle \langle \vdash \text{Neg } q \# G' \rangle$ 
  by (metis order_trans set_subset_Cons subset_cons)+
then have  $\langle \vdash \text{Neg } (\text{Dis } p \ q) \# G' \rangle$ 
  using SC.BeDis by simp
then show ?case
  using Extra BeDis
  by (metis list.set_intros(1) member_set subsetCE)
next

```

```

case (BeImp p z q)
then have  $\langle \vdash p \# G' \rangle \langle \vdash \text{Neg } q \# G' \rangle$ 
  by (metis order_trans set_subset_Cons subset_cons)+
then have  $\langle \vdash \text{Neg } (\text{Imp } p \text{ } q) \# G' \rangle$ 
  using SC.BeImp by simp
then show ?case
  using Extra BeImp
  by (metis list.set_intros(1) member_set subsetCE)
next
case (GaExi t p z)
then have  $\langle \vdash \text{sub } 0 \text{ } t \text{ } p \# G' \rangle$ 
  by (metis order_trans set_subset_Cons subset_cons)
then have  $\langle \vdash \text{Exi } p \# G' \rangle$ 
  using SC.GaExi by simp
then show ?case
  using Extra GaExi
  by (metis list.set_intros(1) member_set subsetCE)
next
case (GaUni t p z)
then have  $\langle \vdash \text{Neg } (\text{sub } 0 \text{ } t \text{ } p) \# G' \rangle$ 
  by (metis order_trans set_subset_Cons subset_cons)
then have  $\langle \vdash \text{Neg } (\text{Uni } p) \# G' \rangle$ 
  using SC.GaUni by simp
then show ?case
  using Extra GaUni
  by (metis list.set_intros(1) member_set subsetCE)
next

```

```

case (DeUni n p z)
then obtain m where ⟨news m (p # G')⟩
  using allnew fresh_constant new_conjoin by blast
then have ⟨news m (p # z)⟩
  using DeUni Ball_set allnew news.simps(2) subset_code(1) set_subset_Cons by metis

```

```

let ?f = ⟨id(n := m, m := n)⟩
let ?A = ⟨psubst ?f (sub 0 (Fun n []) p)⟩
have p: ⟨?A = sub 0 (Fun m []) p⟩
  using ⟨news n (p # z)⟩ ⟨news m (p # G')⟩ psubst_new_sub by simp

```

```

let ?G' = ⟨map (psubst ?f) G'⟩
have G': ⟨map (psubst ?f) ?G' = G'⟩
  using psubst_swap_twice by (induct G') (simp, metis list.simps(9))

```

```

have ⟨set z ⊆ set G'⟩
  using DeUni by simp
then have ⟨set z ⊆ set ?G'⟩
  using ⟨news n (p # z)⟩ ⟨news m (p # z)⟩
proof (induct z)
  case (Cons a z)
  then have ⟨psubst ?f a = a⟩
    by simp
  then show ?case
    using Cons by (metis image_eqI insert_subset list.set(2) news.simps(2) set_map)
qed simp

```

```

have <⊢ sub 0 (Fun n []) p # ?G'>
  using <set z ⊆ set ?G'> DeUni by (metis subset_cons)
then have <⊢ ?A # map (psubst ?f) ?G'>
  using SC_psubst by (metis map_eq_Cons_conv)
then have <⊢ sub 0 (Fun m []) p # G'>
  using p G' by simp
then have <⊢ Uni p # G'>
  using SC.DeUni <news m (p # G')> by blast
then show ?case
  using Extra <set (Uni p # z) ⊆ set G'> by simp
next
case (DeExi n p z)
then obtain m where <news m (p # G')>
  using allnew fresh_constant new_conjoin by blast
then have <news m (p # z)>
  using DeExi Ball_set allnew news.simps(2) subset_code(1) set_subset_Cons by metis

let ?f = <id(n := m, m := n)>
let ?A = <psubst ?f (Neg (sub 0 (Fun n []) p))>
have p: <?A = Neg (sub 0 (Fun m []) p)>
  using <news n (p # z)> <news m (p # G')> psubst_new_sub by simp

let ?G' = <map (psubst ?f) G'>
have G': <map (psubst ?f) ?G' = G'>
  using psubst_swap_twice by (induct G') (simp, metis list.simps(9))

have <set z ⊆ set G'>

```

```

using DeExi by simp
then have  $\langle \text{set } z \subseteq \text{set } ?G' \rangle$ 
  using  $\langle \text{news } n (p \# z) \rangle \langle \text{news } m (p \# z) \rangle$ 
proof (induct  $z$ )
  case (Cons  $a z$ )
  then have  $\langle \text{psubst } ?f a = a \rangle$ 
    by simp
  then show ?case
    using Cons by (metis image_eqI insert_subset list.set(2) news.simps(2) set_map)
qed simp

```

```

have  $\langle \vdash \text{Neg } (\text{sub } 0 (\text{Fun } n []) p) \# ?G' \rangle$ 
  using  $\langle \text{set } z \subseteq \text{set } ?G' \rangle$  DeExi by (metis subset_cons)
then have  $\langle \vdash ?A \# \text{map } (\text{psubst } ?f) ?G' \rangle$ 
  using SC_psubst by (metis Cons_eq_map_conv)
then have  $\langle \vdash \text{Neg } (\text{sub } 0 (\text{Fun } m []) p) \# G' \rangle$ 
  using  $p G'$  by simp
then have  $\langle \vdash \text{Neg } (\text{Exi } p) \# G' \rangle$ 
  using SC.DeExi  $\langle \text{news } m (p \# G') \rangle$  by blast
then show ?case
  using Extra  $\langle \text{set } (\text{Neg } (\text{Exi } p) \# z) \subseteq \text{set } G' \rangle$  by simp
next
case (Extra  $p z$ )
then show ?case
  using SC.Extra member_set
  by (metis set_rev_mp subset_cons)
qed

```

**corollary**  $\langle \vdash z \Rightarrow \text{set } z = \text{set } G' \Rightarrow \vdash G' \rangle$   
**using** Order **by** simp

**lemma** Shift:  $\langle \vdash \text{rotate1 } z \Rightarrow \vdash z \rangle$   
**using** Order **by** simp

**lemma** Swap:  $\langle \vdash q \# p \# z \Rightarrow \vdash p \# q \# z \rangle$   
**using** Order **by** (simp **add**: insert\_commute)

**lemma**  $\langle \vdash [\text{Neg (Pre "A" [])}, \text{Pre "A" []}] \rangle$   
**by** (rule Shift, simp) (rule Basic)

**lemma**  $\langle \vdash [\text{Con (Pre "A" []) (Pre "B" [])}, \text{Neg (Con (Pre "B" []) (Pre "A" []))] \rangle$   
**apply** (rule BeCon)  
**apply** (rule Swap)  
**apply** (rule AICon)  
**apply** (rule Shift, simp, rule Swap)  
**apply** (rule Basic)  
**apply** (rule Swap)  
**apply** (rule AICon)  
**apply** (rule Shift, rule Shift, simp)  
**apply** (rule Basic)  
**done**

**subsection**  $\langle \text{Soundness} \rangle$

```

lemma SC_soundness:  $\langle \vdash z \Rightarrow \exists p \in \text{set } z. \text{ semantics } e \ f \ g \ p \rangle$ 
proof (induct arbitrary: f rule: SC.induct)
  case (Extra p z)
  then show ?case
    by fastforce
next
  case (DeUni n p z)
  then consider
     $\langle \forall x. \text{ semantics } e \ (f(n := \lambda w. x)) \ g \ (\text{sub } 0 \ (\text{Fun } n \ []) \ p) \rangle \mid$ 
     $\langle \exists x. \exists p \in \text{set } (\text{Uni } p \ \# \ z). \text{ semantics } e \ (f(n := \lambda w. x)) \ g \ p \rangle$ 
  by fastforce
  then show ?case
proof cases
  case 1
  then have  $\langle \forall x. \text{ semantics } (\text{put } e \ 0 \ x) \ (f(n := \lambda w. x)) \ g \ p \rangle$ 
    by simp
  then have  $\langle \forall x. \text{ semantics } (\text{put } e \ 0 \ x) \ f \ g \ p \rangle$ 
    using  $\langle \text{news } n \ (p \ \# \ z) \rangle$  by simp
  then show ?thesis
    by simp
next
  case 2
  then show ?thesis
    using  $\langle \text{news } n \ (p \ \# \ z) \rangle$ 
    by (metis Ball_set allnew map new.simps(7) news.simps(2))
qed
next

```

```

case (DeExi n p z)
then consider
  <∀x. semantics e (f(n := λw. x)) g (Neg (sub 0 (Fun n []) p))> |
  <∃x. ∃p ∈ set (Neg (Exi p) # z). semantics e (f(n := λw. x)) g p>
  by fastforce
then show ?case
proof cases
  case 1
  then have <∀x. semantics (put e 0 x) (f(n := λw. x)) g (Neg p)>
    by simp
  then have <∀x. semantics (put e 0 x) f g (Neg p)>
    using <news n (p # z)> by simp
  then show ?thesis
    by simp
next
  case 2
  then show ?thesis
    using <news n (p # z)>
    by (metis Ball_set allnew map new.simps(1,3,6) news.simps(2))
qed
qed auto

```

### subsection <Tableau Equivalence>

```

lemma SC_remove_Falsity: <⊢ z ⇒ set z - {Falsity} ⊆ set G' ⇒ ⊢ G'>
proof (induct z arbitrary: G' rule: SC.induct)
  case (Basic i l z)

```

```

then have ⟨{Pre i l, Neg (Pre i l)} ∪ (set z - {Falsity}) ⊆ set G'⟩
  using subset_insert_iff by auto
then show ?case
  using SC.Basic Order by fastforce
next
case (Dummy z)
then have ⟨{Truth} ∪ (set z - {Falsity}) ⊆ set G'⟩
  using subset_insert_iff by auto
then show ?case
  using SC.Dummy Order by fastforce
next
case (AlCon p q z)
then have *: ⟨Neg (Con p q) ∈ set G'⟩
  by auto

have ⟨set (Neg p # Neg q # z) - {Falsity} ⊆ set (Neg p # Neg q # G')⟩
  using AlCon by auto
then have ⟨⊢ Neg p # Neg q # G'⟩
  using AlCon by simp
then have ⟨⊢ Neg (Con p q) # G'⟩
  using SC.AlCon by blast
then show ?case
  using * Extra by simp
next
case (AlDis p q z)
then have *: ⟨Dis p q ∈ set G'⟩
  by auto

```

```

have <set (p # q # z) - {Falsity} ⊆ set (p # q # G')>
  using AlDis by auto
then have <⊢ p # q # G'>
  using AlDis by simp
then have <⊢ Dis p q # G'>
  using SC.AlDis by blast
then show ?case
  using * Extra by simp

```

**next**

```

case (AllImp p q z)
then have *: <Imp p q ∈ set G'>
  by auto

```

```

have <set (Neg p # q # z) - {Falsity} ⊆ set (Neg p # q # G')>
  using AllImp by auto
then have <⊢ Neg p # q # G'>
  using AllImp by simp
then have <⊢ Imp p q # G'>
  using SC.AllImp by blast
then show ?case
  using * Extra by simp

```

**next**

```

case (BeCon p z q)
then have *: <Con p q ∈ set G'>
  by auto

```

```

have ⟨set (p # z) - {Falsity} ⊆ set (p # G')⟩ ⟨set (q # z) - {Falsity} ⊆ set (q # G')⟩
  using BeCon by auto
then have ⟨⊢ p # G'⟩ ⟨⊢ q # G'⟩
  using BeCon by simp_all
then have ⟨⊢ Con p q # G'⟩
  using SC.BeCon by blast
then show ?case
  using * Extra by simp
next
case (BeDis p z q)
then have *: ⟨Neg (Dis p q) ∈ set G'⟩
  by auto

have
  ⟨set (Neg p # z) - {Falsity} ⊆ set (Neg p # G')⟩
  ⟨set (Neg q # z) - {Falsity} ⊆ set (Neg q # G')⟩
  using BeDis by auto
then have ⟨⊢ Neg p # G'⟩ ⟨⊢ Neg q # G'⟩
  using BeDis by simp_all
then have ⟨⊢ Neg (Dis p q) # G'⟩
  using SC.BeDis by blast
then show ?case
  using * Extra by simp
next
case (BeImp p z q)
then have *: ⟨Neg (Imp p q) ∈ set G'⟩
  by auto

```

```

have ⟨set (p # z) - {Falsity} ⊆ set (p # G')⟩ ⟨set (Neg q # z) - {Falsity} ⊆ set (Neg q # G')⟩
  using BeImp by auto
then have ⟨⊢ p # G'⟩ ⟨⊢ Neg q # G'⟩
  using BeImp by simp_all
then have ⟨⊢ Neg (Imp p q) # G'⟩
  using SC.BeImp by blast
then show ?case
  using * Extra by simp
next
case (GaExi t p z)
then have *: ⟨Exi p ∈ set G'⟩
  by auto

have ⟨set (sub 0 t p # z) - {Falsity} ⊆ set (sub 0 t p # G')⟩
  using GaExi by auto
then have ⟨⊢ sub 0 t p # G'⟩
  using GaExi by simp
then have ⟨⊢ Exi p # G'⟩
  using SC.GaExi by blast
then show ?case
  using * Extra by simp
next
case (GaUni t p z)
then have *: ⟨Neg (Uni p) ∈ set G'⟩
  by auto

```

```

have <set (Neg (sub 0 t p) # z) - {Falsity} ⊆ set (Neg (sub 0 t p) # G')>
  using GaUni by auto
then have <⊢ Neg (sub 0 t p) # G'>
  using GaUni by simp
then have <⊢ Neg (Uni p) # G'>
  using SC.GaUni by blast
then show ?case
  using * Extra by simp
next
case (DeUni n p z)
let ?params = <params p ∪ (∪p ∈ set z. params p) ∪ (∪p ∈ set G'. params p)>

have <finite ?params>
  by simp
then obtain m where *: <m ∉ ?params ∪ {n}>
  using ex_new_if_finite by (metis finite.emptyI finite.insertI finite_UnI infinite_UNIV_listI)

let ?f = <id(n := m, m := n)>
let ?A = <sub 0 (Fun m []) p>
let ?G' = <map (psubst ?f) G'>

have p: <psubst ?f (sub 0 (Fun n []) p) = ?A>
  using <news n (p # z)> * by simp
have G': <map (psubst ?f) ?G' = G'>
  using psubst_swap_twice by (induct G') simp_all

have <set z - {Falsity} ⊆ set G'>

```

```

using DeUni by auto
then have <set (map (psubst ?f) z) - {Falsity}  $\subseteq$  set ?G'>
  by auto
moreover have <map (psubst ?f) z = z>
  using <news n (p # z)> * by (induct z) simp_all
ultimately have <set z - {Falsity}  $\subseteq$  set ?G'>
  by simp

then have <set (sub 0 (Fun n []) p # z) - {Falsity}  $\subseteq$  set (sub 0 (Fun n []) p # ?G')>
  by auto
then have < $\vdash$  sub 0 (Fun n []) p # ?G'>
  using * DeUni by simp
then have < $\vdash$  sub 0 (Fun n []) p # ?G'>
  using Swap by simp
then have < $\vdash$  map (psubst ?f) (sub 0 (Fun n []) p # ?G')>
  using SC_psubst by blast
then have < $\vdash$  sub 0 (Fun m []) p # G'>
  using p G' by simp
moreover have <news m (p # G')>
  using * by (induct G') simp_all
ultimately have < $\vdash$  Uni p # G'>
  using SC.DeUni by blast
moreover have <Uni p  $\in$  set G'>
  using DeUni by auto
ultimately show ?case
  using Extra by simp
next

```

```

case (DeExi n p z)
let ?params = ⟨params p ∪ (∪p ∈ set z. params p) ∪ (∪p ∈ set G'. params p)⟩

have ⟨finite ?params⟩
  by simp
then obtain m where *: ⟨m ∉ ?params ∪ {n}⟩
  using ex_new_if_finite by (metis finite.emptyI finite.insertI finite_UnI infinite_UNIV_listI)

let ?f = ⟨id(n := m, m := n)⟩
let ?A = ⟨sub 0 (Fun m []) p⟩
let ?G' = ⟨map (psubst ?f) G'⟩

have p: ⟨psubst ?f (sub 0 (Fun n []) p) = ?A⟩
  using ⟨news n (p # z)⟩ * by simp
have G': ⟨map (psubst ?f) ?G' = G'⟩
  using psubst_swap_twice by (induct G') simp_all

have ⟨set z - {Falsity} ⊆ set G'⟩
  using DeExi by auto
then have ⟨set (map (psubst ?f) z) - {Falsity} ⊆ set ?G'⟩
  by auto
moreover have ⟨map (psubst ?f) z = z⟩
  using ⟨news n (p # z)⟩ * by (induct z) simp_all
ultimately have ⟨set z - {Falsity} ⊆ set ?G'⟩
  by simp

then have ⟨set (Neg (sub 0 (Fun n []) p) # z) - {Falsity} ⊆ set (Neg (sub 0 (Fun n []) p) # ?G')⟩

```

```

by auto
then have  $\langle \vdash \text{Neg} (\text{sub } 0 (\text{Fun } n []) p) \# ?G' \rangle$ 
  using * DeExi by simp
then have  $\langle \vdash \text{Neg} (\text{sub } 0 (\text{Fun } n []) p) \# ?G' \rangle$ 
  using Swap by simp
then have  $\langle \vdash \text{map} (\text{psubst } ?f) (\text{Neg} (\text{sub } 0 (\text{Fun } n []) p) \# ?G') \rangle$ 
  using SC_psubst by blast
then have  $\langle \vdash \text{Neg} (\text{sub } 0 (\text{Fun } m []) p) \# G' \rangle$ 
  using p G' by simp
moreover have  $\langle \text{news } m (p \# G') \rangle$ 
  using * by (induct G') simp_all
ultimately have  $\langle \vdash \text{Neg} (\text{Exi } p) \# G' \rangle$ 
  using SC.DeExi by blast
moreover have  $\langle \text{Neg} (\text{Exi } p) \in \text{set } G' \rangle$ 
  using DeExi by auto
ultimately show ?case
  using Extra by simp
next
case (Extra p z)
then show ?case
  by fastforce
qed

lemma special:  $\langle \vdash z \implies \text{Neg} (\text{Neg } X) \in \text{set } z \implies \text{set } z - \{\text{Neg} (\text{Neg } X)\} \subseteq \text{set } G' \implies \vdash X \# G' \rangle$ 
proof (induct z arbitrary: G' rule: SC.induct)
case (Basic i l z)
then obtain G'' where *:  $\langle \text{set } G' = \text{set} (\text{Pre } i l \# \text{Neg} (\text{Pre } i l) \# G'') \rangle$ 

```

```

  by auto
then have <⊢ Pre i l # Neg (Pre i l) # G''>
  using SC.Basic by simp
then show ?case
  using Order * by (metis set_subset_Cons)
next
case (Dummy z)
then obtain G' where *: <set G' = set (Truth # G'')>
  by auto
then have <⊢ Truth # G''>
  using SC.Dummy by simp
then show ?case
  using Order * by (metis set_subset_Cons)
next
case (AlCon p q z)
then have *: <Neg (Neg X) ∈ set (Neg p # Neg q # z)>
  by auto
then have <set (Neg p # Neg q # z) - {Neg (Neg X)} ⊆ set (Neg p # Neg q # G')>
  using AlCon by auto
then have <⊢ X # Neg p # Neg q # G'>
  using * AlCon by blast
then have <⊢ Neg p # Neg q # X # G'>
  using Order by (simp add: insert_commute)
then have <⊢ Neg (Con p q) # X # G'>
  using SC.AlCon by blast
moreover have <Neg (Con p q) ∈ set G'>
  using AlCon by auto

```

**ultimately show ?case**

**using** Extra **by** simp

**next**

**case** (AIDis p q z)

**then have** \*:  $\langle \text{Neg} (\text{Neg } X) \in \text{set } (p \# q \# z) \rangle$

**by** auto

**then have**  $\langle \text{set } (p \# q \# z) - \{ \text{Neg} (\text{Neg } X) \} \subseteq \text{set } (p \# q \# G') \rangle$

**using** AIDis **by** auto

**then have**  $\langle \vdash X \# p \# q \# G' \rangle$

**using** \* AIDis **by** blast

**then have**  $\langle \vdash p \# q \# X \# G' \rangle$

**using** Order **by** (simp **add**: insert\_commute)

**then have**  $\langle \vdash \text{Dis } p \ q \ \# \ X \ \# \ G' \rangle$

**using** SC.AIDis **by** blast

**moreover have**  $\langle \text{Dis } p \ q \ \in \ \text{set } G' \rangle$

**using** AIDis **by** auto

**ultimately show ?case**

**using** Extra **by** simp

**next**

**case** (AllImp p q z)

**then have** \*:  $\langle \text{Neg} (\text{Neg } X) \in \text{set } (\text{Neg } p \# q \# z) \rangle$

**by** auto

**show ?case**

**proof** (cases  $\langle \text{Imp } p \ q \ = \ \text{Neg} (\text{Neg } X) \rangle$ )

**case** True

**then have**  $\langle \text{set } (\text{Neg } p \# q \# z) - \{ \text{Neg} (\text{Neg } X) \} \subseteq \text{set } (\text{Falsity} \# G') \rangle$

**using** AllImp **by** auto

```

then have  $\langle \vdash X \# \text{Falsity} \# G' \rangle$ 
  using AllImp * by blast
then show ?thesis
  using SC_remove_Falsity Swap
  by (metis eq_refl list.set_intros(1) list.simps(15) subset_insert_iff)
next
case False
then have  $\langle \text{set} (\text{Neg } p \# q \# z) - \{\text{Neg} (\text{Neg } X)\} \subseteq \text{set} (\text{Neg } p \# q \# G') \rangle$ 
  using AllImp by auto
then have  $\langle \vdash X \# \text{Neg } p \# q \# G' \rangle$ 
  using * AllImp by blast
then have  $\langle \vdash \text{Neg } p \# q \# X \# G' \rangle$ 
  using Order by (simp add: insert_commute)
then have  $\langle \vdash \text{Imp } p \# q \# X \# G' \rangle$ 
  using SC.AllImp by blast
moreover have  $\langle \text{Imp } p \# q \in \text{set } G' \rangle$ 
  using False AllImp by auto
ultimately show ?thesis
  using Extra by simp
qed
next
case (BeCon p z q)
then have  $\langle \text{Neg} (\text{Neg } X) \in \text{set} (p \# z) \rangle \langle \text{Neg} (\text{Neg } X) \in \text{set} (q \# z) \rangle$ 
  by auto
moreover have
 $\langle \text{set} (p \# z) - \{\text{Neg} (\text{Neg } X)\} \subseteq \text{set} (p \# G') \rangle$ 
 $\langle \text{set} (q \# z) - \{\text{Neg} (\text{Neg } X)\} \subseteq \text{set} (q \# G') \rangle$ 

```

```

using BeCon by auto
ultimately have  $\langle \vdash X \# p \# G' \rangle \langle \vdash X \# q \# G' \rangle$ 
  using BeCon by blast+
then have  $\langle \vdash p \# X \# G' \rangle \langle \vdash q \# X \# G' \rangle$ 
  by (simp_all add: Swap)
then have  $\langle \vdash \text{Con } p \ q \# X \# G' \rangle$ 
  using SC.BeCon by blast
moreover have  $\langle \text{Con } p \ q \in \text{set } G' \rangle$ 
  using BeCon by auto
ultimately show ?case
  using Extra by simp
next
case (BeDis  $p \ z \ q$ )
then have  $\langle \text{Neg } (\text{Neg } X) \in \text{set } (\text{Neg } p \ \# \ z) \rangle \langle \text{Neg } (\text{Neg } X) \in \text{set } (\text{Neg } q \ \# \ z) \rangle$ 
  using BeImp by auto
moreover have
   $\langle \text{set } (\text{Neg } p \ \# \ z) - \{ \text{Neg } (\text{Neg } X) \} \subseteq \text{set } (\text{Neg } p \ \# \ G') \rangle$ 
   $\langle \text{set } (\text{Neg } q \ \# \ z) - \{ \text{Neg } (\text{Neg } X) \} \subseteq \text{set } (\text{Neg } q \ \# \ G') \rangle$ 
  using BeDis by auto
ultimately have  $\langle \vdash X \# \text{Neg } p \ \# \ G' \rangle \langle \vdash X \# \text{Neg } q \ \# \ G' \rangle$ 
  using BeDis by blast+
then have  $\langle \vdash \text{Neg } p \ \# \ X \# G' \rangle \langle \vdash \text{Neg } q \ \# \ X \# G' \rangle$ 
  by (simp_all add: Swap)
then have  $\langle \vdash \text{Neg } (\text{Dis } p \ q) \# X \# G' \rangle$ 
  using SC.BeDis by blast
moreover have  $\langle \text{Neg } (\text{Dis } p \ q) \in \text{set } G' \rangle$ 
  using BeDis by auto

```

```

ultimately show ?case
  using Extra by simp
next
case (BeImp p z q)
show ?case
proof (cases <Neg X = Imp p q>)
  case true: True
  then have <⊢ X # z>
    using BeImp by blast
  then show ?thesis
proof (cases <Neg (Neg X) ∈ set z>)
  case True
  then show ?thesis
proof -
  have <set (p # z) - {Neg (Neg X)} ⊆ insert X (set G')>
    using BeImp.prem(2) true by fastforce
  then have <⊢ X # X # G'>
    using BeImp.hyps(2) True by simp
  then show ?thesis
    using SC.Extra by simp
qed
next
case False
then have <set (X # z) ⊆ set (X # G')>
  using BeImp true by auto
then show ?thesis
  using <⊢ X # z> Order by blast

```

qed

next

case False

then have  $\langle \text{Neg} (\text{Neg } X) \in \text{set } (p \# z) \rangle \langle \text{Neg} (\text{Neg } X) \in \text{set} (\text{Neg } q \# z) \rangle$

using BeImp by auto

moreover have

$\langle \text{set } (p \# z) - \{ \text{Neg} (\text{Neg } X) \} \subseteq \text{set } (p \# G') \rangle$

$\langle \text{set} (\text{Neg } q \# z) - \{ \text{Neg} (\text{Neg } X) \} \subseteq \text{set} (\text{Neg } q \# G') \rangle$

using False BeImp by auto

ultimately have  $\langle \vdash X \# p \# G' \rangle \langle \vdash X \# \text{Neg } q \# G' \rangle$

using BeImp by blast+

then have  $\langle \vdash p \# X \# G' \rangle \langle \vdash \text{Neg } q \# X \# G' \rangle$

by (simp\_all add: Swap)

then have  $\langle \vdash \text{Neg} (\text{Imp } p \ q) \# X \# G' \rangle$

using SC.BeImp by blast

moreover have  $\langle \text{Neg} (\text{Imp } p \ q) \in \text{set } G' \rangle$

using False BeImp by auto

ultimately show ?thesis

using Extra by simp

qed

next

case (GaExi t p z)

then have \*:  $\langle \text{Neg} (\text{Neg } X) \in \text{set} (\text{sub } 0 \ t \ p \ \# \ z) \rangle$

by auto

then have  $\langle \text{set} (\text{sub } 0 \ t \ p \ \# \ z) - \{ \text{Neg} (\text{Neg } X) \} \subseteq \text{set} (\text{sub } 0 \ t \ p \ \# \ G') \rangle$

using GaExi by auto

then have  $\langle \vdash X \# \text{sub } 0 \ t \ p \ \# \ G' \rangle$

```

using * GaExi by blast
then have  $\langle \vdash \text{sub } 0 \text{ t p} \# \mathbf{X} \# \mathbf{G}' \rangle$ 
using Swap by simp
then have  $\langle \vdash \text{Exi p} \# \mathbf{X} \# \mathbf{G}' \rangle$ 
using SC.GaExi by blast
moreover have  $\langle \text{Exi p} \in \text{set } \mathbf{G}' \rangle$ 
using GaExi by auto
ultimately show ?case
using Extra by simp
next
case (GaUni t p z)
then have *:  $\langle \text{Neg} (\text{Neg } \mathbf{X}) \in \text{set} (\text{Neg} (\text{sub } 0 \text{ t p}) \# \mathbf{z}) \rangle$ 
by auto
then have  $\langle \text{set} (\text{Neg} (\text{sub } 0 \text{ t p}) \# \mathbf{z}) - \{\text{Neg} (\text{Neg } \mathbf{X})\} \subseteq \text{set} (\text{Neg} (\text{sub } 0 \text{ t p}) \# \mathbf{G}') \rangle$ 
using GaUni by auto
then have  $\langle \vdash \mathbf{X} \# \text{Neg} (\text{sub } 0 \text{ t p}) \# \mathbf{G}' \rangle$ 
using * GaUni by blast
then have  $\langle \vdash \text{Neg} (\text{sub } 0 \text{ t p}) \# \mathbf{X} \# \mathbf{G}' \rangle$ 
using Swap by simp
then have  $\langle \vdash \text{Neg} (\text{Uni p}) \# \mathbf{X} \# \mathbf{G}' \rangle$ 
using SC.GaUni by blast
moreover have  $\langle \text{Neg} (\text{Uni p}) \in \text{set } \mathbf{G}' \rangle$ 
using GaUni by auto
ultimately show ?case
using Extra by simp
next
case (DeUni n p z)

```

**then have** \*:  $\langle \text{Neg} (\text{Neg } X) \in \text{set} (\text{sub } 0 (\text{Fun } n []) p \# z) \rangle$   
**by** auto

**have**  $\langle \text{Neg} (\text{Neg } X) \in \text{set } z \rangle$

**using** DeUni **by** simp

**then have**  $\langle \text{new } n (\text{Neg} (\text{Neg } X)) \rangle$

**using**  $\langle \text{news } n (p \# z) \rangle$  **by** (induct z) auto

**then have**  $\langle \text{news } n (p \# X \# z) \rangle$

**using**  $\langle \text{news } n (p \# z) \rangle$  **by** simp

**let** ?params =  $\langle \text{params } p \cup \text{params } X \cup (\cup p \in \text{set } z. \text{params } p) \cup (\cup p \in \text{set } G'. \text{params } p) \rangle$

**have**  $\langle \text{finite } ?\text{params} \rangle$

**by** simp

**then obtain** m **where** \*:  $\langle m \notin ?\text{params} \cup \{n\} \rangle$

**using** ex\_new\_if\_finite **by** (metis finite.emptyI finite.insertI finite\_UnI infinite\_UNIV\_listI)

**let** ?f =  $\langle \text{id}(n := m, m := n) \rangle$

**let** ?A =  $\langle \text{sub } 0 (\text{Fun } m []) p \rangle$

**let** ?X =  $\langle \text{psubst } ?f X \rangle$

**let** ?G' =  $\langle \text{map} (\text{psubst } ?f) G' \rangle$

**have** p:  $\langle \text{psubst } ?f (\text{sub } 0 (\text{Fun } n []) p) = ?A \rangle$

**using**  $\langle \text{news } n (p \# z) \rangle$  \* **by** simp

**have** X:  $\langle \text{psubst } ?f X = X \rangle$

**using**  $\langle \text{new } n (\text{Neg} (\text{Neg } X)) \rangle$  \* **by** simp

**have** G':  $\langle \text{map} (\text{psubst } ?f) ?G' = G' \rangle$

**using** psubst\_swap\_twice **by** (induct  $G'$ ) simp\_all

**have**  $\langle \text{set } z - \{\text{Neg } (\text{Neg } X)\} \subseteq \text{set } G' \rangle$

**using** DeUni **by** auto

**then have**  $\langle \text{set } (\text{map } (\text{psubst } ?f) z) - \{\text{psubst } ?f (\text{Neg } (\text{Neg } X))\} \subseteq \text{set } ?G' \rangle$

**by** auto

**moreover have**  $\langle \text{map } (\text{psubst } ?f) z = z \rangle$

**using**  $\langle \text{news } n (p \# z) \rangle$  \* **by** (induct  $z$ ) simp\_all

**ultimately have**  $\langle \text{set } z - \{\text{Neg } (\text{Neg } X)\} \subseteq \text{set } ?G' \rangle$

**using**  $X$  **by** simp

**then have**  $\langle \text{set } (\text{sub } 0 (\text{Fun } n []) p \# z) - \{\text{Neg } (\text{Neg } X)\} \subseteq \text{set } (\text{sub } 0 (\text{Fun } n []) p \# ?G') \rangle$

**using** DeUni **by** auto

**then have**  $\langle \vdash X \# \text{sub } 0 (\text{Fun } n []) p \# ?G' \rangle$

**using** \* DeUni **by** simp

**then have**  $\langle \vdash \text{sub } 0 (\text{Fun } n []) p \# X \# ?G' \rangle$

**using** Swap **by** simp

**then have**  $\langle \vdash \text{map } (\text{psubst } ?f) (\text{sub } 0 (\text{Fun } n []) p \# X \# ?G') \rangle$

**using** SC\_psubst **by** blast

**then have**  $\langle \vdash \text{sub } 0 (\text{Fun } m []) p \# X \# G' \rangle$

**using**  $p \ X \ G'$  **by** simp

**moreover have**  $\langle \text{news } m (p \# X \# G') \rangle$

**using** \* **by** (induct  $G'$ ) simp\_all

**ultimately have**  $\langle \vdash \text{Uni } p \# X \# G' \rangle$

**using** SC.DeUni **by** blast

**moreover have**  $\langle \text{Uni } p \in \text{set } G' \rangle$

**using** DeUni **by** auto

**ultimately show** ?case

**using** Extra **by** simp

**next**

**case** (DeExi n p z)

**then have** \*:  $\langle \text{Neg} (\text{Neg } X) \in \text{set} (\text{Neg} (\text{sub } 0 (\text{Fun } n []) p) \# z) \rangle$

**by** auto

**have**  $\langle \text{Neg} (\text{Neg } X) \in \text{set } z \rangle$

**using** DeExi **by** simp

**then have**  $\langle \text{new } n (\text{Neg} (\text{Neg } X)) \rangle$

**using**  $\langle \text{news } n (p \# z) \rangle$  **by** (induct z) auto

**then have**  $\langle \text{news } n (p \# X \# z) \rangle$

**using**  $\langle \text{news } n (p \# z) \rangle$  **by** simp

**let** ?params =  $\langle \text{params } p \cup \text{params } X \cup (\cup p \in \text{set } z. \text{params } p) \cup (\cup p \in \text{set } G'. \text{params } p) \rangle$

**have**  $\langle \text{finite } ?\text{params} \rangle$

**by** simp

**then obtain** m **where** \*:  $\langle m \notin ?\text{params} \cup \{n\} \rangle$

**using** ex\_new\_if\_finite **by** (metis finite.emptyI finite.insertI finite\_UnI infinite\_UNIV\_listI)

**let** ?f =  $\langle \text{id}(n := m, m := n) \rangle$

**let** ?A =  $\langle \text{sub } 0 (\text{Fun } m []) p \rangle$

**let** ?X =  $\langle \text{psubst } ?f X \rangle$

**let** ?G' =  $\langle \text{map} (\text{psubst } ?f) G' \rangle$

**have** p:  $\langle \text{psubst } ?f (\text{sub } 0 (\text{Fun } n []) p) = ?A \rangle$

**using** <news  $n$  ( $p \# z$ )> \* **by** simp  
**have**  $X$ : <psubst ?f  $X = X$ >  
**using** <new  $n$  (Neg (Neg  $X$ ))> \* **by** simp  
**have**  $G'$ : <map (psubst ?f) ? $G' = G'$ >  
**using** psubst\_swap\_twice **by** (induct  $G'$ ) simp\_all

**have** <set  $z - \{\text{Neg (Neg } X)\} \subseteq \text{set } G'$ >  
**using** DeExi **by** auto  
**then have** <set (map (psubst ?f)  $z$ ) - {psubst ?f (Neg (Neg  $X$ ))}  $\subseteq$  set ? $G'$ >  
**by** auto  
**moreover have** <map (psubst ?f)  $z = z$ >  
**using** <news  $n$  ( $p \# z$ )> \* **by** (induct  $z$ ) simp\_all  
**ultimately have** <set  $z - \{\text{Neg (Neg } X)\} \subseteq \text{set } ?G'$ >  
**using**  $X$  **by** simp

**then have** <set (Neg (sub 0 (Fun  $n$  [])  $p$ ) #  $z$ ) - {Neg (Neg  $X$ )}  $\subseteq$   
set (Neg (sub 0 (Fun  $n$  [])  $p$ ) # ? $G'$ )>  
**using** DeExi **by** auto  
**then have** < $\vdash X \# \text{Neg (sub 0 (Fun } n \text{ []) } p) \# ?G'$ >  
**using** \* DeExi **by** simp  
**then have** < $\vdash \text{Neg (sub 0 (Fun } n \text{ []) } p) \# X \# ?G'$ >  
**using** Swap **by** simp  
**then have** < $\vdash \text{map (psubst ?f) (Neg (sub 0 (Fun } n \text{ []) } p) \# X \# ?G'$ >  
**using** SC\_psubst **by** blast  
**then have** < $\vdash \text{Neg (sub 0 (Fun } m \text{ []) } p) \# X \# G'$ >  
**using** p  $X$   $G'$  **by** simp  
**moreover have** <news  $m$  ( $p \# X \# G'$ )>

```

using * by (induct G') simp_all
ultimately have  $\langle \vdash \text{Neg } (\text{Exi } p) \# X \# G' \rangle$ 
using SC.DeExi by blast
moreover have  $\langle \text{Neg } (\text{Exi } p) \in \text{set } G' \rangle$ 
using DeExi by auto
ultimately show ?case
using Extra by simp

```

**next**

```

case (Extra p z)
then show ?case
by (simp add: insert_absorb)

```

**qed**

```

lemma SC_Neg_Neg:  $\langle \vdash \text{Neg } (\text{Neg } p) \# z \implies \vdash p \# z \rangle$ 
using special by simp

```

```

theorem TC_SC:  $\langle \vdash z \implies \vdash \text{map compl } z \rangle$ 

```

```

proof (induct rule: TC.induct)

```

```

case (Extra p z)
then show ?case
by (metis SC.Extra image_eqI list.set_map list.simps(9) member_set)

```

**next**

```

case (Basic i l z)
then show ?case
proof -
have  $\langle \vdash \text{compl } (\text{Pre } i l) \# \text{Pre } i l \# \text{map compl } z \rangle$ 
by (metis member_set SC.Basic Extra compl.simps(3) list.set_intros)

```

```

then show ?thesis
  by simp
qed
next
case (AlCon p q z)
then have  $\langle \vdash \text{compl } p \# \text{compl } q \# \text{map compl } z \rangle$ 
  by simp
then have  $\langle \vdash \text{Neg } p \# \text{Neg } q \# \text{map compl } z \rangle$ 
  using compl Swap Dummy BeImp by metis
then show ?case
  using SC.AlCon by simp
next
case (AllImp p q z)
then have  $\langle \vdash \text{compl } p \# q \# \text{map compl } z \rangle$ 
  by simp
then have  $\langle \vdash \text{Neg } p \# q \# \text{map compl } z \rangle$ 
  using compl Dummy BeImp by metis
then show ?case
  using SC.AllImp by simp
next
case (BeDis p z q)
then have  $\langle \vdash \text{compl } p \# \text{map compl } z \rangle \langle \vdash \text{compl } q \# \text{map compl } z \rangle$ 
  by simp_all
then have  $\langle \vdash \text{Neg } p \# \text{map compl } z \rangle \langle \vdash \text{Neg } q \# \text{map compl } z \rangle$ 
  using compl Dummy BeImp by metis+
then show ?case
  using SC.BeDis by simp

```

**next**

```
case (BeImp p z q)
then have <| p # map compl z > <| compl q # map compl z >
  by simp_all
then have <| p # map compl z > <| Neg q # map compl z >
  using compl Dummy SC.BeImp by metis+
then have <| Neg (Imp p q) # map compl z >
  using SC.BeImp by simp
then have <| compl (Imp p q) # map compl z >
  using <| p # map compl z > compl by (metis fm.inject(2))
then show ?case
  by simp
```

**next**

```
case (GaUni t p z)
then have <| compl (sub 0 t p) # map compl z >
  by simp
then have <| Neg (sub 0 t p) # map compl z >
  using compl Dummy BeImp by metis
then show ?case
  using SC.GaUni by simp
```

**next**

```
case (DeExi n p z)
then have <| compl (sub 0 (Fun n []) p) # map compl z >
  by simp
then have <| Neg (sub 0 (Fun n []) p) # map compl z >
  using compl Dummy BeImp by metis
moreover have <news n (p # map compl z)>
```

```

using DeExi news_compl by simp
ultimately show ?case
  using SC.DeExi by simp
next
case (DeUni n p z)
then have <⊢ sub 0 (Fun n []) p # map compl z>
  by simp
moreover have <news n (p # map compl z)>
  using DeUni news_compl by simp
ultimately show ?case
  using SC.DeUni by simp
qed (auto intro: SC.intros)

```

**subsection** <Completeness>

**theorem** SC\_completeness:

**assumes** < $\forall (e :: \_ \Rightarrow \text{htm}) f g. \text{list\_all} (\text{semantics } e f g) z \longrightarrow \text{semantics } e f g p$ >

**shows** < $\vdash p \# \text{map compl } z$ >

**proof** -

**have** < $\neg \vdash \text{compl } p \# z$ >

**using** assms tableau\_completeness compl\_compl **unfolding** tableauproof\_def **by** simp

**then show** ?thesis

**using** TC\_SC compl AlNegNeg compl.simps(1) list.simps(9) **by** (metis (full\_types))

**qed**

**corollary**

**assumes** < $\forall (e :: \_ \Rightarrow \text{htm}) f g. \text{semantics } e f g p$ >

**shows**  $\langle \vdash [p] \rangle$

**using** `assms SC_completeness list.map(1) by metis`

**abbreviation** `herbrand_valid (<math>\langle \gg \_ \rangle 0</math>)` **where**

$\langle (\gg p) \rangle \equiv \forall (e :: \_ \Rightarrow \text{htm}) f g. \text{ semantics } e f g p$

**theorem** `herbrand_completeness_soundness: <math>\langle \gg p \rangle \Rightarrow \vdash [p]</math> <math>\langle \vdash [p] \rangle \Rightarrow \text{ semantics } e f g p</math>`

**by** `(use SC_completeness list.map(1) in metis) (use SC_soundness in fastforce)`

**corollary**  $\langle (\gg p) \rangle = (\vdash [p])$

**using** `herbrand_completeness_soundness by fast`

**lemma** `map_compl_Neg: <math>\langle \text{map compl (map Neg z)} = z \rangle</math>`

**by** `(induct z) simp_all`

**theorem** `SC_complete:`

**assumes**  $\langle \forall (e :: \_ \Rightarrow \text{htm}) f g. \exists p \in \text{set } z. \text{ semantics } e f g p \rangle$

**shows**  $\langle \vdash z \rangle$

**proof** -

**have**  $\langle \forall (e :: \_ \Rightarrow \text{htm}) f g. \neg (\forall p \in \text{set (map Neg } z). \text{ semantics } e f g p) \rangle$

**using** `assms by fastforce`

**then obtain** `p` **where**

$\langle p \in \text{set } z \rangle$

$\langle \forall (e :: \_ \Rightarrow \text{htm}) f g. (\forall p \in \text{set (map Neg } z). \text{ semantics } e f g p) \longrightarrow \text{ semantics } e f g p \rangle$

**using** `assms by blast`

**then have**  $\langle \vdash p \# \text{map compl (map Neg } z) \rangle$

**using** `SC_completeness Ball_set by metis`

```

then have <math>\vdash p \# z</math>
  using map_compl_Neg by simp
with <math>p \in \text{set } z</math> show ?thesis
  using SC.Extra member_set by simp
qed

```

**theorem** SC\_TC: <math>\vdash z \implies \neg \text{map compl } z</math>

**proof** (induct **rule**: SC.induct)

**case** (Basic **i l z**)

**then show** ?case

**proof** -

**have** <math>\neg \text{compl (Pre } i l) \# \text{Pre } i l \# \text{map compl } z</math>

**using** tableau\_completeness tableauproof\_def **by** fastforce

**then show** ?thesis

**by** simp

**qed**

**next**

**case** (Dummy **z**)

**then show** ?case

**by** (simp **add**: TC.Dummy)

**next**

**case** (AlCon **p q z**)

**then show** ?case

**using** AlCon

**by** (simp **add**: TC.AlCon)

**next**

**case** (AlDis **p q z**)

```

then have <¬ compl p # compl q # map compl z>
  by simp
then have <¬ Neg p # Neg q # map compl z>
  using compl Swap' AlNegNeg' by metis
then show ?case
  using TC.AlDis by simp
next
case (AllImp p q z)
then have <¬ p # compl q # map compl z>
  by simp
then have <¬ p # Neg q # map compl z>
  using compl Swap' AlNegNeg' by metis
then show ?case
  by (metis TC.AllImp compl list.simps(9) TC_Neg_Neg)
next
case (BeCon p z q)
then have <¬ compl p # map compl z> <¬ compl q # map compl z>
  by simp_all
then have <¬ Neg p # map compl z> <¬ Neg q # map compl z>
  using compl AlNegNeg' by metis+
then show ?case
  using TC.BeCon by simp
next
case (BeDis p z q)
then show ?case
  by (simp add: TC.BeDis)
next

```

```

case (BeImp p z q)
then show ?case
  using TC.BeImp compl compl.simps(1) list.simps(9) AlNegNeg' by metis
next
case (GaExi t p z)
then show ?case
  using TC.GaExi compl compl.simps(12) list.simps(9) AlNegNeg' by (metis (no_types))
next
case (GaUni t p z)
then show ?case
  by (simp add: TC.GaUni)
next
case (DeUni n p z)
then have  $\langle \neg \text{compl (sub 0 (Fun n [])) p} \# \text{map compl z} \rangle$ 
  by simp
then have  $\langle \neg \text{Neg (sub 0 (Fun n [])) p} \# \text{map compl z} \rangle$ 
  using compl AlNegNeg' by metis
moreover have  $\langle \text{news n (p \# map compl z)} \rangle$ 
  using DeUni news_compl by simp
ultimately show ?case
  using TC.DeUni by simp
next
case (DeExi n p z)
then show ?case
  using TC.DeExi news_compl by auto
next
case (Extra p z)

```

**then show ?case**

**by** (metis TC.Extra image\_eqI list.set\_map list.simps(9) member\_set)

**qed**

**lemma** TC\_neg\_compl:  $\langle (\neg [\text{Neg } p]) \leftrightarrow (\neg [\text{compl } p]) \rangle$

**by** (metis compl compl.simps(1) TC\_Neg\_Neg TC\_compl\_compl)

**lemma** supra:

**assumes**  $\langle \forall (e :: \_ \Rightarrow 'a) f g. \text{list\_all } (\text{semantics } e f g) z \rightarrow \text{semantics } e f g p \rangle$

**and**  $\langle \text{denumerable } (\text{UNIV} :: 'a \text{ set}) \rangle$

**shows**  $\langle \vdash p \# \text{map compl } z \rangle$

**using** SC\_completeness soundness' completeness' assms **by** blast

**lemma** super:

**assumes**  $\langle \vdash p \# \text{map compl } z \rangle$

**shows**  $\langle \forall e f g. \text{list\_all } (\text{semantics } e f g) z \rightarrow \text{semantics } e f g p \rangle$

**proof** -

**have**  $\langle \forall e f g. \neg (\forall p \in \text{set } z. \text{semantics } e f g p) \vee \text{semantics } e f g p \rangle$

**using** assms SC\_soundness semantics\_compl **by** fastforce

**then show ?thesis**

**using** Ball\_set **by** metis

**qed**

**lemma** SC\_compl\_Neg:  $\langle (\vdash \text{compl } p \# z) \leftrightarrow (\vdash \text{Neg } p \# z) \rangle$

**by** (metis AINegNeg compl SC\_Neg\_Neg)

**lemma** TC\_compl\_Neg:  $\langle (\neg \text{compl } p \# z) \leftrightarrow (\neg \text{Neg } p \# z) \rangle$

**by** (metis AINegNeg' compl TC\_Neg\_Neg)

**lemma** TC\_map\_compl:

**assumes**  $\langle \vdash \text{map compl } z \rangle$

**shows**  $\langle \vdash z \rangle$

**proof** -

**have**  $\langle \forall (e :: \_ \Rightarrow \text{htm}) f g. \exists p \in \text{set (map compl } z). \neg \text{ semantics } e f g p \rangle$

**using** assms TC\_soundness **by** blast

**then have**  $\langle \forall (e :: \_ \Rightarrow \text{htm}) f g. \exists p \in \text{set } z. \neg \text{ semantics } e f g (\text{compl } p) \rangle$

**by** fastforce

**then show** ?thesis

**using** SC\_complete semantics\_compl **by** metis

**qed**

**lemma** SC\_map\_compl:

**assumes**  $\langle \vdash \text{map compl } z \rangle$

**shows**  $\langle \vdash z \rangle$

**proof** -

**have**  $\langle \forall (e :: \_ \Rightarrow \text{htm}) f g. \exists p \in \text{set (map compl } z). \text{ semantics } e f g p \rangle$

**using** assms SC\_soundness **by** blast

**then have**  $\langle \forall (e :: \_ \Rightarrow \text{htm}) f g. \exists p \in \text{set } z. \text{ semantics } e f g (\text{compl } p) \rangle$

**by** fastforce

**then show** ?thesis

**using** TC\_complete semantics\_compl **by** metis

**qed**

**section**  $\langle \text{The Sequent Calculus is Sound and Complete} \rangle$

**theorem** sound\_complete:  $\langle \text{valid } p \leftrightarrow (\vdash [p]) \rangle$

**proof**

**assume**  $\langle \text{valid } p \rangle$

**then show**  $\langle \vdash [p] \rangle$

**using** herbrand\_completeness\_soundness(1) valid\_semantics **by** fast

**next**

**assume**  $\langle \vdash [p] \rangle$

**then show**  $\langle \text{valid } p \rangle$

**using** herbrand\_completeness\_soundness(2) **by** fast

**qed**

**lemma** 1:  $\langle \text{OK } p \ z \Rightarrow \vdash p \ \# \ \text{map compl } z \rangle$

**by** (simp add: SC\_completeness\_soundness')

**lemma** 2:  $\langle \vdash p \ \# \ \text{map compl } z \Rightarrow \text{OK } p \ z \rangle$

**using** completeness" **by** (simp add: super)

**lemma** 3:

**assumes**  $\langle \forall (e :: \_ \Rightarrow 'a) \ f \ g. \ \text{semantics } e \ f \ g \ p \rangle$

**and**  $\langle \text{denumerable } (\text{UNIV} :: 'a \ \text{set}) \rangle$

**shows**  $\langle \vdash [p] \rangle$

**using** assms completeness 1 **by** fastforce

**lemma** helper:  $\langle \vdash [p] \Rightarrow \neg [\text{Neg } p] \rangle$

**using** TC\_compl\_Neg complete herbrand\_completeness\_soundness(2) **by** blast

**lemma 4:**

**assumes**  $\langle \forall (e :: \_ \Rightarrow 'a) f g. \text{ semantics } e f g p \rangle$

**and**  $\langle \text{denumerable (UNIV :: 'a set)} \rangle$

**shows**  $\langle \neg [\text{Neg } p] \rangle$

**using** `assms 3 helper` **by** `fastforce`

**theorem** `OK_TC`:  $\langle \text{OK } p z \leftrightarrow (\neg \text{ compl } p \# z) \rangle$

**using** `1 2 SC_map_compl TC_compl_Neg TC_SC compl.simps list.simps(9)` **by** `metis`

**theorem** `OK_SC`:  $\langle \text{OK } p z \leftrightarrow (\vdash p \# \text{ map compl } z) \rangle$

**using** `1 2` **by** `fast`

**theorem** `TC`:  $\langle (\neg z) \leftrightarrow (\vdash \text{ map compl } z) \rangle$

**using** `SC_map_compl TC_SC` **by** `fast`

**theorem** `SC`:  $\langle (\vdash z) \leftrightarrow (\neg \text{ map compl } z) \rangle$

**using** `TC_map_compl SC_TC` **by** `fast`

**corollary**  $\langle \text{OK } p z \leftrightarrow (\neg \text{ Neg } p \# z) \rangle$

**using** `TC OK_SC map_compl_Neg` **by** `simp`

**corollary**  $\langle \text{OK } p z \leftrightarrow (\vdash p \# \text{ map Neg } z) \rangle$

**using** `SC OK_TC map_compl_Neg` **by** `simp`

**corollary**  $\langle (\neg z) \leftrightarrow (\vdash \text{ map Neg } z) \rangle$

**using** `SC map_compl_Neg` **by** `simp`

**corollary**  $\langle (\vdash z) \leftrightarrow (\neg \text{map Neg } z) \rangle$   
**using** TC map\_compl\_Neg **by** simp

**section**  $\langle \text{Acknowledgements} \rangle$

**text**  $\langle$

Based on:

- Stefan Berghofer:  
First-Order Logic According to Fitting  
 $\square \langle \text{https://www.isa-afp.org/entries/FOL-Fitting.shtml} \rangle$
- Anders Schlichtkrull:  
The Resolution Calculus for First-Order Logic  
 $\square \langle \text{https://www.isa-afp.org/entries/Resolution\_FOL.shtml} \rangle$
- Jørgen Villadsen, Andreas Halkjær From, Alexander Birch Jensen & Anders Schlichtkrull:  
NaDeA - Natural Deduction Assistant.  
 $\square \langle \text{https://github.com/logic-tools/nadea} \rangle$

$\rangle$

**end**